

# BROWNFIELD CLEANUP PROGRAM (BCP) APPLICATION TO AMEND BROWNFIELD CLEANUP AGREEMENT AND AMENDMENT

## PART I. BROWNFIELD CLEANUP AGREEMENT AMENDMENT APPLICATION

| 1. Check the appropriate box(es) below based on the nature of the amendment modification requested   |
|--|
| Amendment to modify the existing BCA: [check one or more boxes below]  |
| <ul> <li>Add applicant(s)</li> <li>✓ Substitute applicant(s)</li> <li>✓ Remove applicant(s)</li> <li>✓ Change in Name of applicant(s)</li> </ul>   |
| Amendment to reflect a transfer of title to all or part of the brownfield site   |
| <ul><li>1a. A copy of the recorded deed must be provided. Is this attached? ☐ Yes ☑ No</li><li>1b. ☐ Change in ownership ☐ Additional owner (such as a beneficial owner)</li></ul>   |
| If yes, pursuant to 6 NYCRR Part 375-1.11(d), a Change of Use form should have been previously submitted. If not, please submit this form with this Amendment. See http://www.dec.ny.gov/chemical/76250.html   |
| Amendment to modify description of the property(les) listed in the existing Brownfield Cleanup Agreement [Complete Sections I and V below and Part II]   |
| Amendment to Expand or Reduce property boundaries of the property(ies) listed in the existing Brownfield Cleanup Agreement [Complete Section I and V below and Part II]  |
| Sites in Bronx, Kings, New York, Queens, or Richmond counties ONLY: Amendment to request determination that the site is eligible for the tangible property credit component of the brownfield redevelopment tax credit. Please answer questions on the supplement at the end of the form.  |
| Other (explain in detail below)  |
| 2. Required: Please provide a brief narrative on the nature of the amendment:  |
| Goulds Pumps, Incorporated is a named participant to the BCA. Goulds Pumps, Incorporated went through a corporate reorganization as follows. Goulds Pumps, Incorporated was converted to Goulds Pumps, LLC (a Delaware corporation). Goulds Pumps, LLC then sold certain assets to a newly-formed ITT Goulds Pumps, Inc. (a Delaware corporation registered in New York), including the assets at the Site. ITT Goulds Pumps, Inc. is now the operating entity at the Site and the parent company of Goulds Pumps Administration Inc., the property owner of the Site and also a named participant to the BCA. As a result of this corporate reorganization, ITT Goulds Pumps, Inc., as the operating entity at the Site, should now be the named participant to the BCA instead of Goulds Pumps, Incorporated. Please see the attached corporate reorganization papers. |
| Please note that ITT Goulds Pumps, Inc. is not seeking a transfer of title. Title remains in Goulds Pumps Administration Inc., another participant to the BCA and which was not effected by the corporate reorganization.  *Please refer to the attached instructions for guidance on filling out this application*  |
|  |

\*Submission of a full BCP application will be required should this application be determined to be a major amendment. If the amendment involves a non-insignificant change in acreage, applicants are encouraged to consult with the DEC project team prior to submitting this application.\*

