



New York State Department of Environmental Conservation

Brownfield Cleanup Program

Citizen Participation Plan

for

GM COMPONENTS HOLDINGS, LLC

Building 7, Site ID #C932138

Building 8, Site ID #C932139

Building 10, Site ID #C932140

200 Upper Mountain Road
City of Lockport
Niagara County, New York

June 2010

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Note: The information presented in this Citizen Participation Plan was current as of the date of its approval by the New York State Department of Environmental Conservation. Portions of this Citizen Participation Plan may be revised during the brownfield site’s remedial process.

Applicant: **William J. McFarland on behalf of GM Components Holdings, LLC (GMCH) “Applicant”**
Site Names: **GM Components Holdings, LLC Building 7 “Building 7”**
GM Components Holdings, LLC Building 8 “Building 8”
GM Components Holdings, LLC Building 10 “Building 10”
Site Address: **200 Upper Mountain Road, Lockport, New York**
Site County: **Niagara County**
Site Number: **Building 7, #C932138**
Building 8, #C932139
Building 10, #C932140

1. What is New York’s Brownfield Cleanup Program?

New York’s Brownfield Cleanup Program (BCP) is designed to encourage the private sector to investigate, remediate (clean up) and redevelop brownfields. A brownfield is any real property where redevelopment or reuse may be complicated by the presence or potential presence of a contaminant. A brownfield typically is a former industrial or commercial property where operations may have resulted in environmental contamination. A brownfield can pose environmental, legal and financial burdens on a community. If the brownfield is not addressed, it can reduce property values in the area and affect economic development of nearby properties.

The BCP is administered by the New York State Department of Environmental Conservation (NYSDEC) which oversees Applicants that conduct brownfield site remedial activities.¹ An Applicant is a person whose request to participate in the BCP has been accepted by NYSDEC. The BCP contains investigation and remediation (cleanup) requirements, ensuring that cleanups protect public health and the environment. When NYSDEC certifies that these requirements have been met, the property can be reused or redeveloped for the intended use.

For more information about the BCP, go online at: <http://www.dec.ny.gov/chemical/8450.html> .

2. Citizen Participation Plan Overview

This Citizen Participation (CP) Plan provides members of the affected and interested public with information about how NYSDEC will inform and involve them during the investigation and remediation of the site identified above. The public information and involvement program will be carried out with assistance, as appropriate, from the Applicant.

Appendix A contains a map identifying the location of the site.

¹ “Remedial activities”, “remedial action”, and “remediation” are defined as all activities or actions undertaken to eliminate, remove, treat, abate, control, manage, or monitor contaminants at or coming from a brownfield site.

Project Contacts

Appendix B identifies NYSDEC project contact(s) to whom the public should address questions or request information about the site's remedial program. The public's suggestions about this CP Plan and the CP program for the site are always welcome. Interested people are encouraged to share their ideas and suggestions with the project contacts at any time.

Document Repositories

The locations of the site's document repositories also are identified in Appendix B. The document repositories provide convenient access to important project documents for public review and comment.

Site Contact List

Appendix C contains the brownfield site contact list. This list has been developed to keep the community informed about, and involved in, the investigation and remediation process. The brownfield site contact list will be used periodically to distribute fact sheets that provide updates about the status of the project. These will include notifications of upcoming remedial activities at the site (such as fieldwork), as well as availability of project documents and announcements about public comment periods.

The brownfield site contact list includes, at a minimum:

- chief executive officer and official(s) principally involved with relevant zoning and planning matters of each county, city, town and village in which the site is located;
- residents, owners, and occupants of the site and properties adjacent to the site;
- the public water supplier which services the area in which the site is located;
- any person who has requested to be placed on the site contact list;
- the administrator of any school or day care facility located on or near the site for purposes of posting and/or dissemination of information at the facility;
- document repositories.

Where the site or adjacent real property contains multiple dwelling units, the Applicant will work with NYSDEC to develop an alternative method for providing such notice in lieu of mailing to each individual. For example, the owner of such a property that contains multiple dwellings may be requested to prominently display fact sheets and notices required to be developed during the site's remedial process. This procedure would substitute for the mailing of such notices and fact sheets, especially at locations where renters, tenants and other residents may number in the hundreds or thousands, making the mailing of such notices impractical.

The brownfield site contact list will be reviewed periodically and updated as appropriate. Individuals and organizations will be added to the site contact list upon request. Such requests should be submitted to the NYSDEC project contact(s) identified in Appendix B. Other additions

to the brownfield site contact list may be made on a site-specific basis at the discretion of the NYSDEC project manager, in consultation with other NYSDEC staff as appropriate.

CP Activities

Appendix D identifies the CP activities, at a minimum, that have been and will be conducted during the site's remedial program. The flowchart in Appendix E shows how these CP activities integrate with the site remedial process. The public is informed about these CP activities through fact sheets and notices developed at significant points in the site's remedial process.

- **Notices and fact sheets** help the interested and affected public to understand contamination issues related to a brownfield site, and the nature and progress of efforts to investigate and remediate a brownfield site.
- **Public forums, comment periods and contact with project managers** provide opportunities for the public to contribute information, opinions and perspectives that have potential to influence decisions about a brownfield site's investigation and remediation.

