Applicability

This application form is for use by private, commercial and institutional (P/C/I) SPDES permit applicants with sewage discharges, without the admixture of industrial or other wastes, to surface or groundwater. Example types of sewage wastewaters which are commonly authorized using this form include sanitary (toilet, shower), kitchen, filter backwash, and restaurants.

DISCHARGES TO SURFACE WATERS. Any discharge to surface water (e.g., stream, pond, lake, ocean, ditch) requires a SPDES permit.

DISCHARGES TO GROUNDWATERS. A discharge with a flow rate (the higher of design or actual flow) of 1,000 gallons per day or more per disposal system to groundwater requires a SPDES permit.

If eligible, applicants should consider obtaining the “General Permit for Groundwater Discharges of Sanitary Waste between 1,000 and 10,000 Gallons per Day”. Authorization by the General Permit requires the use of a different application form and results in quicker permit authorization and reduced annual SPDES regulatory fees. The General Permit and application form are available on DEC’s website at http://www.dec.ny.gov/permits/6287.html.

Discharges of industrial process wastewaters (e.g., car wash, dental facility, dry cleaner, funeral home, laundromat, etc.) must be authorized using SPDES application form NY-2C, available on DEC’s website at the web address above.

Additional environmental permits, such as Protection of Waters or Wetlands permits, may be required if stream disturbance or construction is necessary. Information on additional permits may be found at http://www.dec.ny.gov/permits/6081.html.

Application Type

Indicate whether the application is for a new permit, a permit renewal (reissuance of an existing effective SPDES permit without any changes) or a permit modification (modification of an existing effective permit). If the application is for a permit renewal or permit modification, include the SPDES number (e.g. NY0000000) and DEC Application ID number (e.g. 9-0000-00000/00000) from your existing permit.

Applicant/Owner Information

Acceptable applicant signatures are as follows:

<table>
<thead>
<tr>
<th>Organization</th>
<th>Signature</th>
</tr>
</thead>
<tbody>
<tr>
<td>Corporation</td>
<td>Principal executive officer of at least vice-president level or a duly authorized representative who is responsible for the overall operation of the facility.</td>
</tr>
<tr>
<td>Partnership</td>
<td>General Partner</td>
</tr>
<tr>
<td>Sole proprietorship</td>
<td>Proprietor</td>
</tr>
<tr>
<td>Municipalities, state, federal or other public facilities</td>
<td>Principal executive officer, ranking elected official, or other duly authorized employee.</td>
</tr>
</tbody>
</table>

A SPDES Permit Designation of Authority Form (available on DEC’s SPDES Permit Application Forms website, http://www.dec.ny.gov/permits/6287.html ) is required if the application is signed by anyone other than as noted above.

If a sewage treatment system is intended to serve a number of separately owned dwelling units or facilities (i.e., if a condominium or townhome complex is planning to install a jointly operated private disposal system), the parties involved must first form a sewage disposal corporation under the provisions of Article 10 of the New York State Transportation Corporation Law.

Facility and Discharge Data

Population served: for residential subdivisions, apartments, condominiums, mobile home parks, or motels, give your best estimate based upon the total number of lots or dwelling units; for retail or commercial establishments, give total number of employees and/or customers per day; for summer camps, resorts, etc., give total number of residents.
Outfall No. shall be in the format 001, 002, etc., with the primary outfall being designated “001.” If this application is for a facility or subdivision which will have a number of similar disposal systems to handle the same type of wastes (for example, a 40 lot residential subdivision which will have an individual septic tank and leach field system designed to handle 1000 gallons per day on each lot), then the Outfall No. can be shown as 001-040.

Design flow is the average gallons per day of wastewater that the disposal system is designed or expected to handle.

Types of treatment Groundwater systems include the listed Standard and Alternative septic systems for groundwater discharge. Other types of groundwater systems should be described in the Other field. Gravelless (aggregate-free) products may be used in certain Standard or Alternative systems as described in the Design Standards. Note that for use of alternative aggregate, a Beneficial Use Determination (BUD) from NYSDEC may be required.

For groundwater discharges:
- Provide the name of and distance to the nearest surface water, as well as the soil type (sand, gravel, etc.) and depth to the water table below the ground surface. If the facility has more than one groundwater discharge, complete the groundwater discharge page for each of the additional outfalls. Use as many copies as necessary.

Groundwater monitoring is required for systems with design flows greater than 30,000 gpd to soil absorption systems. Monitoring wells shall be installed in accordance with the 2014 New York State Design Standards for Intermediate Sized Wastewater Treatment Systems, Section B.7. For systems with design flows greater than 30,000 GPD, include sampling results as indicated. Indicate whether the values listed are from actual sampling results (include the date), or estimated from the proposed treatment system design.

For surface water discharges:
- Provide the surface water name and its classification and water index number. Include sampling results as indicated. Please indicate whether the values listed are from sampling results (include the date), estimated from the treatment system design as installed, or estimated from the proposed treatment system design. Complete this page for each of the surface water discharges present at the facility. If more than one discharge results from an identical treatment system, sampling results for one of the systems may be considered as representative for each of the identical systems.

