



PERMIT
Under the Environmental Conservation Law (ECL)

IDENTIFICATION INFORMATION

Permit Type: Air State Facility
Permit ID: 6-4058-00178/00002
Effective Date: 05/01/2018 Expiration Date: 04/30/2023

Permit Issued To:ALCOA USA CORP
201 ISABELLA ST
PITTSBURGH, PA 15212-5858

Contact: LAURA COLEMEN
ALCOA USA CORP
201 ISABELLA ST
Pittsburgh, PA 15212

Facility: ALCOA USA CORP
45 CO RTE 42|PO BOX 150
MASSENA, NY 13662

Contact: Nathan Rufa
Alcoa USA Corp
1814 St Rte 131
Massena, NY 13662-4210
(315) 705-2285

Description:

By acceptance of this permit, the permittee agrees that the permit is contingent upon strict compliance with the ECL, all applicable regulations, the General Conditions specified and any Special Conditions included as part of this permit.

Permit Administrator: THOMAS G VOSS
NYSDEC - REGION 6
317 WASHINGTON ST
WATERTOWN, NY 13601-3787

Authorized Signature: _____ Date: ____ / ____ / ____



Notification of Other State Permittee Obligations

Item A: Permittee Accepts Legal Responsibility and Agrees to Indemnification

The permittee expressly agrees to indemnify and hold harmless the Department of Environmental Conservation of the State of New York, its representatives, employees and agents ("DEC") for all claims, suits, actions, and damages, to the extent attributable to the permittee's acts or omissions in connection with the compliance permittee's undertaking of activities in connection with, or operation and maintenance of, the facility or facilities authorized by the permit whether in compliance or not in any compliance with the terms and conditions of the permit. This indemnification does not extend to any claims, suits, actions, or damages to the extent attributable to DEC's own negligent or intentional acts or omissions, or to any claims, suits, or actions naming the DEC and arising under article 78 of the New York Civil Practice Laws and Rules or any citizen suit or civil rights provision under federal or state laws.

Item B: Permittee's Contractors to Comply with Permit

The permittee is responsible for informing its independent contractors, employees, agents and assigns of their responsibility to comply with this permit, including all special conditions while acting as the permittee's agent with respect to the permitted activities, and such persons shall be subject to the same sanctions for violations of the Environmental Conservation Law as those prescribed for the permittee.

Item C: Permittee Responsible for Obtaining Other Required Permits

The permittee is responsible for obtaining any other permits, approvals, lands, easements and rights-of-way that may be required to carry out the activities that are authorized by this permit.

Item D: No Right to Trespass or Interfere with Riparian Rights

This permit does not convey to the permittee any right to trespass upon the lands or interfere with the riparian rights of others in order to perform the permitted work nor does it authorize the impairment of any rights, title, or interest in real or personal property held or vested in a person not a party to the permit.



LIST OF CONDITIONS

DEC GENERAL CONDITIONS

General Provisions

- Facility Inspection by the Department
- Relationship of this Permit to Other Department Orders and Determinations
- Applications for permit renewals, modifications and transfers
- Permit modifications, suspensions or revocations by the Department

Facility Level

- Submission of application for permit modification or renewal-REGION 6 HEADQUARTERS



DEC GENERAL CONDITIONS
****** General Provisions ******
GENERAL CONDITIONS - Apply to ALL Authorized Permits.

Condition 1: Facility Inspection by the Department

Applicable State Requirement: ECL 19-0305

Item 1.1:

The permitted site or facility, including relevant records, is subject to inspection at reasonable hours and intervals by an authorized representative of the Department of Environmental Conservation (the Department) to determine whether the permittee is complying with this permit and the ECL. Such representative may order the work suspended pursuant to ECL 71-0301 and SAPA 401(3).

Item 1.2:

The permittee shall provide a person to accompany the Department's representative during an inspection to the permit area when requested by the Department.

Item 1.3:

A copy of this permit, including all referenced maps, drawings and special conditions, must be available for inspection by the Department at all times at the project site or facility. Failure to produce a copy of the permit upon request by a Department representative is a violation of this permit.

