



# sanitation

Edward Grayson Commissioner

Adam Conanán  
Deputy Director  
Solid Waste Management

125 Worth Street, Rm 727  
New York, NY 10013  
nyc.gov/sanitation

646-885-5056  
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February 28, 2022

New York State Department of Environmental Conservation  
Division of Materials Management  
Bureau of Permitting and Planning  
625 Broadway, Albany NY, 12233-7260

RE: Southwest Marine Transfer Station  
NYSDEC Permit No. 2-6106-00002/00022  
2021 Annual Report

Dear Sir/Madam,

Attached, please find the 2021 Annual Report for the New York City Department of Sanitation's (DSNY's) Southwest Marine Transfer Station (MTS). As required, I have provided the Financial Assurance Plan (FAP) closure cost for the fiscal year. This information was inserted after section 7 of the Annual Report.

Please call me if you have any questions or require additional information.

Best Regards,

A handwritten signature in black ink, appearing to read "Adam Conanán", written over a white background.

Adam Conanán

Attachment (1): 2021 Annual Report



## PERMITTED TRANSFER FACILITY ANNUAL REPORT

(If you need assistance filling out this form please email [swmfannualreport@dec.ny.gov](mailto:swmfannualreport@dec.ny.gov) or call 518-402-8678.)  
Complete and submit this form by March 1, 2022.

This annual report is for the year of operation from January 01, 2021 to December 31, 2021

### SECTION 1 – GENERAL INFORMATION

FACILITY INFORMATION			
FACILITY NAME: Southwest Marine Transfer Station			
FACILITY LOCATION ADDRESS: 400 Bay 41 <sup>st</sup> Street	FACILITY CITY: Brooklyn	STATE: NY	ZIP CODE: 11214
FACILITY TOWN: Brooklyn	FACILITY COUNTY: Kings	FACILITY PHONE NUMBER: 718-368-8913	
FACILITY NYS PLANNING UNIT: (A list of NYS <a href="#">Planning Units</a> can be found at the end of this report). New York City			NYSDEC REGION #: 2
360 PERMIT #: (Refer to DEC Permit) 2-6106-00002/00022	DATE ISSUED: 8/1/2017	DATE EXPIRES: 7/31/2022	NYS DEC ACTIVITY CODE OR REGISTRATION NUMBER: (Refer to DEC Permit) 31T04
FACILITY CONTACT: Adam Conanan	<input type="checkbox"/> public <input type="checkbox"/> private	CONTACT PHONE NUMBER: 646-885-4693	CONTACT FAX NUMBER:
CONTACT EMAIL ADDRESS: <a href="mailto:aconanan@dsny.nyc.gov">aconanan@dsny.nyc.gov</a>			
OWNER INFORMATION			
OWNER NAME: New York City Department of Sanitation	OWNER PHONE NUMBER: 646-885-4693	OWNER FAX NUMBER:	
OWNER ADDRESS: 125 Worth street	OWNER CITY: New York	STATE: NY	ZIP CODE: 10013
OWNER CONTACT: John Capo	OWNER CONTACT EMAIL ADDRESS: <a href="mailto:jcapo@dsny.nyc.gov">jcapo@dsny.nyc.gov</a>		
OPERATOR INFORMATION			
OPERATOR NAME: <input type="checkbox"/> same as owner	<input type="checkbox"/> public <input type="checkbox"/> private		
PREFERENCES			
Preferred address to receive correspondence: <input type="checkbox"/> Facility location address <input type="checkbox"/> Owner address <input type="checkbox"/> Other (provide):			
Preferred email address: <input type="checkbox"/> Facility Contact <input type="checkbox"/> Owner Contact <input type="checkbox"/> Other (provide):			
Preferred individual to receive correspondence: <input type="checkbox"/> Facility Contact <input checked="" type="checkbox"/> Owner Contact <input type="checkbox"/> Other (provide):			

Did you operate in 2020?  Yes; Complete this form.

No; Complete and submit Sections 1 and 11. If you no longer plan to operate and wish to relinquish your permit/registration associated with this solid waste management activity, also complete the "Inactive Solid Waste Management Facility or Activity Notification Form" located at: <http://www.dec.ny.gov/chemical/52706.html>.

## SECTION 2 - SOLID WASTE RECEIVED

**Please provide the tonnages of solid waste received.** Include all waste received. Report Recyclable Materials in Section 5. **DO NOT REPORT IN CUBIC YARDS!**

Specify the methods used to measure the quantities disposed and the percentages measured by each method:

100 % Scale Weight

\_\_\_\_\_ % Estimated

\_\_\_\_\_ % Truck Count

\_\_\_\_\_ % Other (Specify: \_\_\_\_\_)

Type of Solid Waste	January (tons)	February (tons)	March (tons)	April (tons)	May (tons)	June (tons)	July (tons)
Asbestos							
Construction & Demolition (C&D) Debris							
Industrial Waste (Including Industrial Process Sludges)							
Mixed Municipal Solid Waste (MSW) (Residential, Institutional & Commercial)	20,493	18,341	26,458	22,773	21,904	24,019	21,526
Oil/Gas Drilling Waste							
Petroleum Contaminated Soil							
Sewage Treatment Plant Sludge							
Treated Regulated Medical Waste							
Emergency Authorization Waste (Storm Debris)							
Other (specify)							
<b>Total Tons Received</b>	20,493	18,341	26,458	22,773	21,904	24,019	21,526

If the solid waste type is not listed, use one of the "Other" lines and fill in the name of the waste. If more "Other" lines are needed, cross out an unused type and fill in the other solid waste name. If still more "Other" lines are needed, attach another copy of this page, cross out an unused type, and fill in the other solid waste name.

**SECTION 2 - SOLID WASTE RECEIVED** (continued)

Type of Solid Waste	Tip Fee (\$/ton)	August (tons)	September (tons)	October (tons)	November (tons)	December (tons)	Total Year (tons)	Daily Avg. (tons)
Asbestos								
Construction & Demolition (C&D) Debris								
Industrial Waste (Including Industrial Process Sludges)								
Mixed Municipal Solid Waste (MSW) (Residential, Institutional & Commercial)		21,281	25,048	22,902	25,831	23,520	274,097	910
Oil/Gas Drilling Waste								
Petroleum Contaminated Soil								
Sew age Treatment Plant Sludge								
Treated Regulated Medical Waste								
Emergency Authorization Waste (Storm Debris)								
Other (specify)								
<b>Total Tons Received</b>		21,281	25,048	22,902	25,831	23,520	274,097	910

If the solid waste type is not listed, use one of the "Other" lines and fill in the name of the waste. If more "Other" lines are needed, cross out an unused type and fill in the other solid waste name. If still more "Other" lines are needed, attach another copy of this page, cross out an unused type, and fill in the other solid waste name.

