

# New York State Department of Environmental Conservation

## Division of Water

Bureau of Flood Protection and Dam Safety, 4<sup>th</sup> Floor

625 Broadway, Albany, New York 12233-3504

Phone: (518) 402-8185 • FAX: (518) 402-9029

Website: [www.dec.ny.gov](http://www.dec.ny.gov)



### Dam Safety Annual Certification Form

*6 NYCRR Part 673.8 requires that Owners of Class C - High Hazard and Class B -Intermediate Hazard dams submit an annual certification to the NYSDEC - Dam Safety Section by January 31 of each year. Please refer to 6 NYCRR Part 673 which governs the definitions and provides additional detail on the questions listed below.*

**Reporting Year:** 2011

**Dam Name:** \_\_\_\_\_

**Dam Location:** County: \_\_\_\_\_ Town/City: \_\_\_\_\_

**NYS Dam ID No.:** \_\_\_\_\_

**Hazard Class:** (select one)                      B-Intermediate                      C-High

**Location (deg/min/sec):** Latitude: \_\_\_\_\_ Longitude: \_\_\_\_\_

1. Owner Name: \_\_\_\_\_  
Owner Address: \_\_\_\_\_  
\_\_\_\_\_  
Owner Telephone Number: \_\_\_\_\_

2. Do you have a written Inspection and Maintenance Plan, in compliance with Part 673, that is being implemented?                      **Yes**                      **No**  
If no, provide date it will be completed/implemented: \_\_\_\_\_

3. Do you have a written Emergency Action Plan (EAP) in compliance with Part 673?                      **Yes**                      **No**

4. Has an annual EAP review been completed for the reporting year?                      **Yes**                      **No**  
**(If changes occurred, attach the updated pages)**

## Certification

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision and that the information submitted is, to the best of my knowledge and belief, true, accurate, and complete.

**This Certification must be signed by an individual on his or her own behalf, or by either a principal executive officer or ranking elected official, or duly authorized representative of the dam owner.**

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Company: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Send Form and Attachments to:

NYSDEC - Dam Safety Section  
625 Broadway, 4<sup>th</sup> Floor  
Albany, New York 12233-3504  
Phone: (518) 402-8151  
Fax: (518) 402-9029

Note: Please keep in mind the Dam Owner may have other reporting requirements as identified in Part 673 including, but not limited to, reporting: i) flow in an erodible auxiliary spillway, ii) change in ownership, and iii) an engineering assessment every 10 years, following initial assessment.