Condition 2: Relationship of this Permit to Other Department Orders and Determinations

Applicable State Requirement: ECL 3-0301 (2) (m)

Item 2.1:

Unless expressly provided for by the Department, issuance of this permit does not modify, supersede or rescind any order or determination previously issued by the Department or any of the terms, conditions or requirements contained in such order or determination.

Condition 3: Applications for permit renewals, modifications and transfers

Applicable State Requirement: 6 NYCRR 621.11

Item 3.1:

The permittee must submit a separate written application to the Department for renewal, modification or transfer of this permit. Such application must include any forms or supplemental information the Department requires. Any renewal, modification or transfer granted by the Department must be in writing.

Item 3.2:

The permittee must submit a renewal application at least 180 days before the expiration of permits for Title V and State Facility Permits.

Item 3.3

Permits are transferrable with the approval of the department unless specifically prohibited by the statute, regulation or another permit condition. Applications for permit transfer should be submitted prior to actual transfer of ownership.



Condition 4: Permit modifications, suspensions or revocations by the Department
Applicable State Requirement: 6 NYCRR 621.13

Item 4.1:

The Department reserves the right to exercise all available authority to modify, suspend, or revoke this permit in accordance with 6NYCRR Part 621. The grounds for modification, suspension or revocation include:

- a) materially false or inaccurate statements in the permit application or supporting papers;
- b) failure by the permittee to comply with any terms or conditions of the permit;
- c) exceeding the scope of the project as described in the permit application;
- d) newly discovered material information or a material change in environmental conditions, relevant technology or applicable law or regulations since the issuance of the existing permit;
- e) noncompliance with previously issued permit conditions, orders of the commissioner, any provisions of the Environmental Conservation Law or regulations of the Department related to the permitted activity.

****** Facility Level ******

Condition 5: Submission of application for permit modification or renewal-REGION 6 HEADQUARTERS
Applicable State Requirement: 6 NYCRR 621.6 (a)

Item 5.1:

Submission of applications for permit modification or renewal are to be submitted to:
NYSDEC Regional Permit Administrator
Region 6 Headquarters
Division of Environmental Permits
State Office Building, 317 Washington Street
Watertown, NY 13601-3787
(315) 785-2245

New York State Department of Environmental Conservation

Permit ID: 6-4058-00178/00002

Facility DEC ID: 6405800178



Permit Under the Environmental Conservation Law (ECL)

**ARTICLE 19: AIR POLLUTION CONTROL - AIR STATE FACILITY
PERMIT**

IDENTIFICATION INFORMATION

Permit Issued To:ALCOA USA CORP
201 ISABELLA ST
PITTSBURGH, PA 15212-5858

Facility: ALCOA USA CORP
45 CO RTE 42|PO BOX 150
MASSENA, NY 13662

Authorized Activity By Standard Industrial Classification Code:
3334 - PRIMARY ALUMINUM

Permit Effective Date: 05/01/2018

Permit Expiration Date: 04/30/2023



LIST OF CONDITIONS

FEDERALLY ENFORCEABLE CONDITIONS

Facility Level

- 1 6 NYCRR 211.1: Air pollution prohibited
- 2 6 NYCRR 212-1.5 (g): Maintain all process emission sources, including the associated air pollution control and monitoring equipment
- 3 6 NYCRR 212-1.6 (a): Compliance Demonstration
- 4 40CFR 63.843(d), Subpart LL: Compliance Demonstration

Emission Unit Level

EU=S-000A5

- 5 6 NYCRR 212-1.6 (a): Compliance Demonstration
- 6 40CFR 63.850(a)(5), Subpart LL: Notification of initial performance test
- 7 40CFR 63.850(a)(6), Subpart LL: Notification of initial compliance status
- 8 40CFR 63.850(b), Subpart LL: Performance test reports
- 9 40CFR 63.850(d), Subpart LL: Compliance Demonstration

STATE ONLY ENFORCEABLE CONDITIONS

Facility Level

- 10 ECL 19-0301: Contaminant List
- 11 6 NYCRR 201-1.4: Malfunctions and start-up/shutdown activities
- 12 6 NYCRR Subpart 201-5: Emission Unit Definition
- 13 6 NYCRR 201-5.2 (c): Renewal deadlines for state facility permits
- 14 6 NYCRR 201-5.3 (c): Compliance Demonstration
- 15 6 NYCRR 211.2: Visible Emissions Limited
- 16 6 NYCRR 212-2.3 (b): Compliance Demonstration

