NEW YORK STATE DEPARTMENT OF ENVIRONMENTAL CONSERVATION

Division of Environmental Remediation, Office of the Director 625 Broadway, 12th Floor, Albany, New York 12233-7011 P: (518) 402-9706 | F: (518) 402-9020 www.dec.ny.gov

June 30, 2023

Harlem River Ninth Avenue Development LIHTC LLC Katherine Kelman 1865 Palmer Avenue, Suite 203 Larchmont, NY, 10538 kkelman@lmdevpartners.com

Harlem River Ninth Avenue Development LLC Katherine Kelman 1865 Palmer Avenue, Suite 203 Larchmont, NY, 10538 kkelman@lmxd.com

Re: Certificate of Completion 408 West 207th Street Manhattan, New York County Site No. C231147

Dear Katherine Kelman:

Congratulations on having satisfactorily completed the remedial program at the 408 West 207th Street site. Enclosed please find an original, signed Certificate of Completion (COC). The New York State Department of Environmental Conservation (Department) is pleased to inform you that the Final Engineering Report is hereby approved, allowing the COC to be issued for the above-referenced site.

Please note that you are required to perform the following tasks:

- If you are the site owner, you must record the Notice of Certificate of Completion in the recording office for the county (or counties) where any portion of the site is located within 30 days of issuance of the COC; or if you are a prospective purchaser of the site, you must record the Notice within 30 days of the date that you acquire the site. If you are a non-owner, you must work with the owner to assure the Notice is recorded within the time frame specified. A standard Notice of Certificate of Completion form is attached to this letter.
- Provide electronic copies of the recorded Notice and proof of recording to the Department's project manager. Please return the hard copy of the proof of recording to:



Kyle Forster, Bureau B New York State Department of Environmental Conservation Division of Environmental Remediation 625 Broadway Albany, NY 12233

 Provide the Notice of Certificate of Completion to the Document Repositories within 10 days of issuance of the COC. The Department will develop a fact sheet announcing the issuance of the COC and describing the institutional and engineering controls (IC/ECs), if any, that are required at the site and distribute it to the County Listserv within 10 days.

If you have any questions, please do not hesitate to contact Kyle Forster, the Department's project manager, at (518) 402-8644.

Sincerely,

Andrew Guglielmi

Andrew Guglielmi
Division Director
Division of Environmental Remediation

Enclosure

ec w/ enclosure:

Jessica Taylor - <u>itaylor@rouxinc.com</u>

Noelle Clarke – nclarke@rouxinc.com

Valerie Sabatasso – vsabatasso@rouxinc.com

David Yudelson - <u>dyudelson@sprlaw</u>.com

Michael Bogin - mbogin@sprlaw.com

C. Vooris, NYSDOH – christine.vooris@health.ny.gov

S. Mclaughlin, NYSDOH – scarlett.mclaughlin@health.ny.gov

R. Ockerby, NYSDOH – renata.ockerby@health.ny.gov

Matt Gokey, NYS Tax - matthew.gokey@tax.ny.gov

Paul Takac, NYS Tax - paul.takac@tax.ny.gov

ec w/o enc.:

K. Forster, S. Quandt, J. O'Connell, M. Murphy, L. Schmidt, J. Andaloro, 0

K. Lewandowski

NYSDEC BROWNFIELD CLEANUP PROGRAM (BCP) CERTIFICATE OF COMPLETION

CERTIFICATE HOLDER(S):

Name Address

Harlem River Ninth Avenue Development LIHTC LLC

1865 Palmer Avenue, Suite 203, Larchmont, NY 10538

Harlem River Ninth Avenue Development LLC

1865 Palmer Avenue, Suite 203, Larchmont, NY 10538

BROWNFIELD CLEANUP AGREEMENT:

Application Approval: 8/4/21 **Agreement Execution:** 8/17/21

Agreement Index No.:C231147-08-21

Application Amendment Approval: 6/24/22 Agreement Amendment Execution: 6/24/22

SITE INFORMATION:

Site No.: C231147 Site Name: 408 West 207th Street

Site Owner: Harlem River Ninth Avenue Development LIHTC LLC

Harlem River Ninth Avenue Development LLC

Street Address: 408 WEST 207TH STREET

Municipality: NEW YORK County: New York DEC Region: 2

Site Size: 0.459 Acres

Tax Map Identification Number(s): 2203-21 Percentage of site located in an EnZone: 100 %

A description of the property subject to this Certificate is attached as Exhibit A and a site survey is attached as Exhibit B.

