

2005
Water Quality Improvement Projects
Application for State
Assistance Payments

Municipal Wastewater Treatment ♦ Aquatic Habitat Restoration
Nonagricultural Nonpoint Source Abatement and Control
MS4 - Phase II Stormwater Implementation



George E. Pataki, Governor, State of New York
Erin M. Crotty, Commissioner of Environmental Conservation
Randy A. Daniels, Secretary of State
Nathan Rudgers, Commissioner of Agriculture and Markets
January 2005

Application Deadline is April 29, 2005

IMPORTANT UPDATES - 2005

✿ Funding Sources:

- ★ The **Peconic Estuary and South Shore Estuary Reserve** management plan area is the only area with funding remaining under the **1996 Clean Water/Clean Air Bond Act** Title 3, 56-0303 for Water Quality Improvement Projects (WQIP) to implement management programs, plans and projects.
- ★ Eligibility requirements differ slightly for the various programs. Applications will be considered for every funding source for which they are eligible. However, when submitting an application, note carefully the differences in cost share rates and eligibility of various activities. Complete the parts of the application that correspond to the funding category you are applying for.
- ★ Applications are being requested for all programs listed under Funding Categories for 2005, on the next page. Where the funding amount appropriated from State Fiscal Year 04-05 and Federal Fiscal Year '04 is known, the amount is identified in the funding category descriptions on the next page.
- ★ Additional state and federal funds may become available in State Fiscal Year 05-06 or in Federal Fiscal Year '05. Funds may also become available from additional funding sources, such as the federal Performance Partnership Grant. If so, these funds may be used to fund projects received in this solicitation.

✿ Application Process:

- ★ Applicants requesting funding for **Stormwater Phase II Permit Implementation** must provide a list of all cooperating regulated Municipal Separate Storm Sewer Systems (MS4s) and signed letters of intent from each of them. If funded, applicants must get a signed commitment from each participating MS4 that it will deliver its share of the outputs in the contract workplan.
- ★ **Applications from previous years will not be carried over.** You must submit a new application on the Water Quality Improvement Project (WQIP) 2005 Application Form to be considered for funding under any of the funding categories listed on the following page.
- ★ A separate call for projects was issued in August 2004 for agricultural nonpoint source projects, and submittals were due to the Department of Agriculture and Markets by October 25, 2004.

Funding Categories for 2005

Peconic Estuary and South Shore Estuary Reserve

Approximately \$6.7 million from the 1996 Clean Water/Clean Air Bond Act will be available for municipal wastewater treatment plant improvement, nonpoint source abatement and control, and aquatic habitat restoration projects consistent with the Peconic Estuary Comprehensive Conservation Management Plan and the South Shore Estuary Reserve Comprehensive Management Plan.

Long Island Sound

Approximately \$2.5 million from the federal Long Island Sound Restoration Act (LISRA) will be available for municipal wastewater treatment plant improvement, nonpoint source abatement and control, and aquatic habitat restoration projects consistent with the Long Island Sound Comprehensive Conservation Management Plan.

Statewide Nonpoint Source

Approximately \$10.8 million of the New York State Environmental Protection Fund (EPF) has been appropriated in the budget for State Fiscal Year 2004-05 for agricultural and non-agricultural nonpoint source abatement and control projects. A separate call for projects was issued in August 2004 for the agricultural nonpoint source projects, and submittals were due to the Department of Agriculture and Markets by October 25, 2004.

Municipal Separate Storm Sewer Systems (MS4s) Phase II Stormwater Permit Implementation

A share of the \$10.8 million from the Environmental Protection Fund (see above) will be available for assistance to regulated Municipal Separate Storm Sewer Systems (MS4s) in implementing Stormwater Phase II General Permit requirements.

Hudson River Swimmable Goal

Funds may be available from federal and state sources to fund priority activities that will help restore the water quality of the Hudson River as outlined in the Hudson River Estuary Action Plan. In his State of the State address in January, 2004, Governor George E. Pataki outlined a goal that by 2009, the Hudson River will be suitable for swimming from its source in the Adirondacks to New York City. Funding would be available for the activities to achieve the swimmable goal.