Emission Unit Level

- 17 6 NYCRR Subpart 201-5: Emission Point Definition By Emission Unit
- 18 6 NYCRR Subpart 201-5: Process Definition By Emission Unit



FEDERALLY ENFORCEABLE CONDITIONS

****** Facility Level ******

NOTIFICATION OF GENERAL PERMITTEE OBLIGATIONS

This section contains terms and conditions which are federally enforceable. Permittees may also have other obligations under regulations of general applicability

Item A: Sealing - 6 NYCRR 200.5

The Commissioner may seal an air contamination source to prevent its operation if compliance with 6 NYCRR Chapter III is not met within the time provided by an order of the Commissioner issued in the case of the violation.

Sealing means labeling or tagging a source to notify any person that operation of the source is prohibited, and also includes physical means of preventing the operation of an air contamination source without resulting in destruction of any equipment associated with such source, and includes, but is not limited to, bolting, chaining or wiring shut control panels, apertures or conduits associated with such source.

No person shall operate any air contamination source sealed by the Commissioner in accordance with this section unless a modification has been made which enables such source to comply with all requirements applicable to such modification.

Unless authorized by the Commissioner, no person shall remove or alter any seal affixed to any contamination source in accordance with this section.

Item B: Acceptable Ambient Air Quality - 6 NYCRR 200.6

Notwithstanding the provisions of 6 NYCRR Chapter III, Subchapter A, no person shall allow or permit any air contamination source to emit air contaminants in quantities which alone or in combination with emissions from other air contamination sources would contravene any applicable ambient air quality standard and/or cause air pollution. In such cases where contravention occurs or may occur, the Commissioner shall specify the degree and/or method of emission control required.

Item C: Maintenance of Equipment - 6 NYCRR 200.7

Any person who owns or operates an air contamination source which is equipped with an emission control device shall operate such device and keep it in a satisfactory state of maintenance and repair in accordance with ordinary and necessary practices, standards and procedures, inclusive of manufacturer's specifications,



required to operate such device effectively.

Item D: Unpermitted Emission Sources - 6 NYCRR 201-1.2

If an existing emission source was subject to the permitting requirements of 6 NYCRR Part 201 at the time of construction or modification, and the owner and/or operator failed to apply for a permit for such emission source then the following provisions apply:

(a) The owner and/or operator must apply for a permit for such emission source or register the facility in accordance with the provisions of Part 201.

(b) The emission source or facility is subject to all regulations that were applicable to it at the time of construction or modification and any subsequent requirements applicable to existing sources or facilities.

Item E: Recycling and Salvage - 6 NYCRR 201-1.7

Where practical, any person who owns or operates an air contamination source shall recycle or salvage air contaminants collected in an air cleaning device according to the requirements of 6 NYCRR.

Item F: Prohibition of Reintroduction of Collected Contaminants to the Air - 6 NYCRR 201-1.8

No person shall unnecessarily remove, handle, or cause to be handled, collected air contaminants from an air cleaning device for recycling, salvage or disposal in a manner that would reintroduce them to the outdoor atmosphere.

Item G: Proof of Eligibility for Sources Defined as Exempt Activities - 6 NYCRR 201-3.2 (a)

The owner and/or operator of an emission source or unit that is eligible to be exempt, may be required to certify that it operates within the specific criteria described in 6 NYCRR Subpart 201-3. The owner or operator of any such emission source must maintain all required records on-site for a period of five years and make them available to representatives of the Department upon request. Department representatives must be granted access to any facility which contains emission sources or units subject to 6 NYCRR Subpart 201-3, during normal operating hours, for the purpose of determining compliance with this and any other state and federal air pollution control requirements, regulations, or law.