CERTIFICATE ISSUANCE

This Certificate of Completion, hereinafter referred to as the "Certificate," is issued pursuant to Article 27, Title 14 of the New York State Environmental Conservation Law ("ECL").

This Certificate has been issued upon satisfaction of the Commissioner, following review by the Department of the Final Engineering Report and data submitted pursuant to the Brownfield Site Cleanup Agreement, as well as any other relevant information regarding the site, that the applicable remediation requirements set forth in the ECL have been or will be achieved in accordance with the time frames, if any, established in the remedial work plan.

The remedial program for the site has achieved a cleanup level that would be consistent with the following categories of uses (actual site use is subject to local zoning requirements):

Allowable Uses under the BCP: Unrestricted, Residential, Restricted-Residential, Commercial, and Industrial

Cleanup Track: Track 1: Unrestricted use

Tax Credit Provisions:

Site Preparation and On-Site Groundwater Remediation Credit Component Rate is 50 %.

Eligibility for Tangible Property Credit is available through: EnZone.

Tangible Property Credit Component Rate is 20%. Comprised of 10% Base, 5 % EnZone, 5% Track 1.

Dependent upon the project being developed in a manner consistent with the approved Brownfield Cleanup Program application, additional rate increases may be available if the site is developed as affordable housing (5%), if the Certificate Holder receives a separate Determination of Conformance with the BOA from the Secretary of State (5%), if the site is located in a disadvantaged community and not located in an EnZone (5%), if the site is developed as a renewable energy facility site (5%), or if the site is used primarily for manufacturing activities (5%) not to exceed a maximum 24 %.

No Environmental Easement has been granted pursuant to ECL Article 71, Title 36 as there are no use restrictions and there is no reliance on the long-term employment of institutional controls.

LIABILITY LIMITATION

Upon issuance of this Certificate of Completion, and subject to the terms and conditions set forth herein, the Certificate holder(s) shall be entitled to the liability limitation provided in ECL Section 27-1421. The liability limitation shall run with the land, extending to the Certificate holder's successors or assigns through acquisition of title to the site and to a person who develops or otherwise occupies the site, subject to certain limitations as set forth in ECL Section 27-1421. The liability limitation shall be subject to all rights reserved to the State by ECL Section 27-1421.2 and any other applicable provision of law.

CERTIFICATE TRANSFERABILITY

This Certificate may be transferred to the Certificate holder's successors or assigns upon transfer or sale of the site as provided by ECL Section 27-1419.5 and 6NYCRR Part 375-1.9.

CERTIFICATE MODIFICATION/REVOCATION

This Certificate of Completion may be modified or revoked by the Commissioner following notice and an opportunity for a hearing in accordance with ECL Section 27-1419 and 6NYCRR Part 375-1.9(e) upon finding any of the following:

- (1) either the Applicant or the Applicant's successors or assigns have failed to comply with the terms and conditions of the Brownfield Site Cleanup Agreement;
- (2) the Applicant made a misrepresentation of a material fact tending to demonstrate that it was qualified as a Volunteer;
- (3) either the Applicant or the Applicant's successors or assigns made a misrepresentation of a material fact tending to demonstrate that the cleanup levels identified in the Brownfield Site Cleanup Agreement were reached; or
 - (4) there is good cause for such modification or revocation.

The Certificate holder(s) (including its successors or assigns) shall have thirty (30) days within which to cure any deficiency or to seek a hearing. If the deficiency is not cured or a request for a hearing is not received within such 30-day period, the Certificate shall be deemed modified or vacated on the 31st day after the Department's notice.

6/30/2023

Date:

Basil Seggos Commissioner

Bv:

New York State Department of Environmental Conservation

Andrew O., Guglielmi, Director

Andrew Guglielmi

Division of Environmental Remediation

Exhibit A Site Description

BCP SITE NO. C231147 DESCRIPTION (LOT 21)

All those certain lots, pieces or parcel of land, situate, lying and being in the Borough of Manhattan, City, County, and State of New York, being known and designated as Lots numbers 637 to 644, both inclusive, in a certain map entitled, "Map of 92 acres in the 12th ward of the City of New York being part of the estate of Isaac Dyckman, deceased, known as part one of the Dyckman Homestead Property" dated New York June 1, 1870 made by Rudolph Rosa, city surveyor and filed in the Office of the Register of the County of New York on June 20, 1870 as map no. 713, which said Lots when taken together, are bounded and described as follows:

Beginning at the corner formed by the intersection of the westerly side of Columbus Avenue (formerly Ninth Avenue) and the northerly side of West 206th Street;

Running thence westerly along said northerly side of West 206th Street, 100 feet;

Thence northerly parallel with the westerly side of Columbus Avenue, 199 feet 10 inches to the southerly side of West 207th Street;

Thence easterly along said southerly side of West 207th Street, 100 feet to the westerly side of Columbus Avenue; and

Thence southerly along the said westerly side of Columbus Avenue, 199 feet 10 inches to the point or place of beginning.