### SECTION 3 – SERVICE AREA OF SOLID WASTE RECEIVED

**Please identify where the waste is coming from.** The total tons received reported below should equal the total tons received in Section 2 (Solid Waste Received).  
**DO NOT REPORT IN CUBIC YARDS!**

- If the waste **WAS** received from another solid waste management facility, please write in the name *and* address of the facility along with the appropriate state, county and planning unit/municipality.
- If the waste **WAS NOT** received from another solid waste management facility, please write in "**Direct Haul**" along with the appropriate state, county and planning unit/municipality where the waste was generated.

Specify transport method, list type of material(s) and percentages of total waste transported by each:

100 % Road: Waste Type(s): \_\_\_\_\_ % Rail: Waste Type(s): \_\_\_\_\_  
 Municipal Solid Waste

\_\_\_\_\_ % Water: Waste Type(s): \_\_\_\_\_ % Other (specify: \_\_\_\_\_): Waste Type(s): \_\_\_\_\_

<b>SERVICE AREA OF SOLID WASTE RECEIVED</b> <small>(where the waste is coming from)</small>					
TYPE OF SOLID WASTE	SOLID WASTE MANAGEMENT FACILITY FROM WHICH IT WAS RECEIVED <small>(Name &amp; Address)</small> OR " <b>Direct Haul</b> "	SERVICE AREA STATE OR COUNTRY	SERVICE AREA COUNTY OR PROVINCE	SERVICE AREA NYS PLANNING UNIT <small>(See Attached List of NYS Planning Units)</small>	TONS RECEIVED
<b>Asbestos</b>					
<b>Construction &amp; Demolition (C&amp;D) Debris</b>					
<b>Industrial Waste (Including Industrial Process Sludges)</b>					

**SERVICE AREA OF SOLID WASTE RECEIVED** (where the waste is coming from)

TYPE OF SOLID WASTE	SOLID WASTE MANAGEMENT FACILITY FROM WHICH IT WAS RECEIVED (Name & Address) OR "Direct Haul"	SERVICE AREA STATE OR COUNTRY	SERVICE AREA COUNTY OR PROVINCE	SERVICE AREA NYS PLANNING UNIT <small>(See Attached List of NYS Planning Units)</small>	TONS RECEIVED
Municipal Solid Waste (MSW) (Residential, Institutional & Commercial)					
	Direct Haul	New York	Kings County (Brooklyn)	Southwest Marine Transfer Station	274,097
Oil/Gas Drilling Waste					
Petroleum Contaminated Soil					
Sewage Treatment Plant Sludge					
Treated Regulated Medical Waste (TRMW)*					
Emergency Authorization Waste (Storm Debris)					
Other (specify)					
<b>TOTAL RECEIVED (tons): 274,097</b>					

\* List generators that provide you Certificates of Treatment forms and quantities of TRMW from each \_\_\_\_\_  
 If the solid waste type is not listed, use one of the "Other" lines and fill in the name of the waste. If more "Other" lines are needed, cross out an unused type and fill in the other solid waste name. If still more "Other" lines are needed, attach another copy of this page, cross out an unused type, and fill in the other solid waste name.



## SECTION 4 - TRANSFER OR DISPOSAL DESTINATION

**Please identify destination of waste. Please only include waste sent off-site for disposal or further transfer prior to disposal. Exclude Recyclable Material amounts reported in Section 5. DO NOT REPORT IN CUBIC YARDS!**

- If the waste is being sent to another facility for transfer or processing prior to disposal (e.g. Transfer facility or C&D debris handling and recovery facility), please identify name, address, corresponding State/Country, County/Province, and Destination Planning Unit of the transfer destination and the amount of waste transferred in the "Amount to Transfer Destination" column.
- If the waste is being sent to a landfill or combustor, please identify the name, address, corresponding State/Country, County/Province, and Destination Planning Unit of the disposal destination and the amount of waste being sent for disposal in the "Amount to Disposal Destination" column.

Specify transport method, list type of material(s) and percentages of total waste transported by each:

\_\_\_\_\_ % Road: Waste Type(s): \_\_\_\_\_      \_\_\_\_\_ % Rail: Waste Type(s): \_\_\_\_\_  
 100 \_\_\_\_\_ % Water: Waste Type(s): Residential Waste      \_\_\_\_\_ % Other (specify: \_\_\_\_\_): Waste Type(s): \_\_\_\_\_

TRANSFER OR DISPOSAL DESTINATION							
TYPE OF SOLID WASTE	SOLID WASTE MANAGEMENT FACILITY TO WHICH IT WAS SENT <small>(Name &amp; Address)</small>	DESTINATION STATE OR COUNTRY	DESTINATION COUNTY OR PROVINCE	DESTINATION NYS PLANNING UNIT <small>(See Attached List of NYS Planning Units)</small>	AMOUNT TO TRANSFER DESTINATION (TONS)	AMOUNT TO DISPOSAL DESTINATION (TONS)	TOTAL YEAR (TONS)
Asbestos							
Construction & Demolition (C&D) Debris							
Industrial Waste (Including Industrial Process Sludges)							

**TRANSFER OR DISPOSAL DESTINATION**

TYPE OF SOLID WASTE	SOLID WASTE MANAGEMENT FACILITY TO WHICH IT WAS SENT (Name & Address)	DESTINATION STATE OR COUNTRY	DESTINATION COUNTY OR PROVINCE	DESTINATION NYS PLANNING UNIT (See Attached List of NYS Planning Units)	AMOUNT TO TRANSFER DESTINATION (TONS)	AMOUNT TO DISPOSAL DESTINATION (TONS)	TOTAL YEAR (TONS)
<b>Municipal Solid Waste (MSW) (Residential, Institutional &amp; Commercial)</b>	High Acres Landfill, 425 Perinton Pkwy, Fairport NY 14450	NY	Monroe	Monroe County			
	Atlantic Landfill, 3474 Atlantic Lane Waverly VA 23890	VA	Sussex				
	Amelia Landfill, 2022 Maplewood Road, Jetersville VA 23083	VA	Amelia			274,432	274,432
<b>Oil/Gas Drilling Waste</b>							
<b>Petroleum Contaminated Soil</b>							
<b>Sew age Treatment Plant Sludge</b>							
<b>Treated Regulated Medical Waste</b>							
<b>Em ergency Authorization Waste (Storm Debris)</b>							
<b>Other (specify)</b>							
<b>TOTAL SENT (tons): 274,432</b>							

If the waste type is not listed, use one of the "Other" lines and fill in the name of the material. If more "Other" lines are needed, cross out an unused type and fill in the other waste name. If still more "Other" lines are needed, attached another copy of this page, cross out an unused type, and fill in the other waste name.