Item H: Proof of Eligibility for Sources Defined as Trivial



Activities - 6 NYCRR 201-3.3 (a)

The owner and/or operator of an emission source or unit that is listed as being trivial in 6 NYCRR Part 201 may be required to certify that it operates within the specific criteria described in 6 NYCRR Subpart 201-3. The owner or operator of any such emission source must maintain all required records on-site for a period of five years and make them available to representatives of the Department upon request. Department representatives must be granted access to any facility which contains emission sources or units subject to 6 NYCRR Subpart 201-3, during normal operating hours, for the purpose of determining compliance with this and any other state and federal air pollution control requirements, regulations, or law.

Item I: Required Emission Tests - 6 NYCRR 202-1.1

An acceptable report of measured emissions shall be submitted, as may be required by the Commissioner, to ascertain compliance or noncompliance with any air pollution code, rule, or regulation. Failure to submit a report acceptable to the Commissioner within the time stated shall be sufficient reason for the Commissioner to suspend or deny an operating permit. Notification and acceptable procedures are specified in 6 NYCRR Subpart 202-1.

Item J: Open Fires Prohibitions - 6 NYCRR 215.2

Except as allowed by section 215.3 of 6 NYCRR Part 215, no person shall burn, cause, suffer, allow or permit the burning of any materials in an open fire.

Item K: Permit Exclusion - ECL 19-0305

The issuance of this permit by the Department and the receipt thereof by the Applicant does not and shall not be construed as barring, diminishing, adjudicating or in any way affecting any legal, administrative or equitable rights or claims, actions, suits, causes of action or demands whatsoever that the Department may have against the Applicant for violations based on facts and circumstances alleged to have occurred or existed prior to the effective date of this permit, including, but not limited to, any enforcement action authorized pursuant to the provisions of applicable federal law, the Environmental Conservation Law of the State of New York (ECL) and Chapter III of the Official Compilation of the Codes, Rules and Regulations of the State of New York (NYCRR). The issuance of this permit also shall not in any way affect pending or future enforcement actions under the Clean Air Act brought by the United States or any person.

Item L: Federally Enforceable Requirements - 40 CFR 70.6 (b)



All terms and conditions in this permit required by the Act or any applicable requirement, including any provisions designed to limit a facility's potential to emit, are enforceable by the Administrator and citizens under the Act. The Department has, in this permit, specifically designated any terms and conditions that are not required under the Act or under any of its applicable requirements as being enforceable under only state regulations.

FEDERAL APPLICABLE REQUIREMENTS

The following conditions are federally enforceable.

Condition 1: Air pollution prohibited
Effective between the dates of 05/01/2018 and 04/30/2023

Applicable Federal Requirement:6 NYCRR 211.1

Item 1.1:

No person shall cause or allow emissions of air contaminants to the outdoor atmosphere of such quantity, characteristic or duration which are injurious to human, plant or animal life or to property, or which unreasonably interfere with the comfortable enjoyment of life or property. Notwithstanding the existence of specific air quality standards or emission limits, this prohibition applies, but is not limited to, any particulate, fume, gas, mist, odor, smoke, vapor, pollen, toxic or deleterious emission, either alone or in combination with others.

Condition 2: Maintain all process emission sources, including the associated air pollution control and monitoring equipment
Effective between the dates of 05/01/2018 and 04/30/2023

Applicable Federal Requirement:6 NYCRR 212-1.5 (g)

Item 2.1:

At all times, the facility owner or operator must operate and maintain all process emission sources, including the associated air pollution control and monitoring equipment, in a manner consistent with safety, good air pollution control practices, good engineering practices and manufacturers' recommendations for minimizing emissions.

Condition 3: Compliance Demonstration
Effective between the dates of 05/01/2018 and 04/30/2023

Applicable Federal Requirement:6 NYCRR 212-1.6 (a)

Item 3.1:

The Compliance Demonstration activity will be performed for the facility:
The Compliance Demonstration applies to:

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Facility DEC ID: 6405800178



Emission Unit: S-000A4

Item 3.2:

Compliance Demonstration shall include the following monitoring:

Monitoring Type: MONITORING OF PROCESS OR CONTROL
DEVICE PARAMETERS AS SURROGATE

Monitoring Description:

No facility owner or operator shall cause or allow emissions having an average opacity during any six consecutive minutes of 20 percent or greater from any process emission source or emission point, except for the emission of uncombined water.