Additional state and federal funds may become available in State Fiscal Year 05-06 or in Federal Fiscal Year '05. Additional funds may also become available from other funding sources. If so, these funds may be used to fund projects received in this solicitation.

See the *2005 Information for Applicants* for details about these funding categories.

Other Funding Opportunities of Interest

New York State Clean Vessel Assistance Program

Funding is available through the Clean Vessel Act of 1992 for two types of projects including: 1) information and education projects relating to the use, availability and benefits of pumpout and dump station facilities used for the purpose of disposal of septic waste from recreational marine vessels and 2) construction, renovation and replacement of pumpout and dump stations and their associated appurtenances as associated with providing septic waste disposal from Type III marine sanitation devices or other such septic holding equipment onboard marine recreational vessel. Applications will be continuously accepted as long as funds are available. This program has a different application than the Water Quality Improvement Project (WQIP) application. (See the *2005 Information for Applicants* for details about this funding category.)

Environmental Benefits Fund

Approximately \$4 million is available from the Long Island Sound Newtown Creek Settlement for habitat restoration, acquisition projects, and water quality improvement projects or studies benefitting Long Island Sound and/or Jamaica Bay. For more information about this funding source, contact Karen Chytalo, New York State Department of Environmental Conservation, Division of Fish, Wildlife and Marine Resources at (631) 444-0430.

New York City (NYC) Watershed Protection Program Grant

Approximately \$1 million is available for federal fiscal year 2005 from the Federal Safe Drinking Water Act's NYC Water Watershed Protection Program Grant for projects within the NYC Watershed including: Nonpoint Source Abatement and Control (demonstration projects); Assessment, Planning and Research; and Outreach and Education. Applications are being mailed to known interested parties within the NYC Watershed. The deadline for submittals is February 28, 2005. For more information, contact Bruce Mussett or Kenneth Markussen, New York State Department of Environmental Conservation, Division of Water at (518) 402-8177.

Clean Water State Revolving Fund (CWSRF)

The CWSRF provides low interest loans to construct water quality protection projects. To qualify for CWSRF Financing, projects must be listed on the Intended Use Plan (IUP) Annual Project Priority List, be determined to be environmentally significant by the DEC Commissioner, and score within the fundable range of the IUP for their respective project category. Projects listed on the IUP may be eligible for both short and long-term loans under the CWSRF. CWSRF zero interest or low interest loans may be used to finance the local share of projects that will also be funded with Bond Act, Environmental Protection Fund (EPF), Performance Partnership Grant (PPG) or Long Island Sound Restoration Act (LISRA) funds. Information on the CWSRF program and assistance on how to list a project on the IUP may be obtained by calling the Environmental Facilities Corporation's toll-free information line (800) 882-9721 (within NYS only) or (518) 402-7433 or on the web at www.nysefc.org.

New York State Water and Sewer Co-Funding Initiative

The New York State Water and Sewer Co-Funding Initiative assists communities in finding sources of government funding for water and sewer projects. Several sources of government funding are available to communities and projects may be eligible to receive funding from more than one source.

The Co-Funding Initiative consists of programs administered by the following state and federal agencies: New York State Environmental Facilities Corporation, New York State Department of Health, New York State Department of State, the Governor's Office for Small Cities, New York State Department of Environmental Conservation and the United States Department of Agriculture Rural Development, and others.

For more information contact the Water & Sewer Co-Funding Coordinator at (518) 402-7438 or 1-(800)-882-9721, e-mail at coordinator@nycofunding.org

Application for State Assistance Payments for SFY 2004-2005

Clean Water/Clean Air Bond Act
Environmental Protection Fund (EPF)
Performance Partnership Grant (PPG)
Long Island Sound Restoration Act (LISRA)

Use this application to apply for state assistance payments under any of the following funding categories:

- ◆ Peconic Estuary and South Shore Estuary Reserve
- ◆ Long Island Sound
- ◆ Statewide Non-agricultural Nonpoint Source Abatement and Control
- ◆ Municipal Separate Storm Sewer Systems (MS4s) Phase II Stormwater Permit Implementation
- ◆ Hudson River Swimmable Goal

Applications for agricultural nonpoint source abatement and control projects had to be submitted to the Department of Agriculture and Markets by October 25, 2004, for use by County Soil and Water Conservation Districts (SWCDs).