March 2021

| Section I. Current Agreement II  | nformation          |   |  |  |  |
|--|---------------------|---|--|--|--|
| BCP SITE NAME: Goulds Pum  | ps Facility Site    | BCP SITE NUMBER: C850013                          |  |  |  |
| NAME OF CURRENT APPLICANT(S); Goulds Pumps Administration Inc., Goulds Pumps, Incorporated, and ITT Corporation  |                     |   |  |  |  |
| INDEX NUMBER OF AGREEMENT: C850013-06-14 DATE OF ORIGINAL AGREEMENT: 9/19/14   |                     |   |  |  |  |
| Section II. New Requestor Infor  | mation (complete or | nly if adding new requestor or name has changed)  |  |  |  |
| NAME ITT Goulds Pumps, Ir  | nc.                 |   |  |  |  |
| ADDRESS 240 Fall Street  |                     |   |  |  |  |
| CITY/TOWN Seneca Falls, I  | NY                  | ZIP CODE 13148                                    |  |  |  |
| PHONE914-641-2159  | FAX914-696-2950     | E-MAILJohn.Capela@itt.com                         |  |  |  |
| PHONE 914-641-2159 FAX914-696-2950 E-MAIL John. Capela@itt.com  1. Is the requestor authorized to conduct business in New York State (NYS)? ✓ Yes No  • If the requestor is a Corporation, LLC, LLP or other entity requiring authorization from the NYS Department of State to conduct business in NYS, the requestor's name must appear, exactly as given above, in the NYS Department of State's (DOS) Corporation & Business Entity Database. A print-out of entity information from the DOS database must be submitted to DEC with the application, to document that the applicant is authorized to do business in NYS.   |                     |   |  |  |  |
| NAME OF NEW REQUESTOR'S  | REPRESENTATIVE      | Jeffrey Stanek, Director of Environmental Affairs |  |  |  |
| ADDRESS56 Technology Dr  | ive                 |   |  |  |  |
| CITY/TOWN Irvine, CA   |                     | ZIP CODE <b>92618</b>                             |  |  |  |
| PHONE 949-562-7401   | FAXNone             | E-MAILJeffrey.Stanek@itt.com                      |  |  |  |
| NAME OF NEW REQUESTOR'S  | CONSULTANT (if ap   | pplicable)Ramboll (Deborah Wright)                |  |  |  |
| ADDRESS333 West Washin   | gton Street         |   |  |  |  |
| CITY/TOWN Syracuse, NY   |                     | ZIP CODE <b>13202</b>                             |  |  |  |
| PHONE 315-956-6100   | FAX315-463-7554     | E-MAILDeborah.Wright@ramboll.com                  |  |  |  |
| NAME OF NEW REQUESTOR'S  | ATTORNEY (if appli  | cable)Michael Peters (The West Firm, PLLC)        |  |  |  |
| ADDRESSPeter Kiernan Plaza, 575 Broadway, 2nd Floor  |                     |   |  |  |  |
| CITY/TOWN Albany, NY ZIP CODE 12207-2931   |                     |   |  |  |  |
| PHONE 518-641-0500   | FAX518-615-1500     | E-MAILmpeters@westfirmlaw.com                     |  |  |  |
| 2. Requestor must submit proof that the party signing this Application and Amendment has the authority to bind the Requestor. This would be documentation from corporate organizational papers, which are updated, showing the authority to bind the corporation, or a Corporate Resolution showing the same, or an Operating Agreement or Resolution for an LLC. Is this proof attached?  |                     |   |  |  |  |
| 3. Describe Requestor's Relationship to Existing Applicant:  |                     |   |  |  |  |
| Goulds Pumps, Incorporated is a named participant to the BCA. Goulds Pumps, Incorporated went through a corporate reorganization as follows. Goulds Pumps, Incorporated was converted to Goulds Pumps, LLC (a Delaware corporation). Goulds Pumps, LLC then sold certain assets to a newly-formed ITT Goulds Pumps, Inc. (a Delaware corporation registered in New York), including the assets at the Site. ITT Goulds Pumps, Inc. is now the operating entity at the Site and the parent company of Goulds Pumps Administration Inc., the property owner of the Site and also a named participant to the BCA. As a result of this corporate reorganization, ITT Goulds Pumps, Inc., as the operating entity at the Site, should now be the named participant to the BCA instead of Goulds Pumps, Incorporated. Please see the attached corporate reorganization papers. |                     |   |  |  |  |