## SECTION 5 – PERMITTED TRANSFER FACILITY RECYCLABLE & RECOVERED MATERIALS

Is your facility also a permitted or registered Recyclables Handling & Recovery Facility?

Yes; Complete Section 5 for material recovered from the mixed solid waste stream. Complete a Recyclables Handling & Recovery Facility (RHRF) form for material received as source separated. The RHRF form is located at: <http://www.dec.ny.gov/chemical/52706.html>.

No; Complete Section 5 for material recovered from the mixed solid waste stream and for material received as source separated.

### A. Service Area of Recyclable Material Received

**Please identify where the recyclable materials are coming from. DO NOT REPORT IN CUBIC YARDS!**

- If the materials **WERE** received from another solid waste management facility, please write in the name and address of the facility along with the appropriate state, county and planning unit/municipality.
- If the materials **WERE NOT** received from another solid waste management facility, please write in "**Direct Haul**" along with the appropriate state, county and planning unit/municipality where the recyclables were generated.

### SERVICE AREA OF RECYCLABLE MATERIAL RECEIVED (where the material is coming from)

MATERIAL	SOLID WASTE MANAGEMENT FACILITY FROM WHICH IT WAS RECEIVED <small>(Name &amp; Address)</small> OR " <b>Direct Haul</b> "	SERVICE AREA STATE OR COUNTRY	SERVICE AREA COUNTY OR PROVINCE	SERVICE AREA NYS PLANNING UNIT <small>(See Attached List of NYS Planning Units)</small>	TONS RECEIVED
Commingled Containers <small>(metal, glass, plastic)</small>					
Commingled Paper <small>(all grades)</small>					
Single Stream <small>(total)</small>					
Brush, Branches, Trees, & Stumps					
Food Scraps					
Yard Waste <small>(curbside)</small>					
Other <small>(specify)</small>					
<b>TOTAL RECEIVED(tons):</b>					

If the material type is not listed, use one of the "Other" lines and fill in the name of the material. If more "Other" lines are needed, cross out an unused type and fill in the other materials name. If still more "Other" lines are needed, attached another copy of this page, cross out an unused type, and fill in the other materials name.

**SECTION 5 – PERMITTED TRANSFER FACILITY RECYCLABLE & RECOVERED MATERIALS** (continued)

**B. Material Recovered**

**Please identify destination of recovered materials.** Indicate the name of the facility, address, corresponding State/Country, County/Province, Destination Planning Unit/Municipality and the amount of material transferred. **DO NOT REPORT IN CUBIC YARDS!**

Specify transport method, list type of material(s) and percentages of total waste transported by each:

\_\_\_\_ % Road: Material(s): \_\_\_\_\_                      \_\_\_\_\_ % Rail: Material(s): \_\_\_\_\_  
 \_\_\_\_\_ % Water: Material(s): \_\_\_\_\_                      \_\_\_\_\_ % Other (specify: \_\_\_\_\_): Material(s): \_\_\_\_\_

PAPER RECOVERED					
RECOVERED MATERIAL	DESTINATION <small>(Name &amp; Address)</small>	DESTINATION STATE OR COUNTRY	DESTINATION COUNTY OR PROVINCE	DESTINATION NYS PLANNING UNIT <small>(See Attached List of NYS Planning Units)</small>	TONS RECOVERED <small>(out of facility)</small>
Commingled Paper <small>(all grades)</small>					
Corrugated Cardboard					
Junk Mail					
Magazines					
Newspaper					
Office Paper					
Paperboard / Boxboard					
Other Paper <small>(specify)</small>					
<b>TOTAL PAPER RECOVERED (tons):</b>					

If the material type is not listed, use one of the "Other" lines and fill in the name of the material. If more "Other" lines are needed, cross out an unused type and fill in the other materials name. If still more "Other" lines are needed, attached another copy of this page, cross out an unused type, and fill in the other materials name.

**SECTION 5 – PERMITTED TRANSFER FACILITY RECYCLABLE & RECOVERED MATERIALS** *(continued)*

**B. Material Recovered**

**GLASS RECOVERED**

RECOVERED MATERIAL	DESTINATION <i>(Name &amp; Address)</i>	DESTINATION STATE OR COUNTRY	DESTINATION COUNTY OR PROVINCE	DESTINATION NYS PLANNING UNIT <i>(See Attached List of NYS Planning Units)</i>	TONS RECOVERED <i>(out of facility)</i>
Container Glass					
Industrial Scrap Glass					
Other Glass <i>(specify)</i>					

**TOTAL GLASS RECOVERED (tons):** \_\_\_\_\_

**METAL RECOVERED**

RECOVERED MATERIAL	DESTINATION <i>(Name &amp; Address)</i>	DESTINATION STATE OR COUNTRY	DESTINATION COUNTY OR PROVINCE	DESTINATION NYS PLANNING UNIT <i>(See Attached List of NYS Planning Units)</i>	TONS RECOVERED <i>(out of facility)</i>
Aluminum Foil / Trays					
Bulk Metal (from MSW)					
Bulk Metal (from CD debris)					
Enameled Appliances / White Goods					
Industrial Scrap Metal					
Tin & Aluminum Containers					
Other Metal <i>(specify)</i>					

**TOTAL METAL RECOVERED (tons):** \_\_\_\_\_

If the material type is not listed, use one of the "Other" lines and fill in the name of the material. If more "Other" lines are needed, cross out an unused type and fill in the other materials name. If still more "Other" lines are needed, attached another copy of this page, cross out an unused type, and fill in the other materials name.



**SECTION 5 – PERMITTED TRANSFER FACILITY RECYCLABLE & RECOVERED MATERIALS** (continued)

**B. Material Recovered  
PLASTIC RECOVERED**

RECOVERED MATERIAL	DESTINATION (Name & Address)	DESTINATION STATE OR COUNTRY	DESTINATION COUNTY OR PROVINCE	DESTINATION NYS PLANNING UNIT (See Attached List of NYS Planning Units)	TONS RECOVERED (out of facility)
Commingled Plastic (#1 - #7)					
PET (plastic #1)					
HDPE (plastic #2)					
Other Rigid Plastics (#3 - #7)					
Industrial Scrap Plastic					
Plastic Film & Bags					
Other Plastics (specify)					

**TOTAL PLASTIC RECOVERED (tons):** \_\_\_\_\_

**MISCELLANEOUS MATERIAL RECOVERED**

RECOVERED MATERIAL	DESTINATION (Name & Address)	DESTINATION STATE OR COUNTRY	DESTINATION COUNTY OR PROVINCE	DESTINATION NYS PLANNING UNIT (See Attached List of NYS Planning Units)	TONS RECOVERED (out of facility)
Electronics					
Textiles					
Other (specify)					

**TOTAL MISCELLANEOUS MATERIAL RECOVERED (tons):** \_\_\_\_\_

If the material type is not listed, use one of the "Other" lines and fill in the name of the material. If more "Other" lines are needed, cross out an unused type and fill in the other materials name. If still more "Other" lines are needed, attached another copy of this page, cross out an unused type, and fill in the other materials name.