The public is encouraged to contact project staff at any time during the site's remedial process with questions, comments, or requests for information about the remedial program.

This CP Plan may be revised due to changes in major issues of public concern identified in Section 6 or in the nature and scope of remedial activities. Modifications may include additions to the brownfield site contact list and changes in planned citizen participation activities.

3. Site Information

Site Description

GM Components Holdings, LLC (GMCH) owns and operates an automotive component manufacturing complex located at 200 Upper Mountain Road in both the City and Town of Lockport, which is located in Niagara County, New York. Within the complex, Building 7, Building 8 and Building 9 are dedicated to manufacturing and engineering. Building 6 has been leased to Delphi Properties Management, LLC for vehicle component engineering and testing. Building 10 has been converted to house new manufacturing operations staffed by non-GMCH personnel in the northern portion with the southern portion being used by GMCH as a warehouse (see Figure 1 in Appendix A).

GMCH is approximately 342 acres in size and located in an area of mixed residential, agricultural, commercial, and industrial settings along Upper Mountain Road. Across Upper Mountain Road, the Niagara Escarpment is located approximately one-half mile to the northeast. A stone quarry and former steel facility are located approximately 1 mile south of GMCH. Residential properties are generally present along the east and north sides of Upper Mountain Road and to the west.

Site History

Building 7 and areas to the northeast, west and east of the building footprint (see Figure 1 in Appendix A), are deemed the GMCH Building 7 BCP Site and will be referred to as Building 7. Building 7 constitutes approximately 31 of the 342 acres of the GMCH facility. It is located in the southern central portion of the complex and has been used for manufacturing since 1937. During the next few years, GMCH plans to reconfigure the Lockport Complex and consolidate most of the manufacturing operations into Building 7.

Building 8 (see Figure 1 in Appendix A), is deemed the GMCH Building 8 BCP Site and will be referred to as Building 8. Building 8 constitutes approximately 13 of the 342 acres of the GMCH facility. It is located in the northern central portion of the complex and has been used for manufacturing since 1960.

Building 10 (see Figure 1 in Appendix A), is deemed the GMCH Building 10 BCP Site and will be referred to as Building 10. Building 10 constitutes approximately 11 of the 342 acres of the GMCH facility. Building 10 was constructed in two sections, the north end in 1960 and the south end in 1969. It was used for warehousing until 1989 when manufacturing equipment installation began in the north end. From 1990 to 2002, the north end of the building was used for manufacturing. In 2007, Building 10 was converted to house new manufacturing operations staffed by non-GMCH personnel in the northern portion with the southern portion continuing to be used by GMCH as a warehouse.

Environmental History

In 2006, a voluntary facility-wide investigation of soil and groundwater conditions was conducted at the Lockport facility. The first phase of that work was the development of a Current Conditions Summary (CCS). The CCS is a non-invasive evaluation of a site that gathers the information necessary to satisfy the requirements of the Description of Current Conditions task, normally required as part of a RCRA Corrective Action.

After completion of the CCS, a field investigation was initiated to assess soil and groundwater conditions at each area of interest (AOI), identified by the CCS. A total of 144 soil borings were completed, and nine sediment and four surface soil samples were collected. Six monitoring wells were installed, but only five were sampled as one of the wells was dry. Over 400 soil and groundwater samples were analyzed for an extensive list of parameters. The field investigation activities and results were described in the Field Investigation Report (FIR). The FIR was submitted to the NYSDEC Region 9 office in January 2007, followed by the CCS submission in May 2007. The following is a summary of the investigation activities conducted at Buildings 7, 8 and 10.

Building 7 investigations identified elevated levels of chlorinated solvent in soils beneath several former degreaser areas within the building, as well as chlorinated solvents and semi-volatile organic compounds (SVOCs) in groundwater immediately up gradient (west) of the building. The chlorinated solvents detected in soil samples were generally below the Part 375-6.8(b) industrial soil cleanup objectives (Part 375 ISCOs). However, the volatile organic

compound (VOC) tetrachloroethene (PCE) was detected in three soil samples tested at concentrations above its respective Part 375 industrial SCO of 300 ppm. Additionally, some SVOCs were detected in soil samples from above the Part 375 ISCOs. Chlorinated solvents were detected above the NYSDEC groundwater standards in samples collected from monitoring wells sampled immediately upgradient and inside of Building 7.

Building 8 investigations identified three areas of interest (AOI) within the footprint of the building and included a former chromium sump area (central portion of the building), former degreasing locations (located throughout the building), and historic press operations area (northeastern portion of the building). Thirty-two borings were completed inside Building 8 in the three AOIs identified. At each AOI, samples were analyzed for VOCs, SVOCs, PCBs, and metals.

Arsenic was detected at a concentration of 65.8 parts per million (ppm) in a sample collected from 2 to 4 feet below ground surface (bgs) in the former chromium sump area. This detection of arsenic is above its Part 375 ISCO of 16 ppm. No other compounds were detected above their respective Industrial SCO in the samples collected the former chromium sump area.