Site Code: C850013 2

| _  |   |  |   |   |  |
|--|---|--|---|---|--|
| Section III. Current Property Owner/Operator Information (only include if new owner/operator) Owner below is: Existing Applicant New Applicant Non-Applicant |   |  |   |   |  |
| OWNER'S NAME (if different from requestor)   |   |  |   |   |  |
| Al   | DDRESS  |  |   |   |  |
| C  | TY/TOWN   |  | ZIP   | CODE  |  |
| PI   | HONE  | FAX  | E-MAIL  |   |  |
| Ó  | PERATOR'S NAME (if differen   | t from requestor or owner)   |   |   |  |
| ΑI   | DDRESS  |  |   |   |  |
| CI   | TY/TOWN   |  | ZIP   | CODE  |  |
| Pł   | HONE  | FAX  | E-MAIL  |   |  |
| Se   | ection IV. Eligibility Information  | on for New Requestor (Pleas  | e refer to ECL § 27-1407                                | for more detail)                                  |  |
|  | answering "yes" to any of the fo  |  |   |   |  |
|  |   | <b>7</b> 4   |   |   |  |
| 1.   | Are any enforcement actions   | pending against the requestor  | regarding this site?                                    | ∐Yes <b>√</b> No                                  |  |
| 2.   | Is the requestor presently sub<br>relating to contamination at th   | ject to an existing order for the<br>e site?                           | e investigation, removal or                             | remediation<br>☐Yes ☑ No                          |  |
| 3.   | Is the requestor subject to an<br>Any questions regarding whet<br>Fund Administrator.   | outstanding claim by the Spill<br>her a party is subject to a spill    |   | ☐Yes ☑No<br>d with the Spill                      |  |
| 4.   | Has the requestor been determined any provision of the subject law Article 27 Title 14; or iv) any sign explanation on a separate a | w; ii) any order or determination<br>milar statute, regulation of the  | n; iii) any regulation imple                            | menting ECL                                       |  |
| 5.   | Has the requestor previously be application, such as name, addrelevant information.   | een denied entry to the BCP?<br>dress, Department assigned sit         | If so, include information<br>te number, the reason for | relative to the<br>denial, and other<br>☐Yes ☑ No |  |
| 6.   | Has the requestor been found act involving the handling, stor   | in a civil proceeding to have coing, treating, disposing or trans      | ommitted a negligent or in sporting of contaminants?    | tentionally tortious<br>☐Yes ☑ No                 |  |
| 7.   | Has the requestor been conviction disposing or transporting of color offense against public admir federal law or the laws of any s  | ntaminants; or ii) that involves nistration (as that term is used      | a violent felony, fraud, bril                           | pery, perjury, theft,                             |  |
| 8.   | Has the requestor knowingly fa<br>jurisdiction of the Department,<br>in connection with any docume                                  | or submitted a false statement   | or made use of or made a                                |   |  |
| 9.   | Is the requestor an individual o<br>or failed to act, and such act or   | r entity of the type set forth in l<br>failure to act could be the bas | ECL 27-1407.9(f) that con<br>is for denial of a BCP app | nmitted an act<br>lication?<br>☐Yes ☑No           |  |
| 10.  | Was the requestor's participat<br>by a court for failure to substa  | ion in any remedial program ur<br>ntially comply with an agreeme       |   |   |  |
| 11.  | Are there any unregistered but  | lk storage tanks on-site which r                                       | require registration?                                   | □Yes VNo  |  |

| THE NEW REQUESTOR MUST CERTIFY THAT IT IS ACCORDANCE WITH ECL §27-1405 (1) BY CHECKII   |   |  |  |  |  |  |
|---|---|--|--|--|--|--|
| PARTICIPANT A requestor who either 1) was the owner of the site at the time of the disposal of contamination or 2) is otherwise a person responsible for the contamination, unless the liability arises solely as a result of ownership, operation of, or involvement with the site subsequent to the disposal of contamination.                  | VOLUNTEER  A requestor other than a participant, including of contamination or 2) is requestor whose liability arises solely as a result ownership, operation of or involvement with the siability arises solely as a subsequent to the disposal of hazardous waste on of, or involvement with  |  |  |  |  |  |
|   | NOTE: By checking this box, a requestor whose liability arises solely as a result of ownership, operation of or involvement with the site certifies that he/she has exercised appropriate care with respect to the hazardous waste found at the facility by taking reasonable steps to: i) stop any continuing discharge; ii) prevent any threatened future release; iii) prevent or limit human, environmental, or natural resource exposure to any previously released hazardous waste. |  |  |  |  |  |
|   | If a requestor whose liability arises solely as a result of ownership, operation of or involvement with the site, submit a statement describing why you should be considered a volunteer — be specific as to the appropriate care taken.  |  |  |  |  |  |
| 12. Requestor's Relationship to Property (check one):   |   |  |  |  |  |  |
| ☐ Prior Owner ☐ Current Owner ☐ Potential /Future Purchaser ✓ Other Site operator   |   |  |  |  |  |  |
| 13. If requestor is not the current site owner, proof of site access sufficient to complete the remediation must be submitted. Proof must show that the requestor will have access to the property before signing the BCA and throughout the BCP project, including the ability to place an easement on the site. Is this proof attached?  Yes No |   |  |  |  |  |  |
| Note: a purchase contract does not suffice as proof of access.  |   |  |  |  |  |  |