**SECTION 5 – PERMITTED TRANSFER FACILITY RECYCLABLE & RECOVERED MATERIALS** *(continued)*

**B. Material Recovered**

**MIXED MATERIAL RECOVERED**

<b>RECOVERED MIXED MATERIAL</b>	<b>DESTINATION <i>(Name &amp;Address)</i></b>	<b>DESTINATION STATE OR COUNTRY</b>	<b>DESTINATION COUNTY OR PROVINCE</b>	<b>DESTINATION NYS PLANNING UNIT <i>(See Attached List of NYS Planning Units)</i></b>	<b>TONS RECOVERED <i>(out of facility)</i></b>
<b>Commingled Containers</b> <i>(metal, glass, plastic)</i>					
<b>Commingled Paper &amp; Containers</b>					
<b>Single Stream</b> <i>(total)</i>					
<b>Other</b> <i>(specify)</i>					

**TOTAL MIXED MATERIAL RECOVERED (tons):** \_\_\_\_\_

**ORGANIC MATERIAL RECOVERED**

<b>RECOVERED MATERIAL</b>	<b>DESTINATION <i>(Name &amp;Address)</i></b>	<b>DESTINATION STATE OR COUNTRY</b>	<b>DESTINATION COUNTY OR PROVINCE</b>	<b>DESTINATION NYS PLANNING UNIT <i>(See Attached List of NYS Planning Units)</i></b>	<b>TONS RECOVERED <i>(out of facility)</i></b>
<b>Brush, Branches, Trees, &amp; Stumps</b>					
<b>Food Scraps</b>					
<b>Yard Waste</b> <i>(curbside)</i>					
<b>Other</b> <i>(specify)</i>					

**TOTAL ORGANIC MATERIAL RECOVERED (tons):** \_\_\_\_\_

If the material type is not listed, use one of the "Other" lines and fill in the name of the material. If more "Other" lines are needed, cross out an unused type and fill in the other materials name. If still more "Other" lines are needed, attached another copy of this page, cross out an unused type, and fill in the other materials name.



## SECTION 6 – UNAUTHORIZED SOLID WASTE

Has unauthorized solid waste been received at the facility during the reporting period?

Yes  No If yes, give information below for each incident (attach additional sheets if necessary):

Date Received	Type Received	Date Disposed	Disposal Method & Location

### Radiation Monitoring

Does your facility use a fixed radiation monitor?  Yes  No

Identify Manufacturer Ludlum and Model 375-P-1000 of fixed unit.

Does your facility use a portable radiation monitor?  Yes  No

Identify Manufacturer \_\_\_\_\_ and Model \_\_\_\_\_ of fixed unit.

If the radiation monitors have been triggered give information below for each incident:

Incident Number	Received		HAULER	ORIGIN	Truck Number	Reading %	DISPOSAL STATUS	REMOVED	
	Time	Date						DATE	TIME
2021-0047	0658	1/30/21	DSNY	Brooklyn	25DN-059	299	COMPLETED		
2021-0057	0129	2/13/21	DSNY	Brooklyn	25DY-243	202	COMPLETED		
2021-0061	1316	2/15/21	DSNY	Brooklyn	25DN-442	341	COMPLETED		
2021-0063	2204	2/16/21	DSNY	Brooklyn	25DD-732	86	COMPLETED		
2021-0066	1220	2/19/21	DSNY	Brooklyn	23Z-210	214	COMPLETED		
2021-0084	0837	3/5/21	DSNY	Brooklyn	25DN-428	120	COMPLETED		
2021-0092	1830	3/8/21	DSNY	Brooklyn	25DD-778	127	COMPLETED		
2021-0102	1730	3/11/21	DSNY	Brooklyn	25DN-435	456	COMPLETED		

2021-0125	0740	3/20/21	DSNY	Brooklyn	25DY-241	45	COMPLETED		
2021-0129	1350	3/22/21	DSNY	Brooklyn	25DY-244	75	COMPLETED		
2021-0164	0243	4/8/21	DSNY	Brooklyn	25DK-217	81	COMPLETED		
2021-0176	0837	4/16/21	DSNY	Brooklyn	25DD-778	249	COMPLETED		
2021-0183	0742	4/20/21	DSNY	Brooklyn	25DK-303	118%	COMPLETED		
2021-0184	0945	4/20/21	DSNY	Brooklyn	25DN-174	57%	COMPLETED		
2021-0187	0138	4/21/21	DSNY	Brooklyn	25DT-058	50%	COMPLETED		
2021-0194	0816	4/23/21	DSNY	Brooklyn	25DK-303	63%	COMPLETED		
2021-0212	1700	5/1/21	DSNY	Brooklyn	25DY-105	123%	COMPLETED		
2021-0219	1449	5/5/21	DSNY	Brooklyn	25DN-898	32%	COMPLETED		
2021-0234	1020	5/13/21	DSNY	Brooklyn	25DN-869	326%	COMPLETED		
2021-0246	1800	5/20/21	DSNY	Brooklyn	25DK-212	188%	COMPLETED		
2021-0257	2055	5/27/21	DSNY	Brooklyn	25DN-174	83%	COMPLETED		
2021-0266	2345	6/2/21	DSNY	Brooklyn	25DN-790	51%	COMPLETED		
2021-0275	0315	6/9/21	DSNY	Brooklyn	25DN-168	133%	COMPLETED		
2021-0283	1804	6/11/21	DSNY	Brooklyn	25DY-246	61%	COMPLETED		
2021-0304	0925	6/21/21	DSNY	Brooklyn	25DY-093	98%	COMPLETED		
2021-0306	1415	6/21/21	DSNY	Brooklyn	25DY-105	397%	COMPLETED		
2021-0313	1808	6/24/21	DSNY	Brooklyn	25DN-420	55%	COMPLETED		
2021-0315	0906	6/25/21	DSNY	Brooklyn	25DY-047	100%	COMPLETED		
2021-0330	1006	6/26/21	DSNY	Brooklyn	25DN-426	85%	COMPLETED		
2021-0322	1408	6/28/21	DSNY	Brooklyn	25DN-423	246%	COMPLETED		
2021-0328	0936	6/30/21	DSNY	Brooklyn	25DN-057	1133%	COMPLETED		
2021-0334	0904	7/3/21	DSNY	Brooklyn	25DN-429	135%	COMPLETED		
2021-0343	1920	7/12/21	DSNY	Brooklyn	25DY-044	65%	COMPLETED		
2021-0345	0812	7/12/21	DSNY	Brooklyn	25DN-059	969%	COMPLETED		
2021-0389	2100	8/14/21	DSNY	Brooklyn	25DN-423	161%	COMPLETED		
2021-0396	1731	8/18/2021	DSNY	Brooklyn	25DY-0396	40%	COMPLETED		
2021-0406	2030	8/21/21	DSNY	Brooklyn	25DY-090	65%	COMPLETED		
2021-0454	0220	9/17/21	DSNY	Brooklyn	25DD-904	90%	COMPLETED		
2021-0469	0939	9/22/21	DSNY	Brooklyn	25DY-047	51%	COMPLETED		
2021-0503	0009	10/12/21	DSNY	Brooklyn	25DK-217	2984%	COMPLETED		
2021-0506	0930	10/14/21	DSNY	Brooklyn	25DD-875	309%	COMPLETED		
2021-0514	0913	10/18/21	DSNY	Brooklyn	24AB-306	90%	COMPLETED		

