New York State Department of Environmental Conservation Division of Lands and Forests, Region 6

Potsdam Sub-Office 190 Outer Main St, Suite 103 Potsdam, NY 13676 Tel:315-265-3090

Website: www.dec.ny.gov



Contract No. TX11811

NOTICE OF SALE OF FOREST PRODUCTS

Maple Tapping

Version 10.2021

Pursuant to Section 9-0505 of the Environmental Conservation Law, sealed bids for the following forest products located on **Franklin #2** (Bombay State Forest), **Stands C-10**, **12** will be accepted at the NYS Department of Environmental Conservation, 190 Outer Main St, Suite 103, Potsdam, New York 13676, until **11:00** a.m., **Thursday**, **January 6**, **2022**.

SPECIES	DBH (Inches)	NO. OF Taps
Sugar and Red Maple	10-20+"	465
TOTAL SALE		465 taps

These forest products are being sold from lands which have been third-party certified as being managed using responsible forestry practices and having met the requirements for Forest Certification according to the policies and principles of the Forest Stewardship Council® (FSC®) and the Sustainable Forestry Initiative® (SFI®). The stumpage advertised above may be considered FSC 100% under certificate number SCS-FM/COC-00104N (FSC-C002027), and SFI 100% Certified Forest Content under certificate number NSF-SFIFM-6L741. In the event the harvest site is selected for auditing while this contract is in effect, the Contractor may be required to meet with the DEC and/or Forest Certification auditors on site during the term of this contract. If required, the Contractor shall be notified a minimum of five (5) business days in advance.

I. LOCATION AND MINIMUM BID

This maple tapping area is located in the **Town of Moira in Franklin County**, on approximately **14.0 acres**. It will be sold to the highest approved bidder. The bid amount shall be the total contract payment for tapping. Minimum acceptable bid shall not be less than **\$1,162.50 U.S.**

II. CONTRACT TERM

Unless modified as provided herein, this Contract shall begin on **January 14**, **2022** and end on **July 14**, **2026**. All maple tapping and sap production materials associated with this contract must be removed from State Land by the end date or termination date, or if the contract is terminated prior to the end date.

III. NOTIFICATIONS

The Contractor will notify the DEC no less than five (5) business days prior to Contractor's intent to commence operations and at least five (5) business days prior to starting final cleanup. The Contractor will be required to meet with the DEC prior to commencing these operations. Exception to these requirements may be made only by the DEC.

It will be the Contractor's responsibility to provide the workers actually performing the work with a copy of the <u>NOTICE OF SALE OF FOREST PRODUCTS</u> before work begins. The Contractor shall keep a copy of the <u>NOTICE OF SALE OF FOREST PRODUCTS</u> on site at all times while conducting work under the terms of this Contract.

Upon determination by the DEC that any of the terms in this contract are not being upheld, the Contractor may receive a <u>Notice to Correct</u> by certified mail, return receipt requested. If the required work is not completed within seven (7) calendar days following receipt of such <u>Notice to Correct</u>, unless a good faith effort to do so is demonstrated to the DEC's satisfaction, this contract may be terminated for cause, at the discretion of the DEC.

DEC may determine that work is needed to properly stabilize the job site at certain times of year. This may include leveling access trails and/or landing areas, installing best management practices (BMPs) including waterbars, earth berms, or other measures as required in the Notice of Sale. Upon determination by the DEC that such work is necessary, the Contractor will receive a Notice to Correct by certified mail, return receipt requested. If the required work is not completed within seven (7) calendar days following receipt of such Notice to Correct, unless a good faith effort to do so is demonstrated to the DEC's satisfaction, the contract may be terminated for cause, at the DEC's option.

This contract may be terminated or suspended by the DEC if the Contractor abandons the work under this contract; is in violation of any conditions of this contract and permit; fails or refuses to conform with the requirements of this contract; or if at any time the DEC is of the opinion that the Contractor is willfully violating any of the conditions of the contract or executing same in bad faith; or that, the Contractor has failed to promote work in a diligent manner. Upon such default or termination, the DEC shall have the right to proceed to enforce the bond posted by the Contractor in connection with this contract.