Trichloroethene (TCE) was detected at a concentration of 1,000 ppm in a sample collected from 8 to 10 feet bgs from a former degreasing location in the southeastern portion of the building. This detection of TCE is above its Part 375 ISCO of 400 ppm. No other compounds were detected above their respective Industrial SCO in the soil samples collected to assess the former degreasing area.

Benzo(a)pyrene was detected at a concentration of 1.4 ppm in a sample collected from 0 to 1.5 feet bgs. This detection of benzo(a)pyrene is above its 375 ISCO of 1.1 ppm. No other compounds were detected above their respective Industrial SCO in the samples collected to assess the historic press operations.

Chlorinated solvents were detected in the groundwater sample collected from the monitoring well located just south of Building 8 at concentrations above their respective NYSDEC groundwater standards.

Building 10 investigations identified two AOIs within the footprint of the building and included a former painting operation (western portion of the building) and an area where soil contamination was encountered during construction of a sump in 1999 (central portion of the building). At each AOI, samples were analyzed for VOCs, SVOCs, PCBs, and metals. No detections above the Part 375 ISCO were found associated with the former painting operation. However, PCE was detected at 270 ppm in one sample from the sump area.

Based on the findings described above, a Focused Environmental Assessment (FEA) was conducted in the Summer of 2007. The purpose of the FEA was to determine if the contamination discovered in Building 10 in the Fall of 2006 required remediation. The FEA identified chlorinated solvent contamination in the vicinity of the former sump, primarily PCE, at concentrations above the Part 375 ISCOs and VOCs in groundwater above the NYSDEC groundwater standards.

Investigations were completed to delineate the extent of soil beneath Building 10 with PCE soil concentrations greater than the Part 375 Industrial SCO of 300 ppm. In October 2007, a Soil Vapor Extraction (SVE) system pilot study was conducted to develop the design of the SVE system to treat soil with PCE concentrations greater than 300 ppm.

Based on the findings of the soil investigation and SVE pilot study, a SVE and sub-slab depressurization (SSD) system was designed to remediate a 14,000 square foot area in the north central portion of Building 10. By March 2009, the SVE/SSD systems were installed and went into operation. The SVE/SSDs have generally been running continuously with brief shut-downs for system maintenance.

4. Remedial Process

Note: See Appendix E for a flowchart of the brownfield site remedial process.

Application

The Applicant has applied for and been accepted into New York's Brownfield Cleanup Program as a Participant. The Participant must fully characterize the nature and extent of contamination onsite, as well as the nature and extent of contamination, if any, that has migrated from the site. The Participant also must conduct a "qualitative exposure assessment," a process that characterizes the actual or potential exposures of people, fish and wildlife to contaminants on the site and to contamination, if any, that has migrated from the site.

The Applicant in its Application proposes that the site will be used for restricted purposes.

To achieve this goal, the Applicant will conduct remedial activities at Building, Building 8 and Building 10 BCP Sites with oversight provided by NYSDEC. The Brownfield Cleanup Agreement executed by NYSDEC and the Applicant sets forth the responsibilities of each party in conducting a remedial program at the site.

Investigation

If the Applicant conducts a remedial investigation (RI), it will be performed with NYSDEC oversight. The Applicant must develop a remedial investigation work plan, which is subject to public comment as noted in Appendix D. The goals of the investigation are as follows:

- 1) Define the nature and extent of contamination in soil, surface water, groundwater and any other impacted media;
- 2) Identify the source(s) of the contamination;
- 3) Assess the impact of the contamination on public health and/or the environment; and
- 4) Provide information to support the development of a Remedial Work Plan to address the contamination, or to support a conclusion that the contamination does not need to be addressed.

The Applicant will prepare an RI Report after it completes the RI. This report will summarize the results of the RI and will include the Applicant's recommendation of whether remediation is needed to address site-related contamination. The RI Report is subject to review and approval by NYSDEC. Before the RI Report is approved, a fact sheet that describes the RI Report will be sent to the site's contact list.

NYSDEC will determine if the site poses a significant threat to public health and/or the environment. If NYSDEC determines that the site is a "significant threat," a qualifying community group may apply for a Technical Assistance Grant (TAG). The purpose of a TAG is to provide funds to the qualifying community group to obtain independent technical assistance. This assistance helps the TAG recipient to interpret and understand existing environmental information about the nature and extent of contamination related to the site and the development/implementation of a remedy.

An eligible community group must certify that its membership represents the interests of the community affected by the site, and that its members' health, economic well-being or enjoyment of the environment may be affected by a release or threatened release of contamination at the eligible site.

For more information about the TAG Program and the availability of TAGs, go online at: <http://www.dec.ny.gov/regulations/2590.html>.

Remedy Selection

After NYSDEC approves the RI Report, the Applicant will be able to develop a Remedial Work Plan, if remediation is required. The Remedial Work Plan describes how the Applicant would address the contamination related to the Buildings 7, 8 and 10.

The public will have the opportunity to review and comment on the draft Remedial Work Plan. The contact list will be sent a fact sheet that describes the draft Remedial Work Plan and announces a 45-day public comment period. NYSDEC will factor this input into its decision to approve, reject or modify the draft Remedial Work Plan.