REPRINTED(12/21)

2021-0525	0930	10/22/21	DSNY	Brooklyn	25DD-597	229%	COMPLETED		
2021-0538	0524	10/31/21	DSNY	Brooklyn	25DN-171	33%	COMPLETED		
2021-0561	2035	11/7/21	DSNY	Brooklyn	25DY-246	61%	COMPLETED		
2021-0564	1536	11/8/21	DSNY	Brooklyn	24AB-306	274%	COMPLETED		
2021-0570	0315	11/11/21	DSNY	Brooklyn	25DN-790	114%	COMPLETED		
2021-0606	1148	11/29/21	DSNY	Brooklyn	25DD-587	66%	COMPLETED		
2021-0626	2100	12/11/21	DSNY	Brooklyn	25DN-428	112%	COMPLETED		
2021-0643	1930	12/21/21	DSNY	Brooklyn	25DY-128	78%	COMPLETED		

**SECTION 7 - COST ESTIMATES AND FINANCIAL ASSURANCE DOCUMENTS**

Are there required cost estimates and financial assurance documents for closure?

Yes     No    If yes, attach additional sheets reflecting annual adjustments for inflation and any changes to the Closure Plan?

**THE CITY  
OF  
NEW YORK  
NEW YORK**



**ANNUAL COMPREHENSIVE  
FINANCIAL REPORT  
OF THE  
COMPTROLLER  
FOR THE  
FISCAL YEARS ENDED JUNE 30, 2021 AND 2020**

**SCOTT M. STRINGER**  
*Comptroller*

*Pollution Remediation Obligations*

The pollution remediation obligations (PROs) at June 30, 2021 and June 30, 2020, summarized by obligating event and pollution type, respectively, are as follows:

Obligating Event	Fiscal Year 2021		Fiscal Year 2020	
	Amount (in thousands)	Percentage	Amount (in thousands)	Percentage
Imminent endangerment .....	\$ 15	0.01%	\$ 17	0.01%
Named by regulator as a potentially responsible party .....	67,794	30.53	68,500	27.26
Voluntary commencement .....	154,244	69.46	182,733	72.73
<b>Total .....</b>	<b>\$222,053<sup>(1)</sup></b>	<b>100.00%</b>	<b>\$251,250<sup>(1)</sup></b>	<b>100.00%</b>

Pollution Type	Fiscal Year 2021		Fiscal Year 2020	
	Amount (in thousands)	Percentage	Amount (in thousands)	Percentage
Asbestos removal .....	\$105,984	47.73%	\$111,044	44.20%
Lead paint removal .....	20,831	9.38	36,226	14.42
Soil remediation .....	25,447	11.46	34,510	13.74
Water remediation .....	50,796	22.88	57,815	23.01
Other .....	18,995	8.55	11,655	4.63
<b>Total .....</b>	<b>\$222,053<sup>(1)</sup></b>	<b>100.00%</b>	<b>\$251,250<sup>(1)</sup></b>	<b>100.00%</b>

<sup>(1)</sup> There are no expected recoveries to reduce the liability.

The PRO liability is derived from registered multi-year contracts which offsets cumulative expenditures (liquidated/unliquidated) against original encumbered contractual amounts. The potential for changes to existing PRO estimates is recognized due to such factors as: additional remediation work arising during the remediation of an existing pollution project; remediation activities may find unanticipated site conditions resulting in necessary modifications to work plans; changes in methodology during the course of a project may cause cost estimates to change, e.g., the new ambient air quality standard for lead considered a drastic change will trigger the adoption of new/revised technologies for compliance purposes; and changes in the quantity which is paid based on actual field measured quantity for unit price items measured in cubic meters, linear meters, etc. Consequently, changes to original estimates are processed as change orders. Further, regarding pollution remediation liabilities that are not yet recognized because they are not reasonably estimable, the Law Department relates that the City has approximately 37 cases involving hazardous substances, including spills from above and underground storage tanks, and other contamination on, or caused by facilities on City-owned property; and there is also one case involving Solid Waste and one City Wastewater Treatment/Stormwater Treatment and Sewers case. Due to the uncertainty of the legal proceedings, future liabilities cannot be estimated.

The City, in compliance with the State Department of Environmental Conservation Permit Numbers 2-6302-00007/00019, 2-6102-00010/00013, 2-6106-00002/00022, 2-6204-00007/00013, and 2-6202-00005/00017 issued pursuant to 6 NYCRR Part 360, must provide financial assurance for the closure of the following Marine Transfer Stations: North Shore, Hamilton Avenue, Southwest Brooklyn, East 91st Street, and West 59th Street. Such surety instrument must conform to the requirements of 6 NYCRR Part 360.12. The liability for closure as of June 30, 2021, which equates to the total current closure cost, is \$1.06 million for North Shore, \$931 thousand for Hamilton Avenue, \$877 thousand for Southwest Brooklyn, \$1.01 million for East 91st Street, and \$230 thousand for West 59th Street. The cost estimates are based on current data and are representative of the cost that would be incurred by an independent party. The estimates are subject to adjustment for inflation and to account for changes in regulatory requirements or cost estimates. For government-wide financial statements, the liability for closures are based on total estimated current costs. For fund financial statements, expenditures are recognized using the modified accrual basis of accounting when the closure costs are incurred and the payment is due. The total liability equaling the total closure costs for the transfer stations of \$4.11 million is included under the Pollution Type "Other" in the table above.