Pursuant to §9-1501 and § 71-0703 (6) (b) of the ECL, should any tree be cut, destroyed or injured, except as allowed by this contract or otherwise approved by the DEC, the Contractor may be liable for a penalty of \$250.00 per tree or treble damages or both.

The DEC may reserve at-risk trees from tapping or suspend tapping operations at any time in the event that forest health is diminished or threatened by disease, fungus, insect defoliation, storm damage, or drought.

DEC shall conduct an inspection annually during tapping operations. This inspection shall consist of a sample of tapped trees, inspecting taps per tree, tree size, and location, as well as inspection for stand damage and forest health. Any inspection that reports at least 5% of sampled trees in violation of tapping guidelines, shall result in a follow up inspection by the DEC and may be grounds for termination of this Contract.

A. Dig Safe NY Notice

It shall also be the Contractor's responsibility to contact Dig Safe New York for underground facility location prior to commencing work, 1-800-962-7962. The DEC is willing to do the Dig - Safe locations for the Contractor as a service. However, this will require at least 5 business days' notice in advance, and the Contractor must be ready to start work within 10 days of the stake out.

IV. SALE QUANTITY ESTIMATION

The quantity of tappable trees and total taps was estimated by DEC by conducting a walk-through and visually estimating the size of trees. Only Sugar Maple (Acer saccharum) and Red Maple (Acer rubrum) are counted in the estimated quantity of tappable trees. The number of total taps is calculated by multiplying the number of tappable trees by the allowable taps per tree.

The DEC does not guarantee the estimated quantity of tappable trees or taps within the advertised sugarbush. Bidders are encouraged to visit the sale area to assess the advertised sugarbush before submitting their bid.

V. MARKING

Tappable trees marked with **Orange** paint and a number that represents the number of allowable taps by the DEC. The sale boundaries are painted on the ground with **Orange** 3 Stripe (≡) and can also be seen on the attached Sale Map. Only trees with Orange painted number (1 or 2) are included in this sale.

VI. EQUIPMENT LOCATION AND MAINTENANCE

The Contractor must use a tubing system to carry sap to the collection area. The Contractor may place buckets for roadside collection in the sale area, within 15' of roads designated on the attached Sale Map.

The Contractor may place a collection tank and vacuum pump along with any associated tubing, generator, and sap releasers at a location designated by the DEC. A temporary storage shed structure may be placed at this location to house equipment. Temporary structures must be constructed in such a manner to readily be removed at the end of the contract without significantly altering the site. Permanent structures such as a concrete slab foundation or sugar house may not be constructed or located on State land. Trailered equipment, such as portable burners and reverse osmosis machines may be parked at the sap collection area.

DEC shall not be responsible for any damage to or loss of equipment left on site including (but not restricted to) tubing, tanks, etc.

The Contractor shall keep the sugarbush and collection area free of any litter, including garbage, oil cans, papers and equipment parts. The sap collection area shall be kept in a neat condition and free of woody debris.

Wastewater may be discharged during reverse osmosis, however such wastewater must be free of petroleum products and other chemicals, must be discharged at least 150' from streams and may not be discharged directly onto road or trail surfaces.

Tanks, tubing and other equipment may be washed with water. They may not be washed with detergent or chlorine solution on State land unless the following mitigative measures are employed:

- Solution may be pumped from the bottom of the system out through spout(s) or drop(s) under the following conditions:
 - The solution may contain only water and bleach or hydrogen peroxide and must be at least 80% water.
- Solution may be sucked into the system through a spout/drop under the following conditions:
 - The solution may contain bleach, hydrogen peroxide, alcohol (ethanol or isopropyl), pan clean (acid), commercial tubing cleaner, or other detergent.
 - It must be drained directly into the collection tank and the tank may not be emptied on State land.

