



DEC ID (if known): \_\_\_\_\_

**Applicant Information:**

<b>Name of Applicant</b> (from Joint Application Form): _____			
Email: _____		Phone: _____	
Mailing Address: Street: _____	City: _____	State: _____	Zip: _____
<b>Project Location</b> (from Joint Application Form): _____			
Town (where property taxes paid): _____		County: _____	
Street Address: _____	City: _____	State: NY	Zip: _____

**To apply for New York State Section 401 Water Quality Certification, all items below must be completed and the applicant must sign page 3 of this form. Attach additional sheets, plans, drawings, and other materials, as necessary.**

- By signing this form, the applicant affirms that the project proponent(s) and a point of contact were accurately identified in the Joint Application for Permit provided with this supplement.
- By signing this form, the applicant affirms that the proposed project is accurately and completely identified in the Joint Application for Permit provided with this supplement, and in any supporting plans, photos, reports or other project information.
- Identify here the applicable federal license or permit for this request:  
  
If this request relates to a Section 404 Nationwide Permit administered by the US Army Corps of Engineers, please identify the appropriate Nationwide Permit number(s):
- Please identify the location, area (acreage), and nature of any potential discharges of fill that may result from the proposed project and the location of receiving waters (attached additional information as needed):
- Please provide a description of any methods and means proposed to monitor the discharge and the equipment or measures planned to treat, control, or manage the discharge (attach additional information as needed):

6.	<p>If the activity (project) resulting in the discharge will disturb over one acre of land, please provide a Stormwater Pollution Prevention Plan (SWPPP) for the project prepared in accordance with the requirements of the New York State Pollutant Discharge Elimination System (SPDES) General Permit for Stormwater Discharges from Construction Activities (GP-0-20-001):</p> <p>Date of SWPPP: _____ Name of Preparer: _____</p>
7.	<p>If the activity (project) resulting in the discharge will involve in-water construction in a stream, river or other water body with flowing water, please explain how flowing water will be managed during construction and provide a plan showing all water management measures (e.g., cofferdams, pump-arounds, temporary diversions, etc.):</p>
8.	<p>If the activity (project) resulting in the discharge will involve in-water construction in a lake or other water body, please explain how the work area will be contained or isolated from the water body and provide a plan showing all related work area containment measures (e.g., cofferdams, turbidity curtains, etc.):</p>
9.	<p>If the activity (project) resulting in the discharge will involve dewatering of the work area, please explain where the dewatering discharge will occur and how any turbid discharges will be managed, and provide a plan showing the details of all dewatering measures:</p>
10.	<p>If the activity (project) resulting in the discharge will involve horizontal directional drilling (HDD), please explain what measures have been taken to minimize the risks of inadvertent fluid release (i.e., frac-outs), what measures will be undertaken to contain and manage all drilling fluids, and what contingency measures will be in place to contain, remove and remediate any inadvertent fluid releases (i.e., a frac-out plan). Also provide copies of all drawings and plans that address these items:</p>

11.	If the activity (project) resulting in the discharge will involve the temporary placement of timber work mats, stone fill work areas, stone fill causeways, or other temporary work or staging areas within waters of the US, please show all such measures on plan drawings, explain how and when such measures will be installed and removed, and explain all measures that will be undertaken to minimize impacts on water quality:
12.	If the activity (project) requires a license from the Federal Energy Regulatory Commission or Nuclear Regulatory Commission, the request for a Section 401 Water Quality Certification must also include any documents required under the relevant federal filing requirements, as identified in regulation or by DEC during the pre-filing/pre-application review. Please indicate here which documents in the federal license application address water quality requirements:
13.	Please provide a list of all other federal, interstate, tribal, state, territorial, or local agency authorizations required for the proposed project, including all approvals or denials already received:

**Certification:**

In addition to the Joint Application Form provided with this supplement, I hereby submit this form and the attachments indicated to request a Section 401 Water Quality Certification from DEC. All information contained herein is true, accurate, and complete to the best of my knowledge and belief.



\_\_\_\_\_

Project Applicant/Proponent Signature

\_\_\_\_\_

Date