Area of Lot 21 = 19,984 s.f. or 0.459 ac.

The above description of Lot 21 is prepared with reference to a certificate of title prepared by First American Title Insurance Company, Title no. 3020-1104945ny2 with an effective date of 01/05/2022.

Exhibit B

Site Survey

BEGINNING AT THE CORNER FORMED BY THE INTERSECTION OF THE WESTERLY SIDE OF COLUMBUS AVENUE (FORMERLY NINTH AVENUE) AND THE NORTHERLY SIDE OF WEST 206TH STREET;

RUNNING THENCE WESTERLY ALONG SAID NORTHERLY SIDE OF WEST 200TH STREET, 100 FEET:

THENCE NORTHERLY PARALLEL WITH THE WESTERLY SIDE OF COLUMBUS AVENUE, 199 FEET 10 INCHES TO THE SOUTHERLY SIDE OF WEST 207TH STREET;

THENCE EASTERLY ALONG SAID SOUTHERLY SIDE OF WEST 207TH STREET, 100 FEET TO THE WESTERLY SIDE OF COLUMBUS AVENUE; AND

THENCE SOUTHERLY ALONG THE SAID WESTERLY SIDE OF COLUMBUS AVENUE, 199 FEET 10 INCHES TO THE POINT OR PLACE OF BEGINNING.

AREA OF LOT 21 = 19,884 S.F. OR 0,459 AC.

THE ABOVE DESCRIPTION OF LOT 21 IS PREPARED WITH REFERENCE TO A CERTIFICATE OF TITLE PREPARED BY FIRST AMERICAN TITLE INSURANCE COMPANY, TITLE NO. 3020-1104945NY2 WITH AN EFFECTIVE DATE OF 01/05/2022.

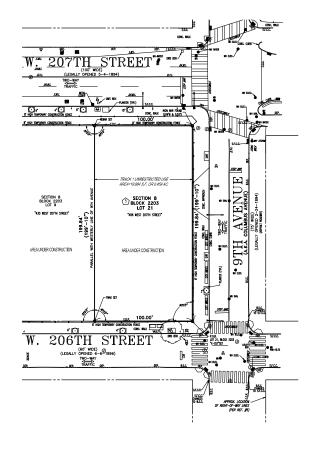
- PROPERTY LOCATED AT 408 W. 297TH STREET, KNOWN AS P/O LOT 21, BLOCK 2253, SECTION 8, VOL. 4, AS SHOWN ON THE TAX MAP OF THE BOROUGH OF MAINTTAIN, CITY, COUNTY'S STATE OF NEW YORK.
- 2. AREA LOT 21 TRACT 1 UNRESTRICTED USE AREA = 19,884 S.F. OR 0.459 AC.
- UNDERGROUND UTLITIES HAVE NOT BEEN SHOWN, BEFORE ANY SITE EVALUATION, PREPARATION OF DESIGN DOCUMENTS OR EXCAVATION IS TO BEGIN, THE LOCATION OF UNDERGROUND UTLITIES SHOULD BE VERIFIED BY THE PROPER UTLITY COMPANIES.
- THIS PLAN IS BASED ON INFORMATION PROVIDED BY A SURVEY PREPARED IN THE FIELD BY CONTROL POINT ASSOCIATES, NO. AND OTHER REFERENCE MATERIAL AS LISTED HEREON.
- 5. THE SURVEY FOR LOT 21, BLOCK 2203 IS PREPARED WITH REFERENCE TO A CERTIFICATE OF TITLE PREPARED BY FIRST AMERICAN TITLE MISURANCE COMPANY. TITLE INC. 3020-11040491Y WITH AM EFFECTIVE DATE OF 01MS/2022, WHERE THE COLL
- ASSIGNMENT OF LEASE AND RESTS MADE FROM MARLEM BYERS IN THIS MEMBER EXPENDED EXPELIPMENT LLC.

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- THE OFFSETS SHOWN ARE NOT TO BE USED FOR THE CONSTRUCTION OF ANY STRUCTURE, FENCE, PERMANENT ADDITION, ETC.