VII. VEHICULAR USE, ACCESS AND SIGNAGE

The access system will be located and designated on the ground by DEC in consultation with the Contractor. No additions or changes to access trail locations will be made without prior approval of DEC.

Use of registered automobiles is allowed only on public forest access roads, shoulders and haul roads. ATVs, tractors and snowmobiles may be used by the Contractor only within the sale

boundary for sugarbush establishment and maintenance. The contractor will be responsible for repairing any damage associated with accessing the sale area. The contractor shall not create new trail systems on any State Lands without prior DEC approval.

The Contractor may fell any tree under 3" DBH, only if necessary, to improve access and safety within the sugarbush. Should the Contractor wish to cut any merchantable tree with a DBH of 3" or greater, approval must be granted by the DEC and the Contractor must reimburse the DEC at the price per species prescribed by the most recent NYS DEC Stumpage Price Report. This payment shall be in addition to the bid amount and paid within 30 days of the cutting of the trees per local contract.

The DEC reserves the right to restrict the size of the equipment used when, in the judgment of the DEC, said large equipment is causing unacceptable damage to the sugarbush.

The Contractor shall secure all roads, trails and the sap collection area to restrict erosion at all times to the satisfaction of the DEC according to guidelines in the publication New York State Forestry Best Management Practices for Water Quality – BMP Field Guide. The DEC reserves the right to require the Contractor to implement erosion and sedimentation controls at any time, which includes, but is not limited to, water bars, broad based dips, corduroy, culverts, temporary bridges, straw bales, seeding and mulching. The Contractor shall prevent ruts on all roads and trails that may result in channelized sediment flow. Moreover, the Contractor shall prevent all ruts within the sale boundary.

The Contractor agrees to haul large sap loads out when the road bed is frozen, such as during early morning hours, unless frozen road conditions do not exist and are not forecasted within 48 hours.

The Contractor shall ensure that roads are sufficiently cleared of snow to safely accommodate the Contractor's vehicular use. The Contractor shall arrange any local road plowing agreements needed to ensure access via Municipal roads leading to and entering State lands.

The Contractor may not establish exclusive use of the sale area and may in no way obstruct public access or Department use except as specifically stated within the terms of this Contract.

The DEC may post informational signage indicating the presence and purpose of the sale. No signage may be posted restricting public access to the sale area.

VIII. TAPPING GUIDELINES

The Contractor is fully responsible for identifying tappable trees and determining the number of taps per tree, in accordance with the following guidelines.

Tappable trees are those Sugar Maple and Red Maple trees within the sale boundary with a diameter-at-breast-height (DBH) of 10" or greater (circumference of 32 inches or greater).

The allowable taps per tree are as follows: trees with a DBH less than 10" (32 inches in circumference) may not be tapped, trees with a DBH between 10" and 20" are allowed 1 tap per tree and trees with a DBH greater than 20" (56 inches in circumference) or greater are allowed 2 taps per tree.

For multi-stem trees that separate within 4.5 feet from the ground each stem shall be considered one tree, for trees that fork higher than 4.5 feet from the ground, the stems shall be collectively considered a single tree.

In trees with two taps, the Contractor should place tap holes as far apart as possible. Tap holes should not be placed closer than three inches horizontally and twelve inches vertically from any previous visible tap hole sites. Holes should not be placed closer than three inches horizontally from

a visible dead seam if possible. Tap holes shall not exceed 5/16" in diameter and shall be drilled no deeper than 1.5" total depth into the tree's white wood. Trees shall be tapped only once per year. Taps must be removed from each tree at the end of each sugaring season, no later than May 1st.

The Contractor may not employ re-tapping, re-boring, reaming, double-tapping nor any other taphole freshening activities. No sanitizing materials may be used in tap holes.

All tubing systems shall be installed and managed according to best management practices as outlined in "North American Maple Syrup Producers Manual 2nd Edition" or approved successor document(s).

