



New York Environmental Leaders Supplemental Application Form

New York State Department of Environmental Conservation
Pollution Prevention Unit
625 Broadway, Albany NY 12233

Directions: This application is only used by applicants that wish to seek joint participation in New York Environmental Leaders and EPA's National Environmental Performance Track (NEPT). It is a supplement to the NEPT application and provides the New York State Department of Environmental Conservation (Department) additional information to base its decisions. Please complete all sections of this supplemental application form, which are applicable to your facility. If there is not enough room to address the question, please attach additional sheets.

Applicant Information

Date

Name of Facility

Name of Parent Company

Facility Location, Street Address

Facility Location, City/State/ZIP code

Contact Information

Contact Name (Mr./Mrs./Ms./Dr.)

Title

Phone

Fax

E-mail

Facility/Company Website

Mailing Address (if different)

City/State/Zip code

Section A: Facility Information

1. List the North American Industrial Classification System (NAICS) codes that you use to classify business at your facility

Briefly describe the activities that are conducted at your facility:

2. Number of employees who currently work at your facility.

- Less than 50
- 50 to 99
- 100 to 499
- 500 to 1,000
- 1,000 or more

Section B: Record of Compliance

1. Does the applicant currently hold any permit issued under the Environmental Conservation Law?

Yes No

If Yes, please list the permits:

2. Has your facility been denied a permit or had a permit revoked or suspended under the Environmental Conservation Law within the past five years?

Yes No

If Yes, please provide details:

3. Has your facility been subject of ongoing criminal investigation within the past five years?

Yes No

If Yes, please provide details:

4. Is your facility currently the subject of an enforcement action under the Environmental Conservation Law?

Yes No

If Yes, please provide details:

5. Does your facility currently owe any regulatory fees pursuant to Article 72 of the Environmental Conservation Law to the Department of Environmental Conservation?

- Yes Amount \$_____
- No
- Under dispute for _____ year(s) Amount \$_____

Note: Article 72 of the Environmental Conservation Law declares that those regulated entities which use or have an impact on the state's environmental resources should bear the costs of the regulatory provisions which permit the use of these resources in a manner consistent with the environmental, economic and social needs of the state.

6. Has your facility, within the last three years, been found in an administrative, civil or criminal proceeding to have violated any provision of the Environmental Conservation Law (ECL), any related order or determination of the Commissioner, any regulation promulgated pursuant to the ECL, the condition of any permit issued there under, or any similar statute, regulation, order or permit condition of any other state or federal government agency?

- Yes No

If Yes, please provide details:

7. Has your facility, within the last five years, been convicted of a criminal offense under state or federal government agency, which involved environmental statutes or regulations, or fraud, bribery, perjury, theft or an offense against public administration as that term is used in Article 195 of the Penal Law, or an offense involving false written statements as those termed are defined in Article 175 of Penal Law?

Yes No

If Yes, please provide details:

8. Does your facility have data in EPA's [Enforcement and Compliance History Online \(ECHO\)](#) ?

Yes No

If Yes, please provide details on any data in ECHO that you believe is inaccurate:

Section C: Commitments

There are several commitment categories, or indicators, allowed in the NEPT that the Department will not recognize as beyond compliance commitments for the purpose of participating in NYEL. These commitments are noted below.

1. Is your facility making a Hazardous Waste commitment?

Yes No

If Yes, does your facility or commitment fall under one of the following mandatory criteria?

Yes Which criteria apply to your facility?
 No

- A. Your facility generates less than 25 tons per year of hazardous waste and isn't a treatment, storage or disposal facility (TSDF).
- B. Your facility generates more than 25 tons per year of hazardous waste, is not a treatment, storage or disposal facility (TSDF) and is making a commitment to reduce hazardous waste to less than 25 tons per year.
- C. Your facility is committing to exceeding the normal return on investment (RIO) for capital projects.
- D. Your facility's hazardous waste reduction is not otherwise required under ECL 27-0908.
- E. Your facility's hazardous waste commitment demonstrates extraordinary leadership in the organization's sector, such as conducting research that leads to innovative waste reduction technologies that the organization both implements and actively provides to other organizations to utilize.

Note: NYEL does not recognize Hazardous Waste Commitments that do not meet one of the above criteria. If you are making a hazardous waste commitment and you do not fall under one of the criteria above, you must submit an additional commitment in Section C to satisfy NYEL requirements.

2. Is your facility making a vibration commitment?

Yes No

Note: NYEL does not recognize Vibration Commitments. If you are making a vibration commitment you must submit an additional commitment in Section C to satisfy NYEL requirements.

3. Is your facility making an air emissions commitment using odor as an indicator?

Yes No

Note: NYEL does not recognize odor as an indicator for air emissions. If you are making an odor commitment you must submit an additional commitment in Section C to satisfy NYEL requirements.

4. Are any of your facility's commitments a Challenge Commitment?

Yes No

Note: NYEL does not recognize NEPT Challenge Commitments. If you are making a Challenge Commitment, you must submit an additional commitment in Section C to satisfy NYEL requirements.

Section D: Additional Commitments

This section is only applicable to those facilities that identify the need to submit an additional commitment to satisfy NYEL requirements.

1. Does section C indicate that you need to submit an additional commitment to satisfy NYEL requirements?

Yes No

If Yes, please present the details of your additional commitment in the table below:

A list of Environmental Performance Indicators and Categories acceptable to the Department can be found in *Section 5 – Environmental Performance Indicators and Categories* of the NYEL Guidance Document.

Performance Improvement Commitment			
Category			
Indicator			
Units			
Baseline Year		Goal Year	
Baseline Amount		Goal Amount	

Briefly describe the project:

Section E: Site Visit

This section is only applicable to existing, or renewing, members of NEPT or NYEL. New applicants can skip to section F.

1. Has your facility had an NEPT, or NYEL, site visit conducted within your current, or past, three year participation period in either of these programs?

Yes No

If Yes, please list the date the site visit was held and the agency (NYSDEC or EPA) that lead the visit.

2. Were there any findings that require action from your facility to remain in NYEL or NEPT?

Yes No

If yes, please discuss the deficiencies identified, and the actions being taken to correct.

Section F: Application and Participation Commitment Statement

- I have read and agree to the terms and conditions for Application and Participation in the New York Environmental Leaders Program, as specified in the NYEL Guidance Document and CP-40 Commissioner Policy on NYEL.
- I have personally examined and am familiar with the information contained in this application. The information contained in this application is, to the best of my knowledge and based on reasonable inquiry, true, accurate, and complete.
- Based on the foregoing compliance assessment and subsequent correction actions (if any were necessary), my facility is, to the best of my knowledge and based on reasonable inquiry, currently in compliance with applicable Federal, State, tribal, and local environmental requirements.
- I understand that this application does not guarantee acceptance into the New York Environmental Leaders Program nor granting incentives, and is contingent upon New York State Department of Environmental Conservation (NYSDEC) approval of the application and potential verification visit by NYSDEC staff.
- I am a senior facility manager or authorized facility signatory, and fully authorized to execute this statement on behalf of the corporation or other legal entity whose facility is applying to this program.

Applicant Signature	
Signature	Date
Printed Name	Title
Facility Name	
Facility Location, Street Address	
Facility Location, City/State/ZIP code	

For additional information contact:

[New York Environmental Leaders](#)
New York State Department of Environmental Conservation
Division of Permits
Pollution Prevention Unit
625 Broadway
Albany, NY 12233-1750
(518)402-9469