Instructions for Completing Parts A-E

Part A.-Applicant Information

1. **Project Applicant:** Under the Water Quality Improvement Projects (WQIP) program, the applicant must be a municipality, as defined in Appendix 1 of the enclosed *2005 Information for Applicants* or a Soil and Water Conservation District, as formed pursuant to Section 5 of the Soil and Water Conservation Districts Law. There is additional information about eligible applicants for the MS4s Phase II Stormwater Permit Implementation Program on page 8 of the *2005 Information for Applicants*.
2. **Federal ID Number:** Provide the municipal federal identification number.
- 3-6. **Project Applicant's Address and Contact Person, Telephone, and Address:** Provide the mailing address of the applicant (No. 3). For the contact person (Nos. 4 - 6), provide the name, telephone number, and address of the municipal representative whom you want to be notified about the status of the application. It is important to provide a contact person who will be responsible for taking the necessary next steps if you receive an award letter.

Part B.- General Project Information

1. Project Name: Provide a brief working name and description for your project.
2. Project Location: Identify the project location by providing the county(ies) and zip code(s). Attach an original or copy of a USGS Topographical 7.5 Minute Quadrangle Map or a DOT Planimetric map with detailed project location information. Provide the latitude and longitude for the approximate center of the project area. Include the hydrologic unit code (HUC) for the area in which the project is located (see Appendix 1, *2005 Information for Applicants* for a definition of HUC). This information will be used to more exactly determine a project's location(s) and relationship to other activities within a given watershed. Also attach an 8 ½ x 11 map **to scale** identifying the project location; this map will be distributed to review teams with the application.

For Stormwater Phase II Implementation projects, indicate multiple municipalities/SPDES Permittees/MS4s and the county(ies) in which they are located.

3. Funding Category: Check the box(es) that indicates for which funding category you are applying.
4. Project Type: Mark a single box to indicate how this application should be considered. Definitions of the project types are provided in Appendix 1 of the enclosed *2005 Information for Applicants*. Although some projects could be considered to be more than one project type, **select the ONE project type that best describes the purpose of the project.**
5. Clean Water State Revolving Loan Fund: If the project is listed on the Intended Use Plan (IUP), provide the Clean Water State Revolving Loan Fund (CWSRF) project number and indicate whether the applicant has, or will, apply for short or long-term loans.

Projects listed on the CWSRF Intended Use Plan may be eligible for both short and long-term loans under the CWSRF. CWSRF zero interest or low interest loans may be used to finance the local share that will also be funded with Bond Act, Environmental Protection Fund (EPF), Performance Partnership Grant (PPG) or Long Island Sound Restoration Act (LISRA). The following types of projects are eligible for listing on the IUP:

- Municipal Wastewater treatment improvement;
- Nonagricultural nonpoint source;
- Aquatic habitat restoration projects located in National Estuary Program areas (A description of National Estuary Program areas is provided in Appendix 1 of the enclosed *2005 Information for Applicants*); and
- Municipal Separate Storm Sewer System Phase II Stormwater Implementation.

Information on the CWSRF program and assistance on how to list a project on the IUP may be obtained by calling the Environmental Facilities Corporation's toll-free information line (800) 882-9721 (within NYS only) or (518) 402-7433 or on the web at www.nysefc.org.

Part C.- Project Timing and Costs

- 1-2. Schedule: Provide the proposed starting date and the estimated completion date of the project.
- 3-5. Project Cost: Complete Part G or G2, the Project Budget and Funding Sources section, BEFORE completing this section. The dollar amounts for questions 3-5 will be the same as those entered in Part G or G2.

NOTE: The local share requirements for each type of project are outlined in the *2005 Information for Applicants*.

Part D - Project Summary

Provide a brief summary statement that describes the proposed project.

Part E - Certification

The applicant must certify the information contained in this application is true to the best of his or her knowledge and belief.