Mainlines shall be attached to trees in a way that does not cause harm or injury to the tree. The Contractor may propose tree protection methods, which may include use of wood blocks or rubber sheaths and must be approved by the DEC. No wire, nails, or bolts are allowed in trees.

Mainlines must have occasional sections with ground clearance of 8 feet or more. These sections should be at least 5 feet wide and occur at a spacing of at least once every 100 feet. The mainlines and secondary lines may be left in place during the offseason.

IX. RARE, ENDANGERED, THREATENED OR SPECIAL CONCERN SPECIES

The DEC reserves the right to implement temporary restrictions on sale related activities to protect previously unknown occurrences of rare, endangered, threatened or special concern species found within or near the sale area.

X. OSHA and SAFETY RELATED TERMS

OSHA regulations relating to safety and safety equipment must be followed at all times by the Contractor.

Undesignated dead or hazardous trees may be cut, pushed or pulled down when necessary to comply with OSHA regulations, but must be left on the site next to the stump and may not be utilized by the Contractor. The tree may be cut into lengths that are east for the contractor to move off of any impacted tubing or mainline. In this situation, when the Contractor cuts an undesignated tree, the Contractor must notify the DEC within one business day. When the Contractor identifies a hazard tree which is too dangerous to cut or work around and will impact the completion of the contract, the Contractor must notify the DEC. If the hazardous situation cannot be resolved or mitigated, the DEC (upon consultation with the Contractor) will decide on an acceptable solution.

XI. PERSONAL PROTECTIVE EQUIPMENT FOR CHAINSAW USERS

Any person operating a chainsaw for any purpose while undertaking the activities authorized by this contract are required to wear Personal Protective Equipment (PPE), including at least the following: hard hat, eye protection, hearing protection, and cut-resistant chaps or pants. Additionally, the chainsaw must be equipped with properly functioning safety devices, including, at minimum, a properly functioning chain brake.

XII. TRAINED LOGGER CERTIFICATION®

Any person who will perform any duties related to the felling, handling and removal of small trees (< 3" DBH) and brush under this contract, regardless of whether they are an employee of the Contractor, shall be either, Trained Logger Certified® (TLC) through the New York Logger Training Program (NYLT) or, shall read and understand the contents of the State of New York Department of Health publication 'Logging Safety: A Field Guide' prior to undertaking activities authorized by this contract.

XIII. FLUID LEAK CONTROL

The Contractor will be responsible for the control and collection of fluids leaking from any equipment used on the site. The Contractor must have a spill containment and cleanup kit appropriate for the

equipment being used. At a minimum, the kit will contain: plugs and clamps to control hydraulic line breaks, a container to collect leaking fluids, fluid absorbent pads and a shovel. Operating any equipment noticeably leaking fluids is prohibited. The Contractor must take action to collect and control fluids leaking from inactive equipment or equipment being maintained or repaired on-site. The collected fluids must then be reused or properly disposed of. For additional information regarding the handling of hazardous materials please refer to page 74 of the NY BMP Field Guide – 2011 Edition.

XIV. SEXUAL HARASSMENT PREVENTION CERTIFICATION

State Finance Law §139-I requires bidders on state procurements to certify that they have a written policy addressing sexual harassment prevention in the workplace and provide annual sexual harassment training (that meets the minimum requirements of section two hundred one-g of the NYS Labor Law and Department of Labor's model policy and training standards) to all its employees.

Where competitive bidding is required pursuant to statute, rule or regulation, every bid made to the state or any public department or agency of the state must contain the following statement:

"By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that the bidder has and has implemented a written policy addressing sexual harassment prevention in the workplace and provides annual sexual harassment prevention training to all of its employees. Such policy shall, at a minimum, meet the requirements of section two hundred one-g of the labor law."