A public meeting may be held by NYSDEC about the proposed Remedial Work Plan if requested by the affected community and if significant substantive issues are raised about the draft Remedial Work Plan. Please note that, in order to request a public meeting, the health, economic well-being or enjoyment of the environment of those requesting the public meeting must be threatened or potentially threatened by the site. In addition, the request for the public meeting should be made within the first 30 days of the 45-day public comment period for the draft Remedial Work Plan. A public meeting also may be held at the discretion of the NYSDEC project manager in consultation with other NYSDEC staff as appropriate.

Remedial Action

Approval of the Remedial Work Plan by NYSDEC will allow the Applicant to design and construct the alternative selected to remediate Building 7, 8 and 10. The contact list will receive notification before the start of remediation. When the Applicant completes remedial activities, it will prepare a final engineering report that certifies that remediation requirements have been achieved or will be achieved within a specific time frame. NYSDEC will review the report to be certain that the remediation is protective of public health and the environment for the intended use of the site. The contact list will receive a fact sheet that announces the completion of remedial activities and the review of the final engineering report.

Certificate of Completion and Site Management

Once NYSDEC approves the final engineering report, it will issue the Applicant a Certificate of Completion. This Certificate states that remediation goals have been achieved, and relieves the Applicant from future remedial liability, subject to statutory conditions. The Certificate also includes a description of any institutional and engineering controls or monitoring required by the approved remedial work plan. If the Applicant uses institutional controls or engineering controls to achieve remedial objectives, the contact list will receive a fact sheet that discusses such controls.

An institutional control is a non-physical restriction on use of the brownfield site, such as a deed restriction that would prevent or restrict certain uses of the remediated property. An institutional control may be used when the remedial action leaves some contamination that makes the site suitable for some, but not all uses.

An engineering control is a physical barrier or method to manage contamination, such as a cap or vapor barrier.

Site management will be conducted by the Applicant as required. NYSDEC will provide appropriate oversight. Site management involves the institutional and engineering controls required for the brownfield site. Examples include: operation of a water treatment plant, maintenance of a cap or cover, and monitoring of groundwater quality.

5. Citizen Participation Activities

CP activities that have already occurred and are planned during the investigation and remediation of Building 7, 8 and 10 under the BCP are identified in Appendix D: Identification of Citizen Participation Activities. These activities also are identified in the flowchart of the BCP process in Appendix E. NYSDEC will ensure that these CP activities are conducted, with appropriate assistance from the Applicant.

All CP activities are conducted to provide the public with significant information about findings and planned remedial activities, and some activities announce comment periods and request public input about important draft documents such as the Remedial Work Plan.

All written materials developed for the public will be reviewed and approved by NYSDEC for clarity and accuracy before they are distributed. Notices and fact sheets can be combined at the discretion, and with the approval of, NYSDEC.

