

**SECTION D OF MAJOR PETROLEUM STORAGE LICENSE APPLICATION - VESSELS ONLY**  
**(See Instructions)**

**INITIAL/NEW FACILITY AND CHANGE OF OWNERSHIP APPLICATIONS ONLY**

APPLICANT, ENTER REQUESTED INFORMATION FOR QUESTION 1.

1. Enter the vessel Identification number assigned by the US Coast Guard, Lloyds, or other competent authority: \_\_\_\_\_

**ALL APPLICATIONS**

APPLICANT, ANSWER QUESTIONS 2-6 AND SUPPLY ANY REQUESTED INFORMATION.

Yes   No

2.   ☐   ☐ Does this vessel have a valid Certificate of Inspection or Certificate of Compliance (Foreign flag vessels) issued by the US Coast Guard? If so, enter certification date \_\_\_\_\_ and expiration date \_\_\_\_\_, and attach a copy of the Certificate of Inspection or Certificate of Compliance. If no, please see instructions.
3.   ☐   ☐ Has a Vessel Response Plan, including required annual amendments, been submitted and approved by the US Coast Guard in accordance with 33 CFR 155.1070? If so, indicate date of latest amendment approval \_\_\_\_\_, and expiration date of plan approval \_\_\_\_\_. If no, please see instructions.
4.   ☐   ☐ Does this vessel have a valid Certificate of Financial Responsibility issued by the US Coast Guard? If so, enter effective date \_\_\_\_\_, and expiration date \_\_\_\_\_. If no, please see instructions.
5.   ☐   ☐ Does this vessel have any uncorrected violations cited by the US Coast Guard? If so, please attach an explanation.
6.   ☐   ☐ Has this vessel experienced a spill or uncontrolled discharge during the past five years? If so, please see instructions.

**INSTRUCTIONS FOR COMPLETING SECTION D OF MAJOR PETROLEUM LICENSE  
APPLICATION – VESSELS ONLY**

**INITIAL/NEW FACILITY AND CHANGE OF OWNERSHIP APPLICATIONS ONLY**

APPLICANT, ENTER REQUESTED INFORMATION FOR QUESTION 1.

- (1) VIN or Vessel Identification Number means number assigned by the Coast Guard, Lloyds, or other competent authority. It is sometimes referred to as the vessel's "Official Number."

**ALL APPLICATIONS**

APPLICANT, ANSWER QUESTIONS 2-6 AND SUPPLY ANY REQUESTED INFORMATION.

- (2) Indicate whether the vessel has a valid US Coast Guard Certificate of Inspection (COI) or for Foreign flag vessels, a valid Certificate of Compliance. If so, indicate certification date and expiration date, and attach a copy of the COI or Certificate of Compliance. If no, please attach explanation.
- (3) Indicate whether approval has been received from the US Coast for the Vessel Response plan, including annual amendments. Vessel Response plans are required under the Federal Oil Pollution Act of 1990 (OPA 1990) amendments to the Federal Water Pollution Control Act. If so, this satisfies the requirement to submit a primary and contingency clean up and removal plan as specified in Section 174 of Article 12 of the Navigation Law; indicate date of approval of latest amendment by the US Coast Guard, and date of expiration of plan approval by US Coast Guard. If no, then a primary and contingency clean up and removal plan must be submitted with this license application as specified in Section 174 of the Navigation law.
- (4) Indicate whether the Vessel has an unexpired Certificate of Financial Responsibility (COFR) from the US Coast Guard, which indicates the vessel operator has established evidence of financial responsibility in accordance with 33CFR 138, to meet liability under Section 1002 of the Oil Pollution Act of 1990 (OPA 1990) and under Section 107 of the Comprehensive Environmental Response, Compensation, and Liability Act, which may result from the operation of this vessel. If so, this satisfies the requirement to demonstrate financial responsibility under Article 12 of the Navigation Law; indicate effective date and expiration date of Coast Guard approval of Certificate of Financial Responsibility. Vessels not covered by OPA 1990 must provide other evidence of financial responsibility.
- (5) If the Coast Guard has had occasion to inspect your vessel and has cited your company for violation(s) and you have not corrected the violation(s), check "Yes" and attach an explanation identifying the violation(s) and explaining why corrective actions have not been taken or are not fully complete. Otherwise, check "No."
- (6) If the vessel has been the source of spills or discharges during the past five years, please attach a statement providing the following information for each spill or discharge:
- a. Cause of spill
  - b. Amount and type of product spilled
  - c. Environmental damages caused by spill
  - d. Corrective actions taken to prevent a similar event from occurring

**MAILING INSTRUCTIONS**

Please mail the completed application with attachments to:

NYSDEC Bureau of Technical Support  
625 Broadway, 11th Floor, Albany NY 12233-7020