Bids that do not contain the certification will not be considered for award; provided however, that if the bidder cannot make the certification, the bidder shall provide a signed statement with their bid detailing the reasons why the certification cannot be made. After review and consideration of such statement, the DEC may reject the bid or may decide that there are sufficient reasons to accept the bid without such certification.

Bidders are required to sign and submit the attached Sexual Harassment Prevention Certification form. If the bidder cannot make the certification, then a signed statement must be submitted with the bid detailing the reasons why the certification cannot be made.

XV.TERMS OF BIDDING

Proposal blanks, envelopes for mailing bids and any other information relative to this proposed sale may be obtained from **Tony Sparacino**, Senior Forester, NYS DEC, Outer Main St, Suite 103, Potsdam, NY 13676, telephone (315) 274-3336, or by email at tony.sparacino@dec.ny.gov.

Bids must be submitted via mail, delivery service, or in person. All bids must be submitted on the original *Bid Proposal* (Proposal) form supplied by the DEC for this specific sale and included with this Notice. Alternate forms of bidding, including photocopies, will not be accepted. All blanks on the Proposal form must be completely filled in. Amounts must be written out and also stated in figures. Alteration of the Proposal by which the terms are changed may cause rejection of the bid. *Please note that the bid deadline is for receipt of the bid at the Department's Regional Office, New Paltz, New York, not for mailing or entrusting to a delivery service. The Department is not responsible for lost or late mailings. Late bids will be returned unopened. No electronic submissions will be accepted.*

The original *Bid Proposal* must be accompanied with a completed and signed original *Bidders/Proposers Certification form and Sexual Harassment Prevention Certification form.* The original *Bid Proposal*, *Bidders/Proposers Certification form*, *Sexual Harassment Prevention Certification form* and bid deposit for this sale must be submitted in a bid envelope supplied by the

DEC or in an envelope addressed to "NYS DEC, 190 Outer Main St Suite 103, Potsdam, NY 13676 Attn: Tony Sparacino." and clearly showing only the following information:

Bidders Name Here Return Address Here SEALED BID ENCLOSED DO NOT OPEN

Postage Here

NYS DEC Lands and Forests 190 Outer Main St, Suite 103 Potsdam, NY 13676 Attn: Tony Sparacino

PROPOSAL: TX11811, Franklin #2

Bid Opening: 11:00 AM, Thursday, January 6, 2022

The DEC reserves the right to waive any technicalities concerning bids and also to reject any and all bids if the best interests of the State will be promoted thereby. Award to the successful bidder will be made within ten days after opening of bids. Bidders are asked to completely and legibly fill out the return address portion of the bid envelope. In the unlikely event that bids must be returned unopened, this will greatly facilitate their being sent back to bidders.

IMPORTANT NOTICE

The attached "BIDDER'S/PROPOSER'S CERTIFICATION" form and "SEXUAL HARASSMENT PREVENTION CERTIFICATION" form must be completed, signed, and returned along with your bid proposal. Failure to include these forms may result in rejection of the bid.

In the event that two or more high bids are submitted in exactly the same amount, the bid that was received first by the DEC will be considered the apparent high bidder.

Notice of Sale documents, bid opening dates and bid results can be found on-line on the New York State Department of Environmental Conservation public web site address: http://www.dec.ny.gov/lands/69749.html and clicking on the NYS DEC Region where the timber sale is being offered. Bid results will be posted for 30 days beyond the bid opening date.

XVI. TERMS OF SALE

A. General

All monetary references are in U.S. dollars. All material will be paid for by the Contractor prior to the commencement of harvesting operations. The Contractor will execute and return the sales contract to "NYS DEC, Attn: Tony Sparacino, 190 Outer Main St Suite 103, Potsdam, NY 13676," along with all other required documentation, within 30 calendar days of Notice of Award.

The successful bidder will not commence operations hereunder until payment according to the payment schedule is made, and a fully executed copy of the sales contract is received by him/her with authorization to proceed with removal of products.