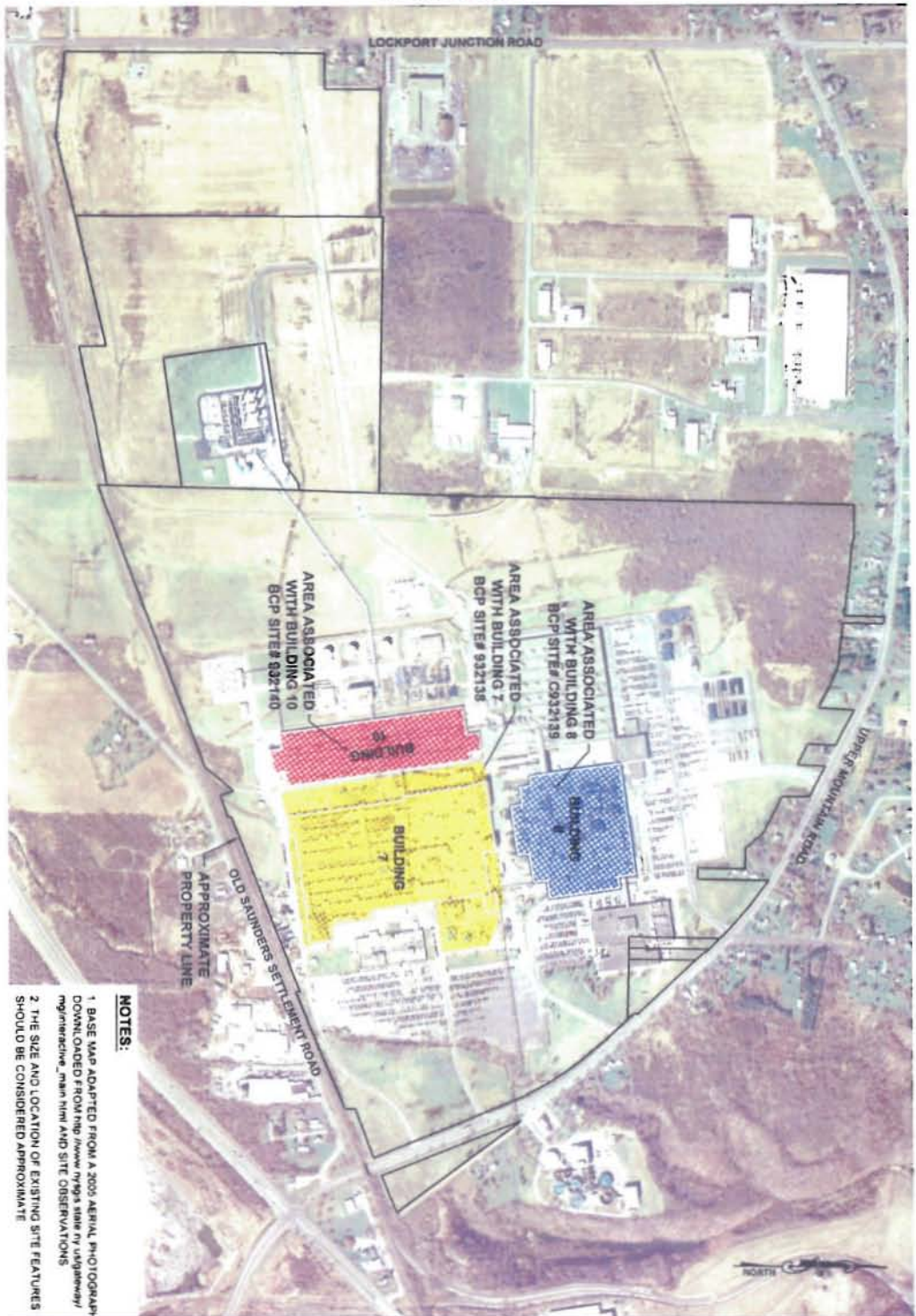
6. Major Issues of Public Concern

This section of the CP Plan identifies major issues of public concern, if any, that relate to Buildings 7, 8 and 10. Additional major issues of public concern may be identified during the investigation and remedial process.


At this time, no Major Issues of Public Concern have been identified. The groundwater contamination is present in the bedrock and appears to be limited to the GMCH property. Therefore, no exposure pathways exist as the GMCH facility and surrounding areas are on publicly supplied water. Upon completion of the remedial investigation, this section will be revisited to determine if any major issues of public concern have been identified.

The Contact List in Appendix C will be used to keep the community informed of the investigation and remediation process. It will be used periodically to distribute fact sheets that provide updates about the status of the BCP projects. These will include notifications of upcoming remedial activities at the site (such as fieldwork), as well as availability of project documents and announcements about public comment periods.

Appendix A Site Plan



NOTES:
 1. BASE MAP ADAPTED FROM A 2005 AERIAL PHOTOGRAPH DOWNLOADED FROM http://www.ny9s.state.ny.us/geoweb/mgr/mgractive_main.htm AND SITE OBSERVATIONS
 2. THE SIZE AND LOCATION OF EXISTING SITE FEATURES SHOULD BE CONSIDERED APPROXIMATE

PRODUCT NO. 21.0056546.00 FIGURE NO. 1	GM COMPONENTS HOLDINGS, LLC 200 UPPER MOUNTAIN ROAD LOCKPORT, NEW YORK	APPROXIMATE SCALE IN FEET 0 300 600 1200	DRAWN BY: DEW DATE: MARCH 2010
	CITIZEN PARTICIPATION PLAN SITE PLAN	 GZA GeoEnvironmental of New York	

Appendix B

Project Contacts and Document Repositories

Project Contacts

For information about the remedial program, the public may contact any of the following project staff:

New York State Department of Environmental Conservation (NYSDEC):

Glenn May
Project Manager
NYSDEC 9
Division of Environmental Remediation
270 Michigan Avenue, Buffalo, NY 14203
716-851-7220

Mark Baetzhold
Citizen Participation Specialist
NYSDEC 9
270 Michigan Avenue, Buffalo, NY 14203
716-851-7220

New York State Department of Health (NYSDOH):

Matt Forcucci
Project Manager
NYSDOH
584 Delaware Avenue
716-847-4500

Document Repositories

The document repositories identified below have been established to provide the public with convenient access to important project documents:

Lockport Public Library
23 East Avenue, Lockport, NY 14094
Attn: Reference Librarian
Phone: 716-433-5935
Hours: Monday-Thursday, 10am to 9pm
Friday & Saturday, 10am to 5pm

NYSDEC 9
Attn: Glenn May
Phone: 716-851-7220
Hours: Monday through Friday 9am to 4 pm
(call for appointment)

Appendix C

Brownfield Site Contact List

NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION

Gregory Sutton, P.E.
270 Michigan Avenue
Buffalo, NY 14203

Glenn May
270 Michigan Avenue
Buffalo, NY 14203

NEW YORK STATE DEPARTMENT OF HEALTH

Matthew Forcucci
584 Delaware Avenue
Buffalo, NY 14202

NIAGARA COUNTY

William Ross
Niagara County Legislative Chairman
175 Hawley Street
Lockport, NY 14094