The pressure drop across the fabric filter control device shall be checked upon startup and will be monitored once each week while operating and the pressure drops recorded in a log book. The log book shall be reported upon request from the regulatory agency.

Parameter Monitored: PRESSURE DROP

Lower Permit Limit: 2 inches of water

Upper Permit Limit: 10 inches of water

Reference Test Method: EPA Method 5

Monitoring Frequency: WHEN THE SOURCE IS OPERATING

Averaging Method: RANGE - NOT TO FALL OUTSIDE OF STATED
RANGE AT ANY TIME

Reporting Requirements: UPON REQUEST BY REGULATORY AGENCY

Condition 4: Compliance Demonstration
Effective between the dates of 05/01/2018 and 04/30/2023

Applicable Federal Requirement: 40CFR 63.843(d), Subpart LL

Item 4.1:

The Compliance Demonstration activity will be performed for the facility:

The Compliance Demonstration applies to:

Emission Unit: S-000A5

Regulated Contaminant(s):

CAS No: 0NY505-00-0 POLYCYCLIC ORGANIC MATTER (POM)

Item 4.2:

Compliance Demonstration shall include the following monitoring:

Monitoring Type: INTERMITTENT EMISSION TESTING

Monitoring Description:

Each pitch storage tank shall be equipped with an emission control system designed and operated to reduce inlet emissions of POM by 95 percent or greater. The tank

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will utilize the drop out tank for control Initial performance testing shall be done to show compliance within 60 days of installation.

Lower Permit Limit: 95 percent degree of air cleaning or greater

Reference Test Method: EPA Method 315

Monitoring Frequency: AS REQUIRED - SEE PERMIT MONITORING

DESCRIPTION

Averaging Method: AVERAGING METHOD AS PER REFERENCE TEST METHOD INDICATED

Reporting Requirements: ONCE / BATCH OR MONITORING OCCURRENCE

****** Emission Unit Level ******

Condition 5: Compliance Demonstration
Effective between the dates of 05/01/2018 and 04/30/2023

Applicable Federal Requirement:6 NYCRR 212-1.6 (a)

Item 5.1:

The Compliance Demonstration activity will be performed for:

Emission Unit: S-000A5

Item 5.2:

Compliance Demonstration shall include the following monitoring:

Monitoring Type: MONITORING OF PROCESS OR CONTROL DEVICE PARAMETERS AS SURROGATE

Monitoring Description:

No facility owner or operator shall cause or allow emissions having an average opacity during any six consecutive minutes of 20 percent or greater from any process emission source or emission point, except for the emission of uncombined water.

Parameter Monitored: OPACITY

Upper Permit Limit: 20 percent

Reference Test Method: Method 9

Monitoring Frequency: AS REQUIRED - SEE PERMIT MONITORING

DESCRIPTION

Averaging Method: 6-MINUTE AVERAGE (METHOD 9)

Reporting Requirements: UPON REQUEST BY REGULATORY AGENCY

Condition 6: Notification of initial performance test
Effective between the dates of 05/01/2018 and 04/30/2023

Applicable Federal Requirement:40CFR 63.850(a)(5), Subpart LL

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Item 6.1:

This Condition applies to Emission Unit: S-000A5

Item 6.2:

The owner/operator shall submit the notification of initial performance test.

Condition 7: Notification of initial compliance status
Effective between the dates of 05/01/2018 and 04/30/2023

Applicable Federal Requirement:40CFR 63.850(a)(6), Subpart LL

Item 7.1:

This Condition applies to Emission Unit: S-000A5

Item 7.2:

The owner/operator shall submit the notification of initial compliance status.

Condition 8: Performance test reports
Effective between the dates of 05/01/2018 and 04/30/2023

Applicable Federal Requirement:40CFR 63.850(b), Subpart LL

Item 8.1:

This Condition applies to Emission Unit: S-000A5

Item 8.2:

The owner/operator shall report the results of the initial performance test as part of the notification of compliance status required in §63.850(a)(6). Except as provided in §63.850(d), the owner/operator shall submit a summary of all subsequent performance tests to the New York State DEC on an semi-annual basis.