REFERENCES:

- 1. THE NEW YORK CITY DIGITAL TAX MAP OF THE MANHATTAN BLOCK 2203, CITY, COUNTY AND STATE OF NEW YORK
- AM DITTLE MAY ALSO, O. 311.3 (MONTO THE MOTIVATION THE FOREMALE BLOCK AND BRIDGE APPROACHES, NO THE DETERMINED THE METHOD THE METHOD THE MOTIVATION THE MOTIVATION OF THE MOTIVATION OF THE MONTO THE MONTO THE MOTIVATION OF THE MOTIVATION OF THE MOTIVATION OF THE MOTIVATION OF BUILDING THE MOTIVATION OF BUILDING MOTIVATION OF THE MOTIVATION OF BUILDING MOTIVATION OF THE MOTIVATION OF BUILDING MONTO THE MOTIVATION OF BUILDING MOST AND THE MOTIVATION OF BUILDING MOTIVATION OF THE MOTIVATION OF MONIFORM TO MOTIVATION OF THE MOTIVATION OF MONIFORM THE MOTIVATION OF THE
- MAP ENTITLED "BOUNDARY & TOPOGRAPHIC SURVEY, ACADIA REALTY TRUST, LOTS 9 & 21, BLOCK 2203, W. 207TH STREET & 9TH AVENUE, INVOOD, BOROUGH OF MANHATTAN, CITY, COUNTY & STATE OF NEW YORK "PREPARED BY CONTROL POINT ASSOCIATES, INC., DATED JULY 15, 2005.
- MAP BUTTIELD POONTRACT NO. 4 WORTH BEAUGH INTERCEPT NO SEWER NORTH SECTION INNTH AVE. W. 205TH ST. TO W. 20TH ST. W. 20TH ST. NORTH AVE. TO IND SUBWAY YARD FLAND FLORING PROPRIET PREPARED BY CITY OF NEW KORTH RIVER WATER POLLUTION CONTROL PROJECT, DATED SEPTEMBER 8. 1886. SHEET AC ST. 10.
- FINAL SECTION MAP NO. 140, PROVIDED BY THE CITY OF NEW YORK, PRESIDENT OF THE BOROUGH OF MANHATTAN TOPOGRAPHICAL BUREAU.
- MAP ENTITLED "ALTAMSPS LAND TITLE SURVEY TACONING INVESTMENTS PARTNERS LLC 430 & 409 W. 207TH STREET LOTS & 2.1; BLOCK 2.20 NIWOOD, SORQUEH OF MANHATTAN, CITY, COUNTY AND STATE OF NEW YORK; PREPARED BY CONTROL POINT ASSOCIATES, NO, PC, DC ADED 0711–07201, LAST REVISES 06-20-2022 (REVISION 10).
- MAP ENTITLED "ALTAINSPS LAND TITLE SURVEY TACCHING INVESTMENTS PARTHERS LLC 408 W. 207TH STREET LOT 21 BLOCK 2203 INVOID, BORGUGH OF MANHATTAN, CITY, COUNTY AND STATE OF NEW YORK", PREPARED BY CONTROL POINT ASSOCIATES, INC. PC, DATED 07-08-2018.
- 8. SKETCH ENTITLED "SITE SURVEY- BOTH PDF", PROVIDED BY CLIENT ON 05-31-2022.





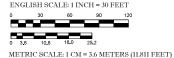




POINT OF BEGINNING
PROPERTY CORNER MONUMENT
AS DESCRIBED

LEGEND







REMEDIAL CLEANUP TRACK - BCP SITE NO. C231147 408 W. 207TH STREET LANDS N/F OF HARLEM RIVER NINTH AVENUE DEVELOPMENT LL LOT 21, BLOCK 2203, SECTION 8 BOROUGH OF MANHATTAN CITY, COUNTY & STATE OF NEW YORK

CONTROL POINT
ASSOCIATES, INC. PC

JONATHAN S. SCHMIDT

NOTICE OF CERTIFICATE OF COMPLETION Brownfield Cleanup Program 6 NYCRR Part 375-1.9(d)

408 West 207th Street, Site ID No. C231147 408 West 207th Street, Manhattan, NY, 10034 Manhattan, New York County, Tax Map Identification Number: 2203-21

PLEASE TAKE NOTICE, the New York State Department of Environmental Conservation (Department) has issued a Certificate of Completion (Certificate) pursuant to Article 27, Title 14 of the New York State Environmental Conservation Law (ECL) to Harlem River Ninth Avenue Development LIHTC LLC and Harlem River Ninth Avenue Development LLC for a parcel approximately 0.459 acres located at 408 West 207th Street in Manhattan, New York.