Greg Lewis
County Manager
59 Park Avenue
Lockport, NY 14094

CITY OF LOCKPORT

Michael Tucker
Mayor
One Locks Plaza
Lockport, NY 14094

Richard Blackey
Zoning Board Chairperson
49 Gaffney Road
Lockport, NY 14094

Paula Sattelberg
Director of Public Utilities
611 West Jackson Street
Lockport, NY 14094

TOWN OF LOCKPORT

Marc Smith
Town Supervisor
6560 Dysinger Road
Lockport, NY 14094

Timothy Lederhaus
Zoning Board of Appeals Chairman
6200 Robinson Road
Lockport, NY 14094

Richard Forsey
Town Planning & Zoning Board
6200 Robinson Road
Lockport, NY 14094

David Kinyon, Director
Town of Lockport IDA
6560 Dysinger Road
Lockport, NY 14094

BUILDING 6 TENANTS

Delphi Automotive Systems, LLC
5725 Delphi Drive
Troy, Michigan 48098-2815
248-813-2000

BUILDING 7 TENANTS

Paul Soldridge
Air Products & Chemicals, Inc.
7201 Hamilton Boulevard
Allentown, PA 18195-1501

BUILDING 10 TENANTS

Rick G. Bohn
Supplier Park Industries LLC
PO Box 23271
Chagrin Falls, OH 44023

ADJACENT PROPERTIES

Spartech Polycom
4921 IDA Park Drive
Lockport, NY 14094

Tom Gesicki
Lockport Energy Associates LP
5077 R Junction Road
Lockport, NY 14094

Linda Haley or Current
Resident
5524 Old Saunders Settlement
Road
Lockport, NY 14094

Russell LaRoach or Current
Resident
5574 Old Saunders Settlement
Road
Lockport, NY 14094

5626 Saunders Settlement LLC
5620 Old Saunders Settlement
Road
Lockport, NY 14094

5626 Saunders Settlement LLC
5626 Old Saunders Settlement
Road
Lockport, NY 14094

Avon Rubber & Plastic Inc. or
Current Occupant
5638 Old Saunders Settlement
Road
Lockport, NY 14094

Friendship Baptist Church
5652 Old Saunders Settlement
Road
Lockport, NY 14094

Bernadine Amlaw or Current
Resident
5677 Upper Mountain Road
Lockport, NY 14094

Lewis Hagen or Current
Resident
5669 Upper Mountain Road
Lockport, NY 14094

Joseph Verdonelli Jr. or
Current Resident
5659 Upper Mountain Road
Lockport, NY 14094

Patrick Nye or Current Resident
5625 Upper Mountain Road
Lockport, NY 14094

David Hillman or Current
Resident
5613 Upper Mountain Road
Lockport, NY 14094

Carmen Buttaccio or Current
Resident
5611 Upper Mountain Road
Lockport, NY 14094

Kevin Knieriem or Current
Resident
5605 Upper Mountain Road
Lockport, NY 14094

Roger Baker or Current
Resident
5541 Upper Mountain Road
Lockport, NY 14094

Michael Cloen or Current
Resident
5525 Upper Mountain Road
Lockport, NY 14094

Shirley O'Sullivan or Current
Resident
5515 Upper Mountain Road
Lockport, NY 14094

Daniel Sciascia or Current
Resident
5511 Upper Mountain Road
Lockport, NY 14094

Martin Crandall or Current
Resident
5507 Upper Mountain Road
Lockport, NY 14094

Robert Laport or Current
Resident
5503 Upper Mountain Road
Lockport, NY 14094

Wayne Wruck or Current
Resident
5497 Upper Mountain Road
Lockport, NY 14094

Michael Wachowicz or Current
Resident
5479 Upper Mountain Road
Lockport, NY 14094

Mark Erikson or Current
Resident
5477 Upper Mountain Road
Lockport, NY 14094

United Cerebral Palsy or
Current Occupant
4900 Mountain View Drive
Lockport, NY 14094

Frank Abrams or Current
Resident
5459 Upper Mountain Road
Lockport, NY 14094

Deborah Burns or Current
Resident
5453 Upper Mountain Road
Lockport, NY 14094

David Tolli or Current Resident
5451 Upper Mountain Road
Lockport, NY 14094

Angelika Pawlik or Current
Resident
5447 Upper Mountain Road
Lockport, NY 14094

Jay Simon or Current Resident
5443 Upper Mountain Road
Lockport, NY 14094

John Fulcher Jr. or Current
Resident
5437 Upper Mountain Road
Lockport, NY 14094

Scott Bruning or Current
Resident
5433 Upper Mountain Road
Lockport, NY 14094

James Floyd or Current Resident
5429 Upper Mountain Road
Lockport, NY 14094

Teresa Sidar or Current Resident
4899 Gothic Hill Road
Lockport, NY 14094

Donald Barish or Current
Resident
5420 Upper Mountain Road
Lockport, NY 14094

Daniel Tomkinson or Current
Resident
5428 Upper Mountain Road
Lockport, NY 14094

Theodore Case or Current
Resident
5434 Upper Mountain Road
Lockport, NY 14094

William Westlake Jr. or Current
Resident
5440 Upper Mountain Road
Lockport, NY 14094