| Section V. Property description and description of changes/a  | dditions/re                           | ductions     | (if applical   | ole)   |
|---|---------------------------------------|--------------|--|--|
| Property information on current agreement:  |                                       |              |  |  |
| ADDRESS   |                                       |              |  |  |
| CITY/TOWN   |                                       | ZIP (        | CODE   |  |
| TAX BLOCK AND LOT (SBL)   | OTAL ACRE                             | AGE OF CL    | JRRENT SIT   | E:   |
| Parcel Address  | Section No                            | . Block No.  | Lot No.  | Acreage  |
|   |                                       |              |  |  |
|   |                                       |              |  | -  |
|   |                                       |              |  |  |
| 2. Check appropriate boxes below:   | · · · · · · · · · · · · · · · · · · · |              | 4 mm to 10 m |  |
| Addition of property (may require additional citizen participa the expansion – see attached instructions)                           | tion depend                           | ling on the  | nature of  |  |
| 2a. PARCELS ADDED:  |                                       |              |  | Acreage<br>Added by  |
| Parcel Address  | Section No.                           | Block No.    | Lot No.  | Parcel   |
|   |                                       |              |  |  |
|   |                                       |              |  |  |
|   |                                       |              |  |  |
|   | Total acreage to be added:            |              |  |  |
| Reduction of property   |                                       |              |  | A  |
| 2b. PARCELS REMOVED:  |                                       |              |  | Acreage<br>Removed   |
| Parcel Address  | Section No.                           | Block No.    | Lot No.  | by Parcel  |
|   |                                       |              |  |  |
|   |                                       |              |  |  |
|   |                                       |              |  |  |
| Change to SBL (e.g. merge, subdivision, address change  | Total ad<br>)                         | creage to be | removed:   | - international control of the contr |
| 2c. NEW SBL INFORMATION:  | Section M                             | o, Block No  | . Lot No.  | Acrosco  |
| Parcel Address  | Jection inc                           | J. BIUCK INC | LOUNG.   | Acreage  |
|   |                                       |              |  |  |
|   |                                       |              |  |  |
|   |                                       | <u></u>      |  | <u> </u>   |
| If requesting to modify a metes and bounds description or reques<br>please attach a revised metes and bounds description, survey, o |                                       |              |  |  |
| 3. TOTAL REVISED SITE ACREAGE:  |                                       |              |  |  |
| V. TO TAL NETICED OFFE ACKEDE.  |                                       |              |  |  |
|   |                                       |              |  |  |

# Supplement to the Application To Amend Brownfield Cleanup Agreement And Amendment - Questions for Sites Seeking Tangible Property Credits in New York City ONLY.

| Property is in Bronx, Kings, New York, Queens, or Richmond counties.  | Yes No                  |  |  |  |  |
|---|-------------------------|--|--|--|--|
| Requestor seeks a determination that the site is eligible for the tangible property credit of brownfield redevelopment tax credit.  | omponent of the         |  |  |  |  |
| Please answer questions below and provide documentation necessary to support an   | iswers.                 |  |  |  |  |
| <ol> <li>Is at least 50% of the site area located within an environmental zone pursuant to Ta<br/>Please see <u>DEC's website</u> for more information.</li> </ol>  | ax Law 21(6)?<br>Yes No |  |  |  |  |
| 2. Is the property upside down as defined below?  | ☐Yes ☐ No               |  |  |  |  |
| From ECL 27-1405(31):   |                         |  |  |  |  |
| "Upside down" shall mean a property where the projected and incurred cost of the investigation and remediation which is protective for the anticipated use of the property equals or exceeds seventy-five percent of its independent appraised value, as of the date of submission of the application for participation in the brownfield cleanup program, developed under the hypothetical condition that the property is not contaminated.  |                         |  |  |  |  |
| 3. Is the project an affordable housing project as defined below?   | ☐Yes☐ No                |  |  |  |  |
| From 6 NYCRR 375- 3.2(a) as of August 12, 2016:   |                         |  |  |  |  |
| (a) "Affordable housing project" means, for purposes of this part, title fourteen of article twenty<br>seven of the environmental conservation law and section twenty-one of the tax law only, a project<br>that is developed for residential use or mixed residential use that must include affordable<br>residential rental units and/or affordable home ownership units.   |                         |  |  |  |  |
| (1) Affordable residential rental projects under this subdivision must be subject to a federal, state, or local government housing agency's affordable housing program, or a local government's regulatory agreement or legally binding restriction, which defines (i) a percentage of the residential rental units in the affordable housing project to be dedicated to (ii) tenants at a defined maximum percentage of the area median income based on the occupants' households annual gross income. |                         |  |  |  |  |
| (2) Affordable home ownership projects under this subdivision must be subject to<br>state, or local government housing agency's affordable housing program, or a local<br>regulatory agreement or legally binding restriction, which sets affordable units aside<br>owners at a defined maximum percentage of the area median income.   | government's            |  |  |  |  |
| (3) "Area median income" means, for purposes of this subdivision, the area media<br>for the primary metropolitan statistical area, or for the county if located outside a me<br>statistical area, as determined by the United States department of housing and urba<br>development, or its successor, for a family of four, as adjusted for family size.  | tropolitan              |  |  |  |  |
|   |                         |  |  |  |  |