Required Attachments
- Provide the following:
  1) A location map (USGS map or equivalent) of the facility.
  2) A site plan which shows property lines, area topography, existing and proposed wastewater disposal systems, area drinking water wells, buildings, the service area of the disposal systems, outfall locations, and influent and effluent sampling locations.
  3) A diagram showing the processes of the treatment plant, and a brief narrative description of the diagram.
  4) A completed State Environmental Quality Review Act (SEQR) Short Environmental Assessment Form.
  5) A completed State Historic Preservation Act (SHPA) Structural Archaeological Assessment Form (SAAF).
  6) Other relevant information which DEC staff may determine is necessary to adequately review and evaluate the application, such as: an engineer's report, County health department flow confirmation letter,

Submitting an Application & For More Information
- For discharges of treated wastewater to groundwater in Suffolk County – applications are made to the Suffolk County Department of Health Services, 360 Yaphank Ave, Suite 2C, Yaphank, NY 11980.
- For discharges of treated wastewater to groundwater in Nassau County – applications are made to the Nassau County Department of Health, 200 County Seat Drive, Mineola NY 11501.
- For all surface discharges and groundwater discharges outside of Nassau and Suffolk counties, completed applications are made to the New York State Department of Environmental Conservation's Regional Permit Administrator at the Regional Office which oversees the county where the discharge is located. See the NYSDEC Contact Information on the next page.
Contact the NYSDEC Regional Permit Administrator (RPA) if you have questions concerning filling out the permit application or permit requirements. Contact the NYSDEC Regional Water Engineer (RWE) if you have questions concerning disposal system construction or operation, or incident notification. Please visit the NYSDEC website at https://www.dec.ny.gov/ to find Regional Office contact information, forms, filing instructions, information on obtaining general permit coverage, to review the SPDES permit regulations, and for other pertinent information.

New York State Department of Environmental Conservation - Contact Information

Note:  RPA = Regional Permit Administrator  
RWE = Regional Water Engineer

REGION 1: Nassau County, Long Island  
- Mail: Nassau County Department of Health  
  200 County Seat Drive  
  Mineola, NY 11501  
  Phone: 516-227-9697

REGION 1 Suboffice:  
- Mail: SCDHS, Office of Wastewater Mgt.  
  360 Yaphank Avenue, Suite 2C  
  Yaphank, NY 11980  
  Phone: 631-852-5700

REGION 2: Brooklyn, Bronx, Manhattan, Queens, Staten Island  
- Mail: NYSDEC  
  1 Hunter’s Point Plaza  
  47-40 21st Street  
  Long Island City, NY 11101-5407  
  Phone: 718-482-4997  
  Fax: 718-482-4975  
  Email: dep.r2@dec.ny.gov

REGION 3: Dutchess, Orange, Putnam, Rockland, Sullivan, Ulster, Westchester  
- Mail: NYSDEC  
  21 South Putt Corners Road  
  New Paltz, NY 12561-1620  
  Phone: 845-256-3054  
  Fax: 845-255-4659  
  Email: dep.r3@dec.ny.gov

REGION 4 Suboffice: Delaware, Otsego  
- Mail: NYSDEC  
  65561 State Highway 10  
  Stamford, NY 12167-9503  
  Phone: 607-652-7741  
  Fax: 607-652-2342  
  Email: dep.r4@dec.ny.gov

REGION 5: Clinton, Essex, Franklin, Hamilton  
- Mail: NYSDEC  
  PO Box 296  
  1115 NYS Route 86  
  Ray Brook, NY 12977-0296  
  Phone: 518-897-1234  
  Fax: 578-897-1394  
  Email: dep.r5@dec.ny.gov

REGION 6: Jefferson, Lewis, St. Lawrence  
- Mail: NYSDEC  
  Dulles State Office Building  
  317 Washington Street  
  Watertown, NY 13601-3787  
  Phone: 315-785-2245  
  Fax: 315-785-2242  
  Email: dep.r6@dec.ny.gov

REGION 7: Cayuga, Onondaga, Oswego, Broome, Chenango, Cortland, Madison, Tioga, Tompkins  
- Mail: NYSDEC  
  615 Erie Blvd West, Room 206  
  Syracuse, NY 13204-2400  
  Phone: 315-426-7438  
  Fax: 315-426-7425  
  Email: dep.r7@dec.ny.gov

REGION 8: Chemung, Genesee, Livingston, Monroe, Ontario, Orleans, Schuyler, Seneca, Steuben, Wayne, Yates  
- Mail: NYSDEC  
  6274 East Avon - Lima Road  
  Avon, NY 14414-9519  
  Phone: 585-226-5400  
  Fax: 585-226-2830  
  Email: dep.r8@dec.ny.gov

REGION 9: Erie, Niagara, Wyoming  
- Mail: NYSDEC  
  700 Delaware Avenue  
  Buffalo, NY 14209  
  Phone: 716-851-7165  
  Fax: 716-851-7168  
  Email: dep.r9@dec.ny.gov

REGION 9 Suboffice: Allegany, Cattaraugus, Chautauqua  
- Mail: NYSDEC  
  182 East Union, Suite 3  
  Allegany, NY 14706-1328  
  Phone: 716-372-0645  
  Fax: 716-372-2113  
  Email: dep.r9@dec.ny.gov

REGION 9 Suboffice: Allegany, Cattaraugus, Chautauqua  
- Mail: NYSDEC  
  182 East Union, Suite 3  
  Allegany, NY 14706-1328  
  Phone: 716-851-7070  
  Fax: 716-851-7070