Rodney McKeown or Current
Resident
5454 Upper Mountain Road
Lockport, NY 14094

Paul Mathews or Current
Resident
5462 Upper Mountain Road
Lockport, NY 14094

Jill Thomas or Current Resident
5468 Upper Mountain Road
Lockport, NY 14094

Peter Wagner or Current
Resident
5474 Upper Mountain Road
Lockport, NY 14094

Robert Ott or Current Resident
5452 Upper Mountain Road
Lockport, NY 14094

James Witkop or Current
Resident
5482 Upper Mountain Road
Lockport, NY 14094

Dorothy Pollock or Current
Resident
5466 Upper Mountain Road
Lockport, NY 14094

Marilyn Link or Current
Resident
5478 Upper Mountain Road
Lockport, NY 14094

Claudette Hallatt or Current
Resident
5498 Upper Mountain Road
Lockport, NY 14094

Gerald Wagner or Current
Resident
5518 Upper Mountain Road
Lockport, NY 14094

Michael Vincent or Current
Resident
5526 Upper Mountain Road
Lockport, NY 14094

Ronald Hanley or Current
Resident
5075 Junction Road
Lockport, NY 14094

Cleveland Schreiber or Current
Resident
5071 Junction Road
Lockport, NY 14094

Timothy Laport or Current
Resident
5069 Junction Road
Lockport, NY 14094

Daniel Nye or Current Resident
5063 Junction Road
Lockport, NY 14094

The People of the State
5055 Junction Road
Lockport, NY 14094

Appendix C

Brownfield Site Contact List

LOCAL NEW MEDIA

Buffalo News
One News Plaza
PO Box 100
Buffalo, NY 14240

LCTV
293 Niagara Street
Lockport, NY 14094

Lockport Union Sun & Journal
170 East Avenue
Lockport, NY 14094

WLVL 1340 AM
320 Michigan Street
Lockport, NY 14094

PUBLIC WATER SUPPLY

Paula Sattelburg
Director of Public Utilities
611 West Jackson Street
Lockport, NY 14094

SCHOOLS & DAY CARES

No schools or day cares are located within a ¼ mile radius of the Site.