Instructions for Completing Parts F and H-L

For each question, responses should fit into the allotted space. Do not attach additional sheets except where specifically stated it is allowed. If a question is not applicable to your project, use N/A.

For salt storage facilities–Part F: Include the dimensions of the building, the maximum salt/sand storage capacity in tons, and the estimated total annual salt and abrasives in tons to be used at the facility. In addition, if the proposed building is intended for other wintertime uses, such as the storage of equipment or DPW offices, that are not related to deicer storage or loading operations, please provide the approximate percentage of the building floor area dedicated to such other uses.

For Stormwater Phase II Implementation projects, use Part F2 instead of Part F.

Instructions for Completing Part G - Project Budget and Funding Sources

Project Budget

- Fill in the project budget chart, estimating costs for each expenditure category that is eligible for funding. The total project cost may not exceed the authorized amount considered in the applicant's bond resolution or ordinance or State Comptroller's approval where applicable. In cases where the proposed project includes several components and/or involves work in multiple locations, use copies of the budget sheet to provide a budget breakdown for each component or location where work will be performed.
- For Stormwater Phase II Implementation projects use Part G2 instead of Part G.

Funding Sources

- It is necessary for all applicants to show **all** sources of long-term funding for each project/component (e.g., municipal contributions, grants, loans, etc.). **NOTE:** While a project might be partially funded from other state or federal grant programs, the Bond Act, Environmental Protection Fund and Performance Partnership Grants prohibit using other state or federal grant dollars received for the project for the local share of the project. The Long Island Sound Restoration Act prohibits the use of federal dollars for the local share. If you intend to apply, have applied, or have received a commitment for other supplemental or alternative grants and loans (e.g., U.S. Department of Agriculture Rural Development grant and/or loan, U.S. Housing and Development (HUD) grant, Clean Water SRF loan; Environmental Protection Fund (EPF) grants from Departments of State or Agriculture & Markets, etc.), please indicate the name of the funding source, the status of funding using the codes provided, and the amount of these funds.

Submittal of Applications

To be considered for Bond Act, EPF, PPG and LISRA funds you must submit:

- A cover letter requesting Water Quality Improvement Project (WQIP) funding on letterhead of the Municipality or Soil and Water Conservation District or other eligible applicants.
- One **single-sided, unbound** original application form which has been endorsed by the individual authorized to do so. The application **must** include the following.
 - 8 ½ x 11 map **to scale** identifying your project location.
 - Original or copy of a USGS 7.5' Quadrangle Map, DOT Planimetric Map or DOT 7.5' Quadrangle Map with detailed project location information.
 - Copy of an enforcement instrument, if applicable.
 - One page Waterbody Data Sheet from DEC's Priority Waterbodies List, if applicable.
 - Letters of intent from cooperating municipalities, SPDES permittees and MS4s, if applicable (MS4 Stormwater Phase II Implementation projects)
- **Fourteen (14) copies** of all materials listed above EXCEPT for the USGS and/or DOT maps, enforcement instrument and letters of intent. **It is suggested that you provide two (2) courtesy copies** to the appropriate Regional Division of Water staff as listed on the back of this application form.

Send application package to: Water Quality Improvement Projects
NYS Department of Environmental Conservation
Division of Water, 4th Floor
625 Broadway
Albany, N.Y. 12233- 3507

For assistance with applications, contact:

DEC Regional Office, Division of Water or Division of Fish, Wildlife and Marine Resources staff
(See back cover for map with contact names and phone numbers)

To obtain a copy of the CWSRF IUP Project Listing Form, contact:

Environmental Facilities Corporation at 518- 402-7433 or toll free (in New York State) at 800-882-9721

For additional copies of this application form or the *2005 Information for Applicants* package, contact:

Water Quality Improvement Projects (518) 402-8250

APPLICATION MUST BE POST MARKED BY

April 29, 2005

Please Note:

We are unable to accept hand-delivered applications.

No facsimile or electronic applications will be accepted.

Helpful Suggestions

☞ Take the time to **meet with DEC regional staff from Water or Fish, Wildlife and Marine Resources programs** to discuss your project and application. They want to work with you to get the best possible projects submitted for funding and can answer questions you may have.