The contractor may subcontract for the performance of work pursuant t this contract only with the prior written approval of DEC. If the contractor enters into subcontracts for the performance of work pursuant to this contract, the Contractor remains liable for compliance with all the terms of this contract. The Contractor shall take full responsibility for the acts and omissions of its subcontractors.

B. Vendor Responsibility

State procurement laws require that state agencies award contracts only to responsible Contractors. To fulfill this requirement, potential Contractors may be required to complete a Vendor Responsibility Questionnaire or otherwise provide additional information to assist the DEC in assessing responsibility.

- General Responsibility: The Contractor shall at all times during the Contract term remain responsible. The Contractor agrees, if requested by the Commissioner or his or her designee, to present evidence of its continuing legal authority to do business in New York State, integrity, experience, ability, prior performance, and organizational and financial capacity.
- Suspension of Work (for Non-Responsibility): The Commissioner or his or her designee, in his or her sole discretion, reserves the right to suspend any or all activities under this Contract, at any time, when he or she discovers information that calls into question the responsibility of the Contractor. In the event of such suspension, the Contractor will be given written notice outlining the particulars of such suspension. Upon issuance of such notice, the Contractor must comply with the terms of the suspension order. Contract activity may resume at such time as the Commissioner or his or her designee issues a written notice authorizing a resumption of performance under the Contract.
- Termination for Non-Responsibility: Upon written notice to the Contractor, and a reasonable opportunity to be heard with appropriate DEC officials or staff, the Contract may be terminated by the Commissioner or his or her designee at the Contractor's expense where the Contractor is determined by the Commissioner or his or her designee to be non-responsible. In such event, the Commissioner or his or her designee may complete the contractual requirements in any manner he or she may deem advisable and pursue available legal or equitable remedies for breach.
- Vendor Responsibility Questionnaire: The DEC recommends that vendors file a required Vendor Responsibility Questionnaire online via the New York State VendRep System. To enroll in and use the New York State VendRep System, see the VendRep System Instructions available at http://www.osc.state.ny.us/vendrep/vendor-index.htm or go directly to the VendRep System online at https://portal.osc.state.ny.us.

Vendors must provide their New York State Identification Number when enrolling. To request assignment of a Vendor ID or for VendRep System assistance, contact the Office of the State Comptroller's Help Desk at 866-370-4672 or 518-408-4672 or by email at ciohelpdesk@osc.state.ny.us. Vendors opting to complete and submit a paper questionnaire can obtain the appropriate questionnaire from the VendRep website www.osc.state.ny.us/vendrep or may contact the Department of the Office of the State Comptroller's Help Desk for a copy of the paper form.

C. Payment Schedule

- The Contractor will make annual payments that equals 20% of the total purchase for 5 years that shall be submitted upon execution of contract. The contractor may also make 100% of the purchase price at any time.
- Payment 1= 20% of purchase price must be received by January 14, 2022
- Payment 2= 20% of purchase price must be received by **January 14**, **2023**
- Payment 3= 20% of purchase price must be received by **January 14, 2024**
- Payment 4= 20% of purchase price must be received by <u>January 14, 2025</u>
- Payment 5= 20% of purchase price must be received by <u>January 14, 2026</u>

Failure to execute and return the sales Contract to the NYS DEC, Attn: Tony Sparacino, 190 Outer Main St Suite 103 Potsdam, NY 13676, along with all other required documentation, within 30

calendar days of <u>Notice of Award</u> may result in forfeiture of the bid deposit and the awarding of this sale to the next highest bidder. Payments shall be in the form of check or money order made payable to the "**NYS Department of Environmental Conservation**" and submitted to *NYS DEC, Attn: Tony Sparacino, 190 Outer Main St Suite 103 Potsdam, NY 13676*, before the date stipulated in the Payment Schedule.

D. Performance Bond

The executed contract must be accompanied by one of the following:

- a Surety bond
- a Bank/Certified check
- a Certificate of Deposit assigned to the Department of Environmental Conservation
- a Letter of Credit from a Federally chartered or State licensed financial institution in the amount of **20**% of the bid price, for the faithful performance of the sales contract.