On Monday, October 29, 2012, Superstorm Sandy hit the Mid-Atlantic East Coast. The storm caused widespread damage to the coastal and other low lying areas of the City and power failures in various parts of the City, including most of downtown Manhattan. On January 29, 2013, President Obama signed legislation providing for approximately \$50.5 billion in storm-related aid for the region affected by the storm. Although it is not possible for the City to quantify the full, long-term impact of the storm on the City and its economy, the current estimate of the direct costs to the City, NYCHH and NYCHA is approximately \$10.7 billion (comprised of approximately \$1.8 billion of expense costs and approximately \$8.9 billion of capital project costs). Such direct costs represent funding for emergency response, debris removal, emergency protective measures, repair of damaged infrastructure and long-term hazard mitigation investments. In



**Financial Assurance**

	<b>FY19</b>	<b>FY20</b>	<b>FY21</b>	<b>NYCPI</b>	<b>Average</b>
North Shore	\$1.02	\$1.04	\$1.06	FY19	275.769
Hamilton Avenue	\$896	\$912	\$931	FY20	280.645
Southwest Brooklyn	\$844	\$859	\$877		1.017681
East 91st Street	\$976	\$993	\$1,014	FY21	286.438
West 59th Street	\$221	\$225	\$230		1.020642

Planning Level Opinion of Probable Cost



**PROJECT**  
 SOUTHWEST BRIDGELYN 45th - 69th Streets West  
 General Notes: 1. All work shall be in accordance with the Contract Documents and the Specifications. 2. The Contractor shall be responsible for obtaining all necessary permits and approvals. 3. The Contractor shall be responsible for maintaining access to existing utilities and structures. 4. The Contractor shall be responsible for protecting existing structures and utilities. 5. The Contractor shall be responsible for maintaining traffic flow during construction. 6. The Contractor shall be responsible for maintaining site cleanliness and safety. 7. The Contractor shall be responsible for maintaining accurate records of all work performed. 8. The Contractor shall be responsible for maintaining communication with the Owner and the Design Team. 9. The Contractor shall be responsible for maintaining the schedule and budget. 10. The Contractor shall be responsible for maintaining the quality of all work performed. 11. The Contractor shall be responsible for maintaining the safety of all workers and the public. 12. The Contractor shall be responsible for maintaining the environment. 13. The Contractor shall be responsible for maintaining the appearance of the project. 14. The Contractor shall be responsible for maintaining the records of all work performed. 15. The Contractor shall be responsible for maintaining the communication with the Owner and the Design Team. 16. The Contractor shall be responsible for maintaining the schedule and budget. 17. The Contractor shall be responsible for maintaining the quality of all work performed. 18. The Contractor shall be responsible for maintaining the safety of all workers and the public. 19. The Contractor shall be responsible for maintaining the environment. 20. The Contractor shall be responsible for maintaining the appearance of the project.

**CATEGORY**  
 Order of Magnitude Cost Estimation - Preliminary

**CHECKED BY**  
 DATE: 01/08/18  
 DATE: 01/08/18

**DATE**  
 01/08/18  
 01/08/18

DESCRIPTION	Units	Rate	BEYOND AND LABOR		TOTAL
			Subcontract	Materials	
Site Preparation	1000	1.250	1250	0	1250
Excavation	1000	1.250	1250	0	1250
Foundation	1000	1.250	1250	0	1250
Structure	1000	1.250	1250	0	1250
Roofing	1000	1.250	1250	0	1250
Interior	1000	1.250	1250	0	1250
Exterior	1000	1.250	1250	0	1250
Site Work	1000	1.250	1250	0	1250
Utilities	1000	1.250	1250	0	1250
Other	1000	1.250	1250	0	1250
<b>Total</b>	<b>1000</b>	<b>1.250</b>	<b>1250</b>	<b>0</b>	<b>1250</b>

**Notes:**

- 1) All work performed by Union Labor (Teamwork Approach) based on Union Contract rates and standard daily hours. No overtime or material premiums are included. Year 2017/2018 Costs included. Labor rates used provided to District 2017 - FY District on Labor. Prevailing Wage Rates with Fringes and benefits.
- 2) Materials - Manufacturer Standard Approach all prices are Manufacturer, Freight included.
- 3) Materials - Call 800-854-9999 for current market conditions. Contact manufacturer website at: <http://www.manufacturer.com/> to see list of materials cost for retail sale. A retail estimate for a 24-hour 24-hour delivery which includes delivery, was provided by [www.manufacturer.com/](http://www.manufacturer.com/). Based on retail and delivery and installed. For all large quantities of items costs are two different items.
- 4) Materials - Call 800-854-9999 for current market conditions. Contact manufacturer website at: <http://www.manufacturer.com/> to see list of materials cost for retail sale. A retail estimate for a 24-hour 24-hour delivery which includes delivery, was provided by [www.manufacturer.com/](http://www.manufacturer.com/). Based on retail and delivery and installed. For all large quantities of items costs are two different items.
- 5) Materials - Call 800-854-9999 for current market conditions. Contact manufacturer website at: <http://www.manufacturer.com/> to see list of materials cost for retail sale. A retail estimate for a 24-hour 24-hour delivery which includes delivery, was provided by [www.manufacturer.com/](http://www.manufacturer.com/). Based on retail and delivery and installed. For all large quantities of items costs are two different items.

# NYC Prevailing Wage rates - as of December 1, 2017

## Employer Payroll Taxes and Workers Compensation Insurance

			Source
Workmans Comp	WC	1.50%	<a href="http://nysmabusiness.com/nyc-taxes-employment-insurance-and-workers-comp/">http://nysmabusiness.com/nyc-taxes-employment-insurance-and-workers-comp/</a>
Social Security	SS	6.20%	<a href="https://www.irs.gov/taxtopics/tc751">https://www.irs.gov/taxtopics/tc751</a>
Medicare	MC	1.45%	<a href="https://www.irs.gov/taxtopics/tc751">https://www.irs.gov/taxtopics/tc751</a>
State Unemployment	SUI	4.00%	<a href="https://labor.ny.gov/state/unemployment-rates.shtm">https://labor.ny.gov/state/unemployment-rates.shtm</a>
Fed Unemployment	FUI	0.60%	<a href="https://www.irs.gov/efile/pdfo13.pdf">https://www.irs.gov/efile/pdfo13.pdf</a>
	Total (avg)	13.75%	varies based on many factors

## Prevailing Wage Rates Source: Wage/Fringe

Article 15 Prevailing Wage Rates for 07/01/2017 - 09/30/2018 Published by the New York State Department of Labor, Last Published on December 01, 2017. Kings County Data  
<https://wage-fairness.labor.ny.gov/wage-fairness/view/prevailing-wage-rates-d07m00p000001>