☞ **When your application is complete, provide two (2) courtesy copies** to the appropriate Regional Division of Water staff.

☞ **Do not send spiral bound copies of your application.** We remove the covers anyway in order to add a tracking label to the application's first page. By stapling each copy together with the first page on top, you save us a lot of time. Do **not** staple the original.

☞ **Do not send any information that is not specifically requested.** Unsolicited material will be removed from the application prior to scoring and thrown out.

☞ **Do not send photos.** Send us your photos after your project has been selected for funding.

**WATER QUALITY IMPROVEMENT PROJECTS
APPLICATION FOR STATE ASSISTANCE PAYMENTS FOR SFY 2004/05**

Part A - Applicant Information

1. Applicant Municipality:

City Town Village Other (specify) _____
_____ of: _____

2. Applicant Federal ID Number: _____

3. Applicant Mailing Address:

(no. & street) _____

(city) _____ NY (zip) _____

4. Applicant's Chief Executive Officer:

5. Contact Person: _____

(Municipal representative to be notified on status of application).

6. Phone:(_____) _____ **Fax:**(_____) _____

7. Contact Mailing Address (if different from applicant):

(no. & street) _____

(city) _____ (state) _____ (zip) _____

Part B - General Project Information

1. Project Name: _____

2. Project Location(s) And Zip Codes:

Multiple municipalities SPDES permittees MS4s in County(ies) of _____

City Town Village of _____ County of: _____

City Town Village of _____ County of: _____

Zip Code(s) : _____ USGS 7.5' Quad Map (or copy) Attached

Latitude and Longitude : _____ Hydrologic Unit Code (HUC): _____

3. Funding Category:

Peconic Estuary

South Shore Estuary

LISRA

Statewide Non-Ag NPS (EPF/PPG)

MS4

Hudson River Swimmable WQ Goal

4. Project Types:

Nonagricultural NPS

Stormwater Phase II

Aquatic Habitat Restoration

Agricultural NPS

Municipal Wastewater Treatment Improvement

5. Existing Clean Water State Revolving Loan

Applicant has or will apply for a short term loan? N ___ Y ___ Loan Fund Project Number _____

Applicant has or will apply for a long term loan? N ___ Y ___ Loan Fund Project Number _____

Part C - Project Timing And Costs

1. Proposed Start Date: _____

2. Expected Completion Date: _____

NOTE: The dollar amounts you enter for questions 3-5 should be the same as those entered on Part G or G2, Funding Sources of this application form.

[^]3. State Funds Requested \$ _____

4. Local Share \$ _____

5. Total Project Costs \$ _____

[^]State Funds Requested for Municipal Wastewater Treatment Improvement Projects May Not Exceed 85% of the Total Construction Costs

Part D - Project Summary

Provide a brief summary statement that describes the following:

a) Applicant Name: _____

b) What will be constructed/ implemented: _____

c) the name and value of the affected waterbody(ies)

- For a **state-designated wetland**, provide the designation number: _____

- For **surface water**, describe classified best use (e.g., high quality drinking water, shellfish waters, contact recreation, trout or trout propagation waters): _____

- For a **groundwater resource**, indicate if it is a primary water supply aquifer and/or supplying private wells: _____

- For **aquatic habitat**, provide the names of specific fish and wildlife species and how they could use the habitat (e.g., spawning, nursery, migration): _____

d) the water quality and/or aquatic habitat impairment (e.g., fish consumption advisories, beach closures, habitat impairment from land use and hardening of shorelines): _____

e) the name of the priority pollutant(s) or disturbance(s) causing the impairment (e.g., sedimentation, pathogens, floatable) Indicate whether it is a major or minor pollutant causing the impairment as identified in the PWL: _____

f) the source(s) of priority pollutants or disturbances causing the impairment (e.g., stormwater runoff, onsite systems, hydrologic habitat modification) Indicate whether it is a major or minor source causing the impairment as identified in the PWL: _____

g) the expected environmental benefit (e.g., quantification of the reduction in pollutant load, such as the estimated reduction of the sediment load, in tons and as a percentage of the total load): _____

h) the number of participating entities for projects covering multiple jurisdictions: _____

Part E - Certification

I hereby affirm under penalty of perjury that information provided on this form and attached statements and exhibits is true to the best of my knowledge and belief. False statements made herein are punishable as a Class A misdemeanor pursuant to Section 210.45 of the Penal Law.