E. Notarizations

No notarizations will be required.

F. Insurance

For contracts valued at less than \$10,001.00, the contractor may choose to arrange with the DEC to Purchase an insurance permit that covers general liability insurance that meets the Commercial General Liability Insurance Requirement. An annual \$5.00 premium shall be required on or before March 1 of each year it is in effect.

The Contractor agrees to procure and maintain at its own expense and without expense to the DEC insurance of the kinds and amounts hereinafter provided by insurance companies licensed to do business in the State of New York, covering all operations under this Contract.

The Contractor shall furnish to the DEC a certificate or certificates with the appropriate endorsements showing that it has complied with this Article. The insurance documentation shall provide that:

- Liability and protective liability insurance policies shall provide primary and non-contributory coverage to the DEC for any claims arising from the Contractor's Work under this contract, or as a result of the Contractor's activities. Insurance policies will not be accepted that:
 - remove or restrict blanket contractual liability located in the "insured contract" definition (as stated in Section V, Number 9, Item f in the ISO CGL policy) so as to limit coverage against claims that arise out of work; or
 - o remove or modify the "insured contract" exception to the employers liability exclusion; or
 - do not cover the additional insured for claims involving injury to employees of the named insured or subcontractors.
- The State of New York, NYS Department of Environmental Conservation, its officers, agents and employees, with the address: NYS DEC, 190 Outer Main St, Suite 103, Potsdam, NY 13676, shall be listed as Certificate Holder on all liability insurance certificate(s), as "Additional Insureds" on endorsement(s) and on additional supporting documentation.
- In the "Description of Operation/Locations..." section, the Contract Number and State Forest (TX11811, Franklin #2) must be referenced.
- The policies shall include a Waiver of Subrogation endorsement in favor of the DEC as an additional insured. The endorsement shall be on ISO Form number CG 24 04 or a similar form with same modification to the policy.

- Policies shall not be changed or canceled until thirty (30) days prior written notice has been given to the DEC; as evidenced by an endorsement or declarations page.
- Insurance documentation shall disclose any deductible, self-insured retention, aggregate limit or any exclusion to the policy that materially changes the coverage required by the Contract.
- Endorsements in writing must be added to and made part of the insurance contract for the purpose of changing the original terms to reflect the revisions and additions as described. A copy of these endorsements must be provided to the DEC.
- Applicable insurance policy number(s) referenced on the ACORD form must be referenced in the supporting documentation requested by the DEC and supplied by the insurance company (e.g. endorsement page, declarations page, etc.).
- When coverage is provided by a non-admitted carrier, a copy of the declarations page along with the ELANY stamped certification wording affixed to the certificate of insurance must be provided to ensure that the excess line insurance has met all of the requirements for a valid excess line transaction in accordance with Article 21 of the New York State Insurance Law.
- Worker's Compensation and Disability Benefits certificates shall name the New York State Department of Environmental Conservation, with the address: NYS DEC, NYS DEC, 190 Outer Main St, Suite 103, Potsdam, NY 13676, as entity requesting proof of coverage.
- This Contract shall be void and of no effect unless the Contractor procures the required insurance policies and maintains them until acceptance or completion of the work, whichever event is later. If at any time during the term of this contract the coverage provisions and limits of the policies required herein do not meet the provisions and limits set forth in the Contract or proof thereof is not provided to the DEC, the Contractor shall immediately cease Work on the Project. The Contractor shall not resume Work on the Project until authorized to do so by the DEC.
- Should the Contractor engage a subcontractor, the Contractor shall impose the
 insurance requirements of this document on the subcontractor. Contractor shall
 determine the required insurance types and limits, commensurate with the work of
 the Subcontractor. The Contractor will maintain the certificate or certificates and
 endorsements for all subcontractors hired as part of the Contractor's records.