Condition 9: Compliance Demonstration
Effective between the dates of 05/01/2018 and 04/30/2023

Applicable Federal Requirement:40CFR 63.850(d), Subpart LL

Item 9.1:

The Compliance Demonstration activity will be performed for:

Emission Unit: S-000A5

Regulated Contaminant(s):

CAS No: 0NY100-00-0 TOTAL HAP

Item 9.2:

Compliance Demonstration shall include the following monitoring:

Monitoring Type: RECORD KEEPING/MAINTENANCE PROCEDURES

Monitoring Description:

As required in §63.10(e)(3), the owner/operator shall

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submit a report (or a summary report) if measured emissions are in excess of the applicable standard. The report shall contain the information specified in §63.10(e)(3)(v) and be submitted semiannually unless quarterly reports are required as a result of excess emissions.

Reporting Requirements: SEMI-ANNUALLY (CALENDAR)

Reports due 30 days after the reporting period.

The initial report is due 7/30/2018.

Subsequent reports are due every 6 calendar month(s).



STATE ONLY ENFORCEABLE CONDITIONS
****** Facility Level ******

NOTIFICATION OF GENERAL PERMITTEE OBLIGATIONS
This section contains terms and conditions which are not federally enforceable. Permittees may also have other obligations under regulations of general applicability

Item A: Emergency Defense - 6 NYCRR 201-1.5

An emergency, as defined by subpart 201-2, constitutes an affirmative defense to penalties sought in an enforcement action brought by the Department for noncompliance with emissions limitations or permit conditions for all facilities in New York State.

(a) The affirmative defense of emergency shall be demonstrated through properly signed, contemporaneous operating logs, or other relevant evidence that:

(1) An emergency occurred and that the facility owner or operator can identify the cause(s) of the emergency;

(2) The equipment at the permitted facility causing the emergency was at the time being properly operated and maintained;

(3) During the period of the emergency the facility owner or operator took all reasonable steps to minimize levels of emissions that exceeded the emission standards, or other requirements in the permit; and

(4) The facility owner or operator notified the Department within two working days after the event occurred. This notice must contain a description of the emergency, any steps taken to mitigate emissions, and corrective actions taken.

(b) In any enforcement proceeding, the facility owner or operator seeking to establish the occurrence of an emergency has the burden of proof.

(c) This provision is in addition to any emergency or upset provision contained in any applicable requirement.

Item B: Public Access to Recordkeeping for Facilities With State Facility Permits - 6 NYCRR 201-1.10 (a)

Where facility owners and/or operators keep records pursuant to compliance with the requirements of 6 NYCRR Subpart 201-5.4, and/or the emission capping requirements of 6 NYCRR Subpart 201-7, the Department will make such records available to the public upon request in accordance with 6 NYCRR Part 616 - Public Access to Records.



Facility owners and/or operators must submit the records required to comply with the request within sixty working days of written notification by the Department.

Item C: General Provisions for State Enforceable Permit Terms and Condition - 6 NYCRR Part 201-5

Any person who owns and/or operates stationary sources shall operate and maintain all emission units and any required emission control devices in compliance with all applicable Parts of this Chapter and existing laws, and shall operate the facility in accordance with all criteria, emission limits, terms, conditions, and standards in this permit. Failure of such person to properly operate and maintain the effectiveness of such emission units and emission control devices may be sufficient reason for the Department to revoke or deny a permit.

The owner or operator of the permitted facility must maintain all required records on-site for a period of five years and make them available to representatives of the Department upon request. Department representatives must be granted access to any facility regulated by this Subpart, during normal operating hours, for the purpose of determining compliance with this and any other state and federal air pollution control requirements, regulations or law.

STATE ONLY APPLICABLE REQUIREMENTS

The following conditions are state only enforceable.

Condition 10: Contaminant List
Effective between the dates of 05/01/2018 and 04/30/2023

Applicable State Requirement:ECL 19-0301

Item 10.1:
Emissions of the following contaminants are subject to contaminant specific requirements in this permit(emission limits, control requirements or compliance monitoring conditions).