#### PART II. BROWNFIELD CLEANUP PROGRAM AMENDMENT

| Existing Agreement Information  |                          |  |  |  |
|---|--------------------------|--|--|--|
| BCP SITE NAME: Goulds Pumps Facility Site   | BCP SITE NUMBER: C850013 |  |  |  |
| NAME OF CURRENT APPLICANT(S): Goulds Pumps Administration Inc., Goulds Pumps, Incorporated, and ITT Corporation |                          |  |  |  |
| INDEX NUMBER OF AGREEMENT: C850013-06-14  |                          |  |  |  |
| EFFECTIVE DATE OF ORIGINAL AGREEMENT: 9/19/14   |                          |  |  |  |

#### Declaration of Amendment:

By the Requestor(s) and/or Applicant(s) signatures below, and subsequent signature by the Department, the above application to amend the Brownfield Cleanup Agreement described above is hereby approved. This Amendment is made in accordance with and subject to all of the BCA and all applicable guidance, regulations and state laws applicable thereto. All other substantive and procedural terms of the Agreement will remain unchanged and in full force and effect regarding the parties to the Agreement.

Nothing contained herein constitutes a waiver by the Department or the State of New York of any rights held in accordance with the Agreement or any applicable state and/or federal law or a release for any party from any obligations held under the Agreement or those same laws.

| Statement of Certification and Signatures: New Requestor(s) (if applicable)  |
|--|
| (Individual)   |
| I hereby affirm that information provided on this form and its attachments is true and complete to the best of my knowledge and belief. I am aware that any false statement made herein is punishable as a Class A misdemeanor pursuant to section 210.45 of the Penal Law. My signature below constitutes the requisite approval for the amendment to the BCA Application, which will be effective upon signature by the Department.  |
| Date:Signature:  |
| Print Name: John A. Capela   |
| (Entity)   |
| I hereby affirm that I am (title Vice President and CAO) of (entity TT Goulds Pumps, Inc.); that I am authorized by that entity to make this application; that this application was prepared by me or under my supervision and direction; and that information provided on this form and its attachments is true and complete to the best of my knowledge and belief. I am aware that any false statement made herein is punishable as a Class A misdemeanor pursuant to Section 210.45 of the Penal Law.  John A. Capela's signature below constitutes the requisite approval for the amendment to the BCA Application, which will be effective upon signature by the Department.  Date: Signature: |
|  |
| Print Name: John A. Capela   |