(title) _____ of (entity) _____

(date) _____ (signature) _____

(print name) _____

Part F - Project Details

Describe the location of the project (street address, proximity to the affected waterbody, and identifiable landmarks), **what will be constructed to improve water quality or restore aquatic habitat** (include dimensions, specifications, etc.), and **the approved Best Management Practices (BMPs) and/or Best Available Technologies to be used**. *For point source projects involving distinct components or multiple locations, name each and describe each phase or component separately.* For nonpoint source and aquatic habitat restoration projects covering separate waterbodies or watersheds, fill out a separate application form for each.

- ◆ **For point source projects, provide the location(s) of the discharge(s).**
- ◆ **For salt storage facilities,** include the dimensions of the building, the maximum salt/sand storage capacity in tons, the estimated total annual salt and abrasives in tons to be used at that facility. If the proposed building is intended for other wintertime uses, provide the approximate percentage of floor area dedicated to such other uses.

Sample Description: The project site is 2.1 acres on which 1.75 acres of tidal marsh will be restored by removing 25,000 cubic yards of dredge spoil and planted with *Spartina alterniflora* - 3 per square foot.

Part F2. Project Details for Stormwater Phase II Implementation Projects

1. **Cooperating MS4s:** number of traditional (counties, cities, towns, villages) _____
number of non-traditional (school districts and all others) _____

List names of traditionals:

List names of non-traditionals:

Attach Additional Sheet if Necessary

2. Attach a signed letter of intent from each cooperating MS4:

Cooperating MS4 Letter of Intent	
MS4 Name: _____	Located in County of: _____
Stormwater Contact: _____	General Permit # _____
Mailing Address: _____	
Telephone Number: _____	Fax # _____
The above listed MS4 affirms that it is cooperating in the application for EPF assistance for Stormwater Phase II Implementation being made by (applicant name) _____ and fully intends to sign a legal commitment that it will deliver its share of the outputs in the contract workplan, should the project proposal be funded. This legal commitment must be in place by the time a contract with the state is executed.	
Signature: _____	Date: _____
Title: _____	

3. Priority Pollutants: Check which priority pollutants the project targets and whether the pollutant is a major or minor one

- | | |
|--|--------------------------------|
| <input type="checkbox"/> pathogens | <input type="checkbox"/> major |
| <input type="checkbox"/> nutrients | <input type="checkbox"/> minor |
| <input type="checkbox"/> silt and sediment | <input type="checkbox"/> other |
| <input type="checkbox"/> phosphorus | |
| <input type="checkbox"/> other _____ | |

4. High Priority Activities: check which high priority activities are included in the project:

- Implement required local laws that fulfill the Phase II permit requirements to:
 - prohibit illicit discharges as required in GP-02-02 Part IV.C.3.c
 - control erosion/sedimentation/stormwater runoff from construction activities as required in GP-02-02 Part IV.C.4.b) I
 - address post-construction runoff from new development and redevelopment as required in GP-02-02 Part IV. C.5.a) ii
- Provide for additional watershed protection when implementing required MS4 local laws.
 - Onsite System Inspections
 - Special Districts, Cluster Subdivision and Low Impact Development
 - Integration of Stormwater and Floodplain Management
 - Establish Funding Mechanisms

5. Stages in Implementation of Local Laws: check which stage(s) is included in the project:

- Gap analysis– review of existing municipal laws and regulations to determine what is need to develop Phase II-compliant local laws.
- Development of draft ordinance/law language and a strategy to accomplish adoption of the law
- Adoption of the new or revised law by the municipality.
- Development of mechanisms for effective implementation (including assigning and training responsible parties) and procedures for enforcement.