	Wages	Fringe	WC, SS, MC	TOTAL	Reference
Union Trade - R3405 Co.					
Electrician (A)	\$ 88.00	\$ 56.72	\$ 7.70	\$ 152.42	page 58 based on Electrician Audio/Visual and Temp Light/Power 6/4/2018
Elec Apprentice (M)	\$ 28.00	\$ 22.85	\$ 3.49	\$ 54.34	page 59.80 based on Electrician Audio/Visual and Temp Light/Power 5/6/18 with term 11/13-18 mos.
Building Laborer (J)	\$ 38.48	\$ 27.87	\$ 8.29	\$ 74.64	page 48 based on skilled demolition laborer
Laborer Apprentice (A)	\$ 22.17	\$ 17.45	\$ 2.08	\$ 41.70	page 48 based on 1st term
Plumber (J)	\$ 88.75	\$ 31.86	\$ 8.43	\$ 129.04	page 53, includes addn for 1/1/2018
Plumber Apprentice (A)	\$ 28.25	\$ 10.32	\$ 3.91	\$ 42.48	page 53, based on 1st term
Pipefitter (J)	\$ 81.21	\$ 46.18	\$ 8.50	\$ 135.89	page 57, based on steamfitter, class/steamfitter R18
Pipefitter Apprentice (A)	\$ 24.78	\$ 25.20	\$ 3.46	\$ 53.44	page 57, based on 1st term
Teamster (A)	\$ 41.83	\$ 41.88	\$ 5.72	\$ 89.43	page 67/68, based on Teamster-Heavy Construction, dump truck
Millwright (J)	\$ 61.80	\$ 52.38	\$ 7.08	\$ 121.26	page 54, based on Carpenter, Building Millwright
Millwright Apprentice (A)	\$ 28.33	\$ 24.25	\$ 3.80	\$ 56.38	page 54, based on 1st term
Heavy Equip Operator	\$ 72.33	\$ 28.90	\$ 8.95	\$ 110.18	page 46, based on Operating engineer - Heavy Construction 2 Group 24
Deck Laborer	\$ 34.30	\$ 12.57	\$ 3.34	\$ 49.21	page 57, based on Operating engineer - Marine Construction Class D

**Financial Assurance for the Southwest Brooklyn Marine Transfer Station**

**New York Container Terminal (NYCT) Pricing**

**[Note: The New York Container Terminal has been renamed Global Container Terminal (GCT)]**

The attached table shows the Fiscal Year 2018 cost for handling Containers at the Global Container Terminal, including the Port Authority LIFT costs. These charges were taken from the Covanta MTS Pair Service Contract. For the closure analysis a cost of \$380/Container would be a conservative estimate of costs for unloading the barges, placing them on railcars, and assembling trains at the Global Container Terminal for transport to the Lee County Landfill in Brunswick South Carolina. This is a landfill owned by Allied (now Republic Services) that is used by the City for the disposal of waste from its Staten Island transfer station and can also be used by Covanta under the North Pair MTS.

Containers per Week - Base GCT VOC  
(\$/Container)

Containers per Operating Week	thru 6/30/18
If the number of Loaded Containers accepted during a week is less than 835, then the Base GCT VOC for ALL the Containers accepted that week is:	<b>\$380.00</b>
If the number of Loaded Containers accepted during a week is greater than 834 and less than 930, then the Base GCT VOC for ALL the Containers accepted that week is:	\$346.05
If the number of Loaded Containers accepted during a week is greater than 929 and less than 1,028, then the Base GCT VOC for ALL the Containers accepted that week is:	\$325.00
If the number of Loaded Containers accepted during a week is greater than 1,027 and less than 1,464, then the Base GCT VOC for ALL the Containers accepted that week is:	\$312.54
If the number of Loaded Containers accepted during a week is greater than 1,463 and less than 1,762, then the Base GCT VOC for ALL the Containers accepted that week is:	\$286.11
If the number of Loaded Containers accepted during a week is greater than 1,761, then the Base GCT VOC for ALL the Containers accepted that week is:	\$281.50
Source: Email from Cynthia Diaz-Gelbke (DSNY) to Sarah Ostinar (DSNY), RE Southwest Brooklyn Financial Assurance, various dates December 2017.	
<b>Tug Towing Services from the MTS to the GCT</b>	
<b>Barge Towing Fixed Operating Charge:</b> There are a number of tug boat companies that operate in New York Harbor that would move the barges from the MTS to the Global Container Terminal or some other intermodal facility in New York Harbor or up the Hudson River. Covanta is using Norfolk Marine Company. The towing service component of the Service Contract includes both a fixed monthly fee and a variable marine fuel fee. For FY2018 the fixed monthly fee is \$648,203/month times the CPI adjustment factor of 1.06316, or approximately \$689,150/month. Based on 30 days per month, this would be approximately \$22,971/day.	\$22,971.55
Source: Service Contract for Municipal Solid Waste Management, Transportation and Disposal (North Shore Marine Transfer Station and East 9 <sup>th</sup> Street Marine Transfer Station) between the City of New York, New York and Covanta Recovery, LP.	
<b>Barge Towing Fixed Fuel Operating Charge:</b> The Marine Fuel Price is \$62.87/Container times a fuel adjustment factor based on the New York Harbor Ultra-Low Sulfur No 2 Diesel Spot Price (Dollars per Gallon). The most recently published price of Ultra-Low Sulfur No 2 Diesel fuel for November 2017 was \$1.926 per gallon. The base price is \$3.095 per gallon, therefore, the estimate marine fuel price is \$62.87 multiplied by (1.916/3.095) = \$38.92/Container. We do not know if the fixed and variable costs for barge towing are the entire cost for the towing service or whether there is a markup in these values for Covanta.	\$38.92
Source: Service Contract for Municipal Solid Waste Management, Transportation and Disposal (North Shore Marine Transfer Station and East 9 <sup>th</sup> Street Marine Transfer Station) between the City of New York, New York and Covanta Recovery, LP.	
<b>Rail Transport Costs</b>	
The rail transport costs from GCT to the Lee County Landfill consists of two parts: a Basic Transport per-Railcar cost (which is a round trip cost) and a Fuel Surcharge cost. The following is based on the current cost paid by the City to transport Containers from GCT to the Lee County Landfill based on the Covanta contract for the North Shore MTS and the cost paid by the City for rail transport from the Staten Island transfer station to the Lee County landfill.	
The resulting cost estimate ranges between \$661.17/Container and \$700.40/Container.	
<b>North Shore Contract</b>	
<b>Basic Transport Per-Railcar:</b> The FY2018 merchant rail transport cost from the GCT to the Lee County landfill for the Covanta contract is \$2,512.06/Railcar or \$628.02/Container, based on four containers per railcar. All of these costs are round trip costs.	\$628.02
<b>Fuel Surcharge:</b> The Fuel Surcharge for the Covanta contract is based on the cost per gallon of the average U.S. No. 2 retail diesel fuel prices and the one-way rail distance between the Global Container Terminal and the Lee County Landfill of 863 miles. Per CSA the 8661 CSXT HRF/mileage based fuel index rate adjustment is \$0.20 per mile surcharge rate for November 2017. This results in an estimated Fuel Surcharge of approximately \$132.60/Railcar or \$33.15 per container, based on four containers per railcar.	\$33.15
<b>Combined Rail Transport Cost:</b> The estimate total rail transport cost from the Global Container Terminal to the Lee County Landfill is approximately \$661.17/Container (\$628.02 + \$33.15).	\$661.17
Source: Service Contract for Municipal Solid Waste Management, Transportation and Disposal (North Shore Marine Transfer Station and East 9 <sup>th</sup> Street Marine Transfer Station) between the City of New York, New York and Covanta Recovery, LP.	
<b>Staten Island Contract</b>	
<b>Basic Transport Per-Railcar:</b> The FY2018 rail transport cost from the Staten Island Transfer Station to the Lee County landfill for the Allied contract is \$2,466.20/Railcar or \$616.55/Container. All of these costs are round trip costs.	\$616.55
<b>Fuel Surcharge:</b> The Fuel Surcharge for the Allied Staten Island Contract is based on the cost per barrel of West Texas Intermediate (WTI) crude oil prices. Most recent monthly (November 2017) average cost of WTI crude oil was approximately \$56.64/barrel. Using the formula to calculate the Fuel Surcharge in the Allied Staten Island contract, results in an estimated Fuel Surcharge of approximately \$395.40/Railcar (i.e. 19.6% of \$2,466.20) or \$98.85 per container, based on four containers per railcar.	\$98.85
<b>Combined Rail Transport Cost:</b> The estimate total rail transport cost from the Staten Island Transfer Station to the Lee County Landfill is approximately \$700.40/Container (\$616.55 + \$83.85).	\$700.40
Source: Service Contract for Municipal Solid Waste Management, Transportation and Disposal (Staten Island Transfer Station) between the City of New York, New York and Allied Waste Systems, Inc. dated May 2, 2006.	
<b>Disposal Cost at Lee County</b>	
The FY2018 disposal costs at the Lee County landfill is \$26.58/ton for the North MTS project and \$26.32/ton for the Staten Island project.	\$26.68
Source: Service Contract for Municipal Solid Waste Management, Transportation and Disposal (North Shore Marine Transfer Station and East 9 <sup>th</sup> Street Marine Transfer Station) between the City of New York, New York and Covanta Recovery, LP.; and Service Contract for Municipal Solid Waste Management, Transportation and Disposal (Staten Island Transfer Station) between the City of New York, New York and Allied Waste Systems, Inc., dated July 7, 2006.	