DOCUMENT REPOSITORY

Lockport Public Library
23 East Avenue
Lockport, NY 14094

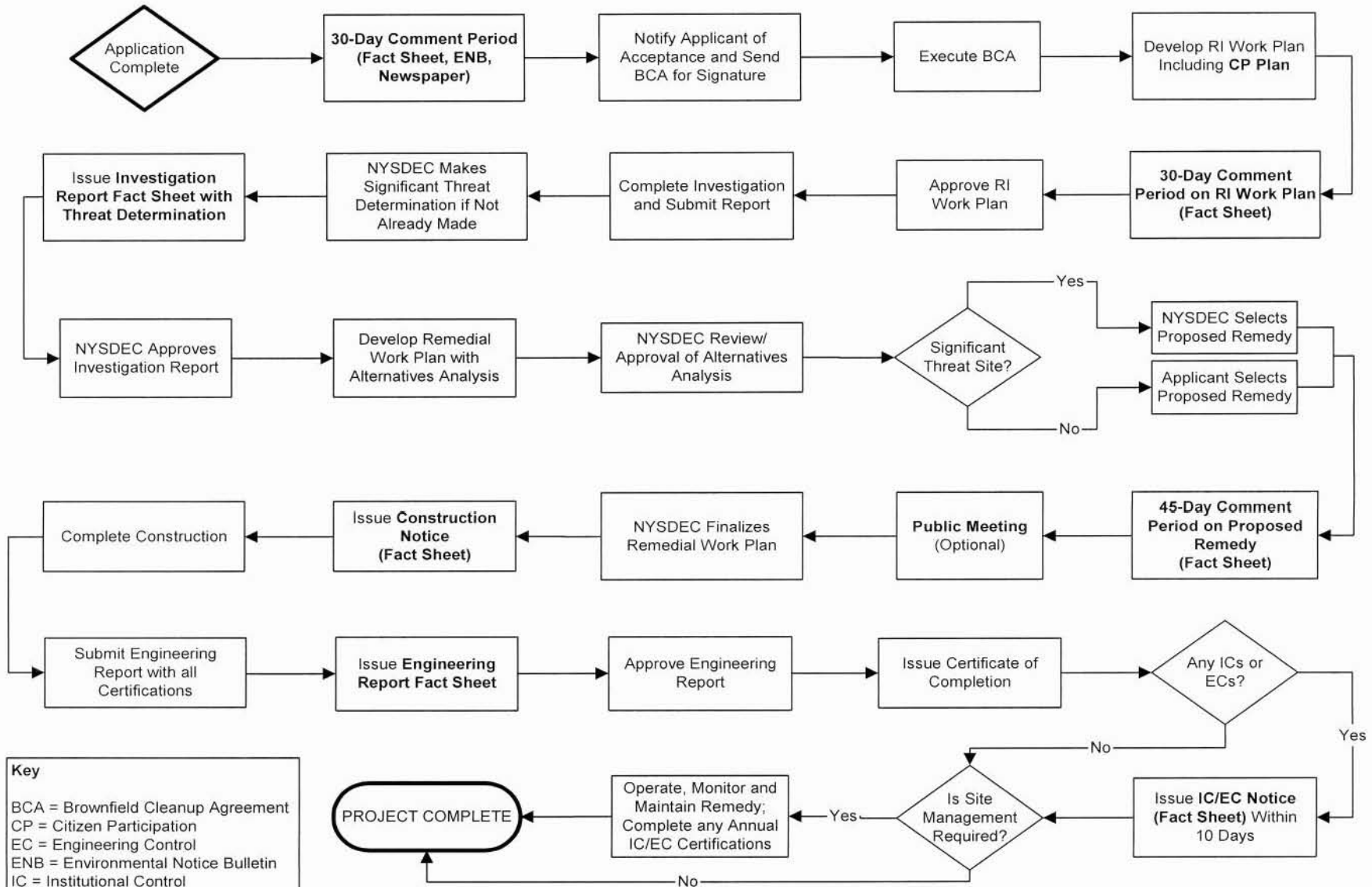
Appendix D

Identification of Citizen Participation Activities

Required Citizen Participation (CP) Activities	Timing of CP Requirement(s)
Application Process:	
<ul style="list-style-type: none"> • Prepare brownfield site contact list (BSCL) 	When Requestor prepares Application to participate in BCP
<ul style="list-style-type: none"> • Establish document repository • Place complete Application in document repository • Publish notice in Environmental Notice Bulletin (ENB) announcing receipt of application and 30-day comment period • Publish notice in local newspaper • Mail notice to site contact list • Conduct 30-day public comment period on the complete Application 	When NYSDEC determines that Application is complete. Comment period begins on publication date of ENB notice. End date is as stated in ENB notice. ENB, newspaper, and site contact list notices should be provided at the same time. Notice should request comments on all appropriate documents included in Application package (RI Work Plan, RI Report and/or RWP)
After Execution of Brownfield Cleanup Agreement (BCA):	
<ul style="list-style-type: none"> • Prepare Citizen Participation (CP) Plan 	Draft CP Plan must be submitted to NYSDEC within 20 days of execution of BCA. CP Plan must be approved by NYSDEC before distribution
Before NYSDEC Approves Proposed Remedial Investigation (RI) Work Plan:	
<ul style="list-style-type: none"> • Place proposed RI Work Plan in document repository • Mail fact sheet to BSCL about proposed RI Work Plan and 30-day public comment period on the proposed RI Work Plan 	Before NYSDEC approves RI Work Plan. If RI Work Plan is submitted with Application, comment periods will be combined and public notice will include fact sheet. 30-day comment period begins/ends as per dates identified in fact sheet
<ul style="list-style-type: none"> • Place approved RI Work Plan in document repository 	When NYSDEC approves RI Work Plan
Before NYSDEC Approves Remedial Investigation Report:	
<ul style="list-style-type: none"> • Mail fact sheet to BSCL that describes RI results 	Before NYSDEC approves RI Report
<ul style="list-style-type: none"> • Place approved RI Report in document repository 	When NYSDEC approves RI Report
Significant Threat Determination:	
<ul style="list-style-type: none"> • Mail fact sheet to BSCL that discusses significant threat determination. Combine notice with another fact sheet where appropriate 	Determination may be made any time during remedial process but no later than 20 days after NYSDEC approves RI Report. A significant threat site is eligible for a Technical Assistance Grant
Before NYSDEC Approves Remedial Work Plan (RWP):	
<ul style="list-style-type: none"> • Place draft RWP in document repository • Mail fact sheet to BSCL that describes draft RWP and announces 45-day comment period • Conduct 45-day public comment period about draft RWP • Hold public meeting about draft RWP if site a significant threat and requested by community 	Before NYSDEC approves RWP. Comment period begins/ends as per dates identified in fact sheet. Public meeting would be held within the 45-day comment period
<ul style="list-style-type: none"> • Place approved RWP and final Decision Document in document repository 	When NYSDEC approves RWP and finalizes Decision Document

Required Citizen Participation (CP) Activities	Timing of CP Requirement(s)
	(continued)
Before Applicant Starts Remedial Action (RA):	
<ul style="list-style-type: none"> • Mail fact sheet to BSCL that describes upcoming RA 	Before the start of RA at the site
Before NYSDEC Approves Final Engineering Report:	
<ul style="list-style-type: none"> • Mail fact sheet to BSCL that describes report, and any proposed institutional/engineering controls • Mail fact sheet to BSCL announcing issuance of Certificate of Completion (COC) 	Before NYSDEC approves Final Engineering Report
When NYSDEC Issues Certificate of Completion (COC):	
<ul style="list-style-type: none"> • Place notice of COC in document repository • Mail fact sheet to site contact list that announces issuance of COC 	Within 10 days after NYSDEC issues COC

Appendix E – Brownfield Cleanup Program Process



Key
 BCA = Brownfield Cleanup Agreement
 CP = Citizen Participation
 EC = Engineering Control
 ENB = Environmental Notice Bulletin
 IC = Institutional Control
 RI = Remedial Investigation

Note: CP Activities are in Bold