6. MS4 Implementation Project Applicants:

Funding for work on gap analysis and local law adoption and implementation has been offered to municipalities, WQCCs, SWCDs and Regional Planning Boards through various funding sources (such as the 2003 WQIP, 604(b) funding, 2004 Minigrants and Hudson River Estuary Plan). In addition, some MS4s may have already done work on the local laws required by the Phase II permits and the additional local laws discussed in the 2005 *Information For Applicants* booklet.

In the space below:

❖ Identify what work has already been or is being done for/by the municipalities cooperating in this application:

❖ Identify the grant or funding sources being used, or that have been applied for, to support that work:

❖ Describe how this project will coordinate with, complement and build upon the previous or planned work:

*** Information about who is doing what and how the work is funded may be available from the Regional Planning Board, the SWCD, or coordinators of geographic or watershed management plans.**

7. Narrative Description: Briefly (one or two sentences) describe each component of the project proposal, including the minimum control measure(s) implemented, which cooperating MS4s are participating, the activities taking place, water quality improvement expected and uses of the resource protected or restored.

Part G - Project Budget And Funding Sources - Use Only For Wastewater Treatment Improvement Projects

◆ Project Budget : Fill in the proposed budget sheet below. In cases where a project involves multiple components, use a copy of this budget sheet to provide a budget breakdown for each component, or for each geographic area where work will be performed.

Name of Project Component from Part F - Project Description(if applicable): _____

Expenditure Category	◆ ^State Funds Requested	* Local Share	▼Other Funding Sources	Total
1. Construction Costs	\$ _____	\$ _____		\$ _____
2. Personal Services - for construction portion of the project (i.e., Municipal Personnel) a. Payroll, Fringe Benefits and Indirect Costs				\$ _____
Total	\$ _____	\$ _____		\$ _____
3. Nonpersonal Services- for construction portion of the project a. Travel b. Equipment c. Supplies & Materials d. Contractual Services i. Engineering ii. Design iii. Other (please specify) e. Land Acquisition f. Other _____	\$ _____	\$ _____		\$ _____ \$ _____ \$ _____ \$ _____ \$ _____ \$ _____ \$ _____ \$ _____ \$ _____
Total				
4. Total - All Categories	\$ _____	\$ _____	◆\$ _____	\$ _____

◆ State Funds refers to assistance from Water Quality Improvement Project Bond Act, Environmental Protection Fund, PPG, or LISRA

^ For Municipal Wastewater Treatment Improvement Projects, the state funds requested may not exceed 85% of the total construction costs

*State or federal grant dollars previously received for the project may not be used for the applicant's "Local Share", except for projects funded by LISRA. The LISRA allows applicants to use state grant dollars as match.

▼ Includes previous Bond Act funding committed for this project

◆ If the project will receive funding from another source, fill out the Funding Sources table.

Part G - Project Budget and Funding Sources - Use for Nonagricultural Nonpoint Source, Aquatic Habitat

◆ **Project Budget** : Fill in the proposed budget sheet below. In cases where a project involves multiple components, use a copy of this budget sheet to provide a budget breakdown for each component, or for each geographic area where work will be performed.

Name of Project Component from Part F - Project Description(if applicable):

Expenditure Category	◆ State Funds Requested	* Local Share	▼Other Funding Sources	Total
1. Construction Costs	\$ _____	\$ _____		\$ _____
2. Personal Services (i.e., Municipal Personnel) a. Payroll, Fringe Benefits and Indirect Costs				\$ _____
Total	\$ _____	\$ _____		\$ _____
3. Nonpersonal Services a. Travel b. Equipment c. Supplies & Materials d. Contractual Services i. Engineering ii. Design iii. Other (please specify) e. Land Acquisition f. Other				\$ _____ \$ _____ \$ _____ \$ _____ \$ _____ \$ _____ \$ _____ \$ _____ \$ _____
Total	\$ _____	\$ _____		\$ _____
4. Consultant /Contractual Services (e.g., engineering/design, legal, fiscal)	\$ _____	\$ _____		\$ _____
5. Land Acquisition – can only be used for local match	N/A \$ _____	\$ _____		\$ _____
6. Other (please specify) _____	\$ _____	\$ _____		\$ _____
Monitoring (AHR only)				
7. Total - All Categories	\$ _____	\$ _____	◆\$ _____	\$ _____

◆ State Funds refers to assistance from Water Quality Improvement Project Bond Act, Environmental Protection Fund, PPG, and/or LISRA

*State or federal grant dollars previously received for the project may not be used for the applicant’s “Local Share”, except for projects funded by LISRA. The LISRA allows applicants to use state grant dollars as match.