Aggregate Base NYCT Variable Rate	Base NYCT Variable Rate/Container	Effective Rate (1)	Base Port Authority Container Assessment Variable Rate (2)	Base (LA Benefits Variable Rate (3)	Aggregate NYCT Variable Operating Cost per Container
		1.090963199			
Loaded and Accepted Containers per Operating Week					
less than 835	\$228.90	\$249.72	\$75.77	\$34.40	\$369.89
>834 and <930	\$218.05	\$237.88	\$75.77	\$34.40	\$348.05
>929 and < 1,025	\$187.40	\$215.98	\$75.77	\$34.40	\$325.53
>1,027 and < 1,484	\$185.50	\$202.37	\$75.77	\$34.40	\$312.54
>1,483 and < 1,761	\$163.10	\$177.94	\$75.77	\$34.40	\$288.11
more than 1,761	\$158.90	\$173.35	\$75.77	\$34.40	\$283.52
1) based on 10/1/17 thru 9/30/18 of \$36.76					
2) \$75.77 through 1/18 per Schedule D CMSW Rate 1/1/18 through 12/31/18 of \$37.89 - thus Base PAGA is twice that.					
3) Assumed no change consistent with prior experience					

(Source: Emails from Cynthia Diaz-Gabbe (DSNY) to Sarah Dolner (DSNY). RE Southwest Brooklyn Financial Assurance, various dates December 2017.)



### SECTION 8 – PROBLEMS

Were any problems encountered during the reporting period (e.g., specific occurrences which have led to changes in facility procedures)?

Yes  No If yes, attach additional sheets identifying each problem and the methods for resolution of the problem.

### SECTION 9 – CHANGES

Were there any changes from approved reports, plans, specifications, and permit conditions?

Yes  No If yes, attach additional sheets identifying changes with a justification for each change.

### SECTION 10 - PERMIT/CONSENT ORDER REPORTING REQUIREMENTS

Are there any additional permit/consent order reporting requirements not covered by the previous sections of this form?

Yes  No If yes, attach additional sheets identifying the reporting requirements with their respective responses.

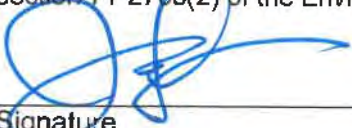
### SECTION 11 - SIGNATURE AND DATE BY OWNER OR OPERATOR

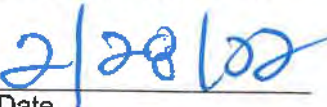
Owner or Operator must sign, date and submit one completed form to the appropriate Regional Office (See attachment for Regional Office addresses, email addresses and Materials Management Contacts).

The Owner or Operator must also submit one copy by email, fax or mail to:

**New York State Department of Environmental Conservation  
Division of Materials Management  
Bureau of Solid Waste Management  
625 Broadway  
Albany, New York 12233-7260  
Fax 518-402-9041  
Email address: SWMFannualreport@dec.ny.gov**

I certify, under penalty of law, that the data and other information identified in this report have been prepared under my direction and supervision in compliance with a system designed to ensure that qualified personnel properly and accurately gather and evaluate this information. I am aware that any false statement I make in such report is punishable pursuant to section 71-2703(2) of the Environmental Conservation Law and section 210.45 of the Penal Law.

  
Signature

  
Date

**John Capo**

Director of Solid Waste Management

**646 885 4693**

Name (Print or Type)

Title (Print or Type)

Phone Number

**125 Worth Street**

**New York**

**NY 10013**

Address

City

State and Zip

**jcapo@d sny.nyc.gov**

Email (Print or Type)

ATTACHMENTS:  YES  NO (Please check appropriate line)

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