CAS No: 0NY100-00-0
Name: TOTAL HAP

CAS No: 0NY505-00-0
Name: POLYCYCLIC ORGANIC MATTER (POM)

Condition 11: Malfunctions and start-up/shutdown activities
Effective between the dates of 05/01/2018 and 04/30/2023

Applicable State Requirement:6 NYCRR 201-1.4



Item 11.1:

(a) The facility owner or operator shall take all necessary and appropriate actions to prevent the emission of air pollutants that result in contravention of any applicable emission standard during periods of start-up, shutdown, or malfunction.

(b) The facility owner or operator shall compile and maintain records of all equipment malfunctions, maintenance, or start-up/shutdown activities when they can be expected to result in an exceedance of any applicable emission standard, and shall submit a report of such activities to the department when requested to do so, or when so required by a condition of a permit issued for the corresponding air contamination source. Such reports shall state whether any violations occurred and, if so, whether they were unavoidable, include the time, frequency and duration of the maintenance and/or start-up/shutdown activities, and an estimate of the emission rates of any air contaminants released. Such records shall be maintained for a period of at least five years and made available for review to department representatives upon request. Facility owners or operators subject to continuous stack monitoring and quarterly reporting requirements need not submit additional reports for equipment maintenance or start-up/shutdown activities for the facility to the department.

(c) In the event that emissions of air contaminants in excess of any emission standard in this Subchapter occur due to a malfunction, the facility owner or operator shall compile and maintain records of the malfunction and notify the department as soon as possible during normal working hours, but not later than two working days after becoming aware that the malfunction occurred. When requested by the department, the facility owner or operator shall submit a written report to the department describing the malfunction, the corrective action taken, identification of air contaminants, and an estimate of the emission rates.

(d) The department may also require the owner or operator to include, in reports described under Subdivisions (b) and (c) of this Section, an estimate of the maximum ground level concentration of each air contaminant emitted and the effect of such emissions.

(e) A violation of any applicable emission standard resulting from start-up, shutdown, or malfunction conditions at a permitted or registered facility may not be subject to an enforcement action by the department and/or penalty if the department determines, in its sole discretion, that such a violation was unavoidable. The actions and recordkeeping and reporting requirements listed above must be adhered to in such circumstances.

Condition 12: Emission Unit Definition
Effective between the dates of 05/01/2018 and 04/30/2023

Applicable State Requirement:6 NYCRR Subpart 201-5

Item 12.1:

The facility is authorized to perform regulated processes under this permit for:

Emission Unit: S-000A4

Emission Unit Description:

Material handling operations for alumina, carbon, and other miscellaneous solid materials. Each are controlled by a fabric filter to limit particulate emissions to the environment. This is a temporary name for this emission

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unit. Once it's rolled into the title V permit, the operations will be under emission unit S00004.

Item 12.2:

The facility is authorized to perform regulated processes under this permit for:

Emission Unit: S-000A5

Emission Unit Description:

Coal tar pitch unloading and storage. Includes fugitives from the pitch unloading pumps and pitch recirculating pumps.

**Condition 13: Renewal deadlines for state facility permits
Effective between the dates of 05/01/2018 and 04/30/2023**

Applicable State Requirement:6 NYCRR 201-5.2 (c)

Item 13.1:

The owner or operator of a facility having an issued state facility permit shall submit a complete application at least 180 days, but not more than eighteen months, prior to the date of permit expiration for permit renewal purposes.

**Condition 14: Compliance Demonstration
Effective between the dates of 05/01/2018 and 04/30/2023**

Applicable State Requirement:6 NYCRR 201-5.3 (c)

Item 14.1:

The Compliance Demonstration activity will be performed for the Facility.

Item 14.2:

Compliance Demonstration shall include the following monitoring:

Monitoring Type: RECORD KEEPING/MAINTENANCE PROCEDURES

Monitoring Description:

Any reports or submissions required by this permit shall be submitted to the Regional Air Pollution Control Engineer (RAPCE) at the following address:

Division of Air Resources
NYS Dept. of Environmental Conservation
Region 6
State Office Building
317 Washington Ave.
Watertown, NY 13601

Reporting Requirements: AS REQUIRED - SEE MONITORING DESCRIPTION

**Condition 15: Visible Emissions Limited
Effective between the dates of 05/01/2018 and 04/30/2023**

Applicable State Requirement:6 NYCRR 211.2



Item 15.1:

Except as permitted by a specific part of this Subchapter and for open fires for which a restricted burning permit has been issued, no person shall cause or allow any air contamination source to emit any material having an opacity equal to or greater than 20 percent (six minute average) except for one continuous six-minute period per hour of not more than 57 percent opacity.