PLEASE TAKE NOTICE, the Certificate was issued upon satisfaction of the Commissioner, following review by the Department of the Final Engineering Report and data submitted pursuant to the Brownfield Site Cleanup Agreement, as well as any other relevant information regarding the site, that the remediation requirements set forth in ECL Article 27, Title 14 have been or will be achieved in accordance with the time frames, if any, established in the Remedial Work Plan.

PLEASE TAKE NOTICE, the remedial program for the site has achieved a cleanup level that would be consistent with the following categories of uses (actual site use is subject to local zoning requirements):

- ☐ Unrestricted Use, as set forth in 6 NYCRR 375-1.8(g)(1)i
- \boxtimes Residential Use, as set forth in 6 NYCRR 375-1.8(g)(2)i.
- Restricted Residential Use, as set forth in 6 NYCRR 375-1.8(g)(2)ii.
- ☐ Commercial Use, as set forth in 6 NYCRR 375-1.8(g)(2)iii.
- ☐ Industrial Use, as set forth in 6 NYCRR 375-1.8(g)(2)iv.

PLEASE TAKE NOTICE, provided that the Certificate is complied with, the Certificate holder(s) shall be entitled to the liability limitation provided in ECL Section 27-1421. The liability limitation shall run with the land, extending to the Certificate holder's successors or assigns through acquisition of title to the site and to a person who develops or otherwise occupies the site, subject to certain limitations as set forth in ECL Section 27-1421. The liability limitation shall be subject to all rights reserved to the State by ECL Section 27-1421.2 and any other applicable provision of law.

Further, the use of groundwater is restricted and may not be used, unless treated in accordance with the requirements provided by the New York State Department of Health, or a local County Health Department with jurisdiction in such matters and such is approved by the Department as not inconsistent with the remedy

PLEASE TAKE NOTICE, the Certificate may entitle the Certificate holder(s) to tax credits in accordance with Tax Law Sections 21, 22 and 23.

PLEASE TAKE NOTICE, the Certificate may only be transferred to the Certificate holder's successors or assigns upon transfer or sale of the site as provided by ECL Section 27-1419.5 and 6 NYCRR Part 375-1.9. Failure to comply with the regulatory requirements for transfer WILL bar the successors and assigns from the benefits of the Certificate.

PLEASE TAKE NOTICE, the Certificate may be modified or revoked by the Commissioner as set forth in the applicable regulations.

PLEASE TAKE NOTICE, a copy of the Certificate can be reviewed at the NYSDEC's Region 2 Office located at 47-40 21st Street, Long Island City, 11101, by contacting the Regional Environmental Remediation Engineer, or at https://www.dec.ny.gov/data/DecDocs/C231147/

408 West 207th Street, C231147 408 West 207th Street, Manhattan, NY, 10034

WHEREFORE, the undersigned has signed this Notice of Certificate

	Harlem River Ninth Avenue Development LLC	
	By:	
	Title:	
	Date:	
STATE OF NEW YORK) SS: COUNTY OF)		
On the day of, in the year 20, before me, the undersigned, personally appeared, personally known to me or proved to me on the basis of satisfactory evidence to be the individual(s) whose name is (are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their capacity(ies), and that by his/her/their signature(s) on the instrument, the individual(s), or the person upon behalf of which the individual(s) acted, executed the instrument.		
Signature and Office of individual		
taking acknowledgment		

408 West 207th Street, C231147 408 West 207th Street, Manhattan, NY, 10034

WHEREFORE, the undersigned has signed this Notice of Certificate

		Harlem River Ninth Avenue Development LIHTC LLC
		By:
		Title:
		Date:
STATE OF NEW YORK COUNTY OF) SS:)	
On the day of, in the year 20, before me, the undersigned, personally appeared, personally known to me or proved to me on the basis of satisfactory evidence to be the individual(s) whose name is (are) subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their capacity(ies), and that by his/her/their signature(s) on the instrument, the individual(s), or the person upon behalf of which the individual(s) acted, executed the instrument.		
		_ Please record and return to:
Signature and Office of indivi	dual	Katherine Kelman
aking acknowledgment		Harlem River Ninth Avenue Development LLC
		1865 Palmer Avenue, Suite 203
		Larchmont, NY, 10538