| Statement of Certification and Signatur applicant must sign)   | es: Existing Applicant(s) (an authorized representative of each  |
|--|--|
| (Individual)   |  |
| Section I above and that I am aware of thi   | wnfield Cleanup Agreement and/or Application referenced in s Application for an Amendment to that Agreement and/or es the requisite approval for the amendment to the BCA gnature by the Department.   |
| Date:Signature:  |  |
| Print Name: John A. Capela   |  |
| (Entity)   | Successor to   |
| Application for an Amendment and/or Application for an Amendment to that Agre below constitutes the requisite approval for upon signature by the Department.   | (title) of ITT Goulds Pumps, Inc (entity) which is a party to the plication referenced in Section I above and that I am aware of this ement and/or Application. John A. Capela's signature r the amendment to the BCA Application, which will be effective |
| Date:Signature:  | Myl  |
| Print Name: John A. Capela   |  |
| REMAINDER OF THIS AMENDMENT WIL  | L BE COMPLETED SOLELY BY THE DEPARTMENT  |
| Please see the following page for submittal NOTE: Applications submitted in fillable Status of Agreement:  | instructions. format will be rejected.   |
| X PARTICIPANT A requestor who either 1) was the owner of the site at the time of the disposal of contamination or 2) is otherwise a person responsible for the contamination, unless the liability arises solely as a result of ownership, operation of, or involvement with the site subsequent to the disposal of contamination. | VOLUNTEER A requestor other than a participant, including a requestor whose liability arises solely as a result of ownership, operation of or involvement with the site subsequent to the contamination.   |
| Effective Date of the Original Agreement:  | : 9/19/14  |
| Signature by the Department:   |  |
| DATED: May 11, 2022  | NEW YORK STATE DEPARTMENT OF<br>ENVIRONMENTAL CONSERVATION   |
|  | Ву:  |
|  | Michael J. Ryan, P.E., Director Division of Environmental Remediation  |

| Statement of Certification and Signature applicant must sign)   | es: Existing Applicant(s) (an authorized representative of each  |  |  |  |
|---|--|--|--|--|
| (Individual)  |  |  |  |  |
| Section I above and that I am aware of this   | vnfield Cleanup Agreement and/or Application referenced in a Application for an Amendment to that Agreement and/or so the requisite approval for the amendment to the BCA gnature by the Department.     |  |  |  |
| Date:Signature:   |  |  |  |  |
| Date:Signature: Print Name: John A. Capela  |  |  |  |  |
| (Entity)  |  |  |  |  |
| I hereby affirm that I am Vice President and CAO (title) of Goods Pumps Administration Inc. (entity) which is a party to the Brownfield Cleanup Agreement and/or Application referenced in Section I above and that I am aware of this Application for an Amendment to that Agreement and/or Application. John A. Capela's signature below constitutes the requisite approval for the amendment to the BCA Application, which will be effective upon signature by the Department. |  |  |  |  |
| Date:Signature:   | Myul   |  |  |  |
| Print Name: John A. Capela  |  |  |  |  |
| REMAINDER OF THIS AMENDMENT WIL   | L BE COMPLETED SOLELY BY THE DEPARTMENT  |  |  |  |
| Please see the following page for submittal NOTE: Applications submitted in fillable  | instructions. format will be rejected.   |  |  |  |
| Status of Agreement:  |  |  |  |  |
| X PARTICIPANT A requestor who either 1) was the owner of the site at the time of the disposal of contamination or 2) is otherwise a person responsible for the contamination, unless the liability arises solely as a result of ownership, operation of, or involvement with the site subsequent to the disposal of contamination.  | VOLUNTEER A requestor other than a participant, including a requestor whose liability arises solely as a result of ownership, operation of or involvement with the site subsequent to the contamination. |  |  |  |
| Effective Date of the Original Agreement:   | : 9/19/14  |  |  |  |
| Signature by the Department:  DATED: May 11, 2022   | NEW YORK STATE DEPARTMENT OF<br>ENVIRONMENTAL CONSERVATION   |  |  |  |
|   | By: Susan Edwards Acting Division Director   |  |  |  |

Michael J. Ryan, P.E., Director Division of Environmental Remediation

### **SUBMITTAL INFORMATION:**

• Two (2) copies, one hard copy with original signatures and one electronic copy in final, non-fillable Portable Document Format (PDF) must be sent to:

Chief, Site Control Section
New York State Department of Environmental Conservation
Division of Environmental Remediation
625 Broadway
Albany, NY 12233-7020

NOTE: Applications submitted in fillable format will be rejected.

| FOR DEPARTMENT USE ONLY |                  |              |          |  |  |  |
|-------------------------|------------------|--------------|----------|--|--|--|
| BCP SITE T&A CODE:_     |                  | LEAD OFFICE: | Region 8 |  |  |  |
| PROJECT MANAGER:_       | Charlotte Theoba | ld           | ·        |  |  |  |