Condition 16: Compliance Demonstration
Effective between the dates of 05/01/2018 and 04/30/2023

Applicable State Requirement: 6 NYCRR 212-2.3 (b)

Item 16.1:

The Compliance Demonstration activity will be performed for the facility:
The Compliance Demonstration applies to:

Emission Unit: S-000A5
Process: PT1

Emission Source: TANK1

Emission Unit: S-000A5
Process: PT1

Emission Source: TANK2

Regulated Contaminant(s):

CAS No: 0NY505-00-0 POLYCYCLIC ORGANIC MATTER (POM)

Item 16.2:

Compliance Demonstration shall include the following monitoring:

Monitoring Type: INTERMITTENT EMISSION TESTING

Monitoring Description:

The facility owner or operator shall not allow emissions of an air contaminant to violate the requirements specified in Subdivision 212-2.3(b), Table 4 – Degree of Air Cleaning Required for Non-Criteria Air Contaminants for the environmental rating assigned to the contaminant by the department.

A process emission source emitting an air contaminant and having an emission rate potential (ERP) of greater than 0.1 and less than 1.0 pounds per hour and an Environmental Rating of A must demonstrate a minimum of 90% control on the emission source/emission point.

POM is required to be controlled by 95% under the primary Aluminum MACT. Initial compliance testing completed for the MACT shows compliance with this citation using the condensing tanks for control of emissions. Emission testing shall be completed and submitted as required under the MACT. Compliance with the 95% control requirement satisfies the 90% control requirement under this citation. This also shows greater than 81% control for compliance with NOx RACT provisions.

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Lower Permit Limit: 95 percent reduction

Reference Test Method: EPA method 315

Monitoring Frequency: AS REQUIRED - SEE PERMIT MONITORING

DESCRIPTION

Averaging Method: AVERAGING METHOD AS PER REFERENCE TEST

METHOD INDICATED

Reporting Requirements: ONCE / BATCH OR MONITORING OCCURRENCE

****** Emission Unit Level ******

Condition 17: Emission Point Definition By Emission Unit
Effective between the dates of 05/01/2018 and 04/30/2023

Applicable State Requirement:6 NYCRR Subpart 201-5

Item 17.1:

The following emission points are included in this permit for the cited Emission Unit:

Emission Unit: S-000A4

Emission Point: S0105

Height (ft.): 12

Diameter (in.): 16

NYTMN (km.): 4977.709 NYTME (km.): 508.267

Item 17.2:

The following emission points are included in this permit for the cited Emission Unit:

Emission Unit: S-000A5

Emission Point: S0103

Height (ft.): 28

Diameter (in.): 8

NYTMN (km.): 4977. NYTME (km.): 508.9

Emission Point: S0104

Height (ft.): 7 Diameter (in.): 12

NYTMN (km.): 4977. NYTME (km.): 508.9

Condition 18: Process Definition By Emission Unit
Effective between the dates of 05/01/2018 and 04/30/2023

Applicable State Requirement:6 NYCRR Subpart 201-5

Item 18.1:

This permit authorizes the following regulated processes for the cited Emission Unit:

Emission Unit: S-000A4

Process: M17

Source Classification Code: 3-03-001-04

Process Description:

This is a portable dust collection fabric filter for use

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where necessary for industrial Hygiene purposes and doesn't qualify for an exemption from permitting.

Emission Source/Control: TRLR1 - Control
Control Type: FABRIC FILTER

Emission Source/Control: INDST - Process

Item 18.2:

This permit authorizes the following regulated processes for the cited Emission Unit:

Emission Unit: S-000A5

Process: PT1

Source Classification Code: 3-03-001-04

Process Description:

Long term temporary pitch tank unloading and storage with drop out tank controls. These tanks and controls are being used while the primary tank is repaired.

Emission Source/Control: TANK1 - Process

Design Capacity: 30,000 gallons

Emission Source/Control: TANK2 - Process

Design Capacity: 23,000 gallons