▼ Includes previous WQIP funding committed for this project

◆ If the project will receive funding from another source, fill out the Funding Source table.

Funding Sources:

Funding Source	Grant /Loan	Type (Federal, State, Local, Private)	* Status of Funding	\$ Amount
Rural Development				
Housing and Urban Development				
Clean Water SRF Long-Term Loan				
Other Bond Act Funds (e.g. Parks and Recreation, Brownfields)				
Other Sources - specify				
TOTAL				\$

* Use the following to indicate status: **I** - Intend to apply to the supplemental or alternative funding source **P** -Have applied to the supplemental or alternative funding source, but have not received a commitment **A** - Have received a commitment from the supplemental or alternative funding source.

Part G2. Budget Sheet for Stormwater Phase II Implementation

Expenditure Category	NYS Funds Requested	Local Share	Total
Personal Services Total (a+b+c below)			
a. Salaries and wages			
b. Fringe benefits			
c. Indirect and overhead			
Nonpersonal Services Total (d+e+f below)			
d. Equipment			
e. Supplies and materials			
f. Travel			
Consulting and Other Contractual Services (please specify below)			
Other (please specify below)			
Total Project Cost			

NOTE: Also fill out the Funding Source table in this booklet if other funding sources are being used to support parts of this project.

Part H - Documentation of Water Quality or Aquatic Habitat Impairment

Cite documentation of a water quality impairment such as **NYS DEC Priority Waterbodies List (PWL)**, County Health Department violations, a report or study indicating a violation of water quality standards, assessments in wildlife management plans, wildlife and/or habitat restoration plans, etc. where applicable.

If the waterbody is listed on the NYS DEC Priority Waterbodies List (PWL), write the identification number below and include the one page Waterbody Data Sheet from the PWL. (The PWL is available at all County Soil and Water Conservation District and DEC Regional offices).

PWL Segment #: _____

Part I - Coordinated Approach

1. **Indicate the priority in a Funding Source, Management Plan or Program that the project will address** (Refer to the *2005 Information for Applicants*.)

2. **Explain how the project contributes to a comprehensive and coordinated approach** to solving water quality impairments and/or restoring aquatic habitat. *Include a brief description of how the project is consistent with water quality policies or recommendations in other plans (i.e., County Water Quality Strategy; an approved Watershed Management Plan; Local Waterfront Revitalization Program; NYS Coastal Nonpoint Pollution Control Program; DEC approved species or habitat management plan or project; and/or New York State's Open Space Conservation Plan).*

Part J - Project Readiness

1. State Environmental Quality Review (SEQR) - For each question below, check the appropriate response

- What is the SEQR classification for the project? Type I Unlisted Type II
- Has the environmental review/SEQR process been commenced? Y N N/A
(e.g. has an Environmental Assessment Form been completed?)

If YES, provide the date of SEQR commencement _____

- Has a lead agency been designated? Y N N/A

If YES, provide the name of the lead agency: _____

Date the agency was designated: _____

- Has a determination of significance been made by the lead agency? Y N N/A

If YES, circle the appropriate response to the following:

Negative Declaration? Y N

Positive Declaration? Y N

Has the *draft* Environmental Impact Statement been accepted? Y N

Has the *final* Environmental Impact Statement been accepted? Y N

Part L - Enforcement Status

- ◆ Is the municipality under enforcement (e.g., consent order) to construct the project?
 yes no

- ◆ If YES, attach a copy of the enforcement instrument.

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Region 3 - Kelly Vertucci (845) 758-7015
Region 4 - Jerry Fraine (607) 652-2645
Region 5 - Ken Kogut (518) 897-1290
Region 6 - Al Schiavone (315) 785-2263
Region 7 - Joe Eifert (607) 753-3095
Region 8 - Randy Nemecek (585) 226-5371
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