

New York State Department of Environmental Conservation

Division of Water

Bureau of Flood Protection and Dam Safety, 4th Floor

625 Broadway, Albany, New York 12233-3504

Phone: (518) 402-8185 • FAX: (518) 402-9029

Website: www.dec.ny.gov



Department of
Environmental
Conservation

Dam Safety Annual Certification Form

6 NYCRR Part 673.8 requires that Owners of Class C - High Hazard and Class B -Intermediate Hazard dams submit an annual certification to the NYSDEC - Dam Safety Section by January 31 of each year. Please refer to 6 NYCRR Part 673 which governs the definitions and provides additional detail on the questions listed below.

Reporting Year (prior full calendar year): 2019

Dam Name: _____

Dam Location: County: _____ Town/City: _____

NYS Dam ID: _____

Hazard Class: (check one) B-Intermediate C-High

Location (deg/min/sec): Latitude: _____ Longitude: _____

Owner Name: _____

Owner Address: _____

Owner Telephone Number: _____ Email: _____

Do you have a written Inspection and Maintenance Plan, in compliance with Part 673, that is being implemented? If no, provide a date it will be completed and implemented:	Yes No ____/____/____
Do you have a written Emergency Action Plan (EAP) in compliance with Part 673 and TOGS 3.1.3?	Yes No
Has an EAP review been completed during the reporting year? If yes, Date review completed: Note: The EAP is to be reviewed annually.	Yes No ____/____/____
Were changes to the EAP needed? If yes, provide revision date: If no: certify "I have reviewed the EAP and no changes, including phone numbers needed" (initial here)	Yes No ____/____/____ Initial here if no changes were needed: _____
If changes were needed, are the updates attached to this annual certification?	Yes Sent Separately

Certification

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision and that the information submitted is, to the best of my knowledge and belief, true, accurate, and complete.

This Certification must be signed by an individual on his or her own behalf, or by either a principal executive officer or ranking elected official, or duly authorized representative of the dam owner.

Name: _____

Title: _____

Company: _____

Address: _____

Email: _____

Signature: _____

Date: _____

Send Form and Attachments to:

NYSDEC - Dam Safety Section
625 Broadway, 4th Floor
Albany, New York 12233-3504
Phone: (518) 402-8185
Fax: (518) 402-9029

Note: The Dam Owner may have other reporting requirements as identified in Part 673 including, but not limited to, reporting: i) flow in an erodible auxiliary spillway, ii) change in ownership, and iii) an engineering assessment every 10 years, following the initial engineering assessment.