The following types and amounts of insurance are required for this Contract:

1. Workers' Compensation:

For work to be performed in New York State, the Contractor shall provide and maintain full New York State coverage during the life of this contract for the benefit of such employees as are required to be covered by the New York State Workers' Compensation Law.

Evidence of Workers' Compensation and Employers Liability coverage must be provided on one of the following forms specified by the Chairman of the New York State Workers' Compensation Board:

FORM#	FORM TITLE	
C-105.2	Certificate of Workers' Compensation Insurance (September 2007, or	
	most current version)	
U-26.3	State Insurance Fund Version of the C-105.2 form	
SI-12/	Certificate of Workers' Compensation Self-Insurance	
GSI-105.2		
CE-200	Certificate of Attestation of Exemption (when Contractor meets the	
	requirements.)	

All forms are valid for one year from the date the form is signed/stamped, or until policy expiration, whichever is earlier.

Please note that ACORD forms are <u>NOT</u> acceptable proof of New York State Workers' Compensation Insurance coverage.

Additional information can be obtained at the Workers' Compensation website: http://www.wcb.ny.gov/content/main/Employers/Employers.jsp

2. Disability Benefits:

For work to be performed in New York State, the Contractor shall provide and maintain coverage during the life of this contract for the benefit of such employees as are required to be covered by the New York State Disability Benefits Law. Any waiver of this requirement must be approved by the DEC and will only be granted in unique or unusual circumstances.

Evidence of Disability Benefits coverage must be provided on one of the following forms specified by the Chairman of the New York State Workers' Compensation Board:

FORM #	FORM TITLE
DB-120.1	Certificate of Insurance Coverage under the New York State Disability Benefits Law
DB-155	Certificate of Disability Self-Insurance
CE-200	Certificate of Attestation of Exemption (when Contractor meets the requirements.)

All forms are valid for one year from the date the form is signed/stamped, or until policy expiration, whichever is earlier.

Please note that ACORD forms are <u>NOT</u> acceptable proof of New York State Disability Benefits Insurance coverage.

Additional information can be obtained at the Workers' Compensation website: http://www.wcb.ny.gov/content/main/Employers/Employers.jsp

3. Commercial General Liability Insurance:

Contractor shall provide and maintain Commercial General Liability Insurance (CGL) covering the liability of the Contractor for bodily injury, property damage, and personal/advertising injury arising from all work and operations under this contract.

The limits under such policy shall not be less than the following:

- Each Occurrence limit \$1,000,000
- General Aggregate \$2,000,000

Coverage shall include, but not be limited to, the following:

- premises liability;
- independent contractors;
- blanket contractual liability, including tort liability of another assumed in a contract;
- defense and/or indemnification obligations, including obligations assumed under this contract
- · cross liability for additional insureds;
- products/completed operations for a term of no less than 3 years, commencing upon acceptance of the work, as required by the contract;
- explosion, collapse, and underground hazards;

- contractor means and methods; and
- Liability resulting from Section 240 or Section 241 of the New York State Labor Law.

Limits may be provided through a combination of primary and umbrella/excess liability policies.

For contracts valued at less than \$10,001.00. the Contractor may choose to arrange with the DEC to purchase an insurance permit that covers general liability insurance that meets the Commercial General Liability Insurance requirement. An annual \$5.00 premium shall be required on or before April 1 of each year the contract is in effect.

4. Business Automobile Liability:

Contractor shall provide and maintain Business Automobile Liability insurance covering liability arising out of the use of any registered motor vehicle in connection with the contract, including owned, leased, hired and non-owned vehicles. Such policy shall have a combined single limit for Bodily Injury and Property Damage of at least \$1,000,000.

If the Contractor does not own, lease or hire any registered motor vehicles or will not be using any vehicles on State Land proof of Business Automobile Liability Insurance shall not be required for this Contract. However, Contractor is required to execute "Business Automobile Liability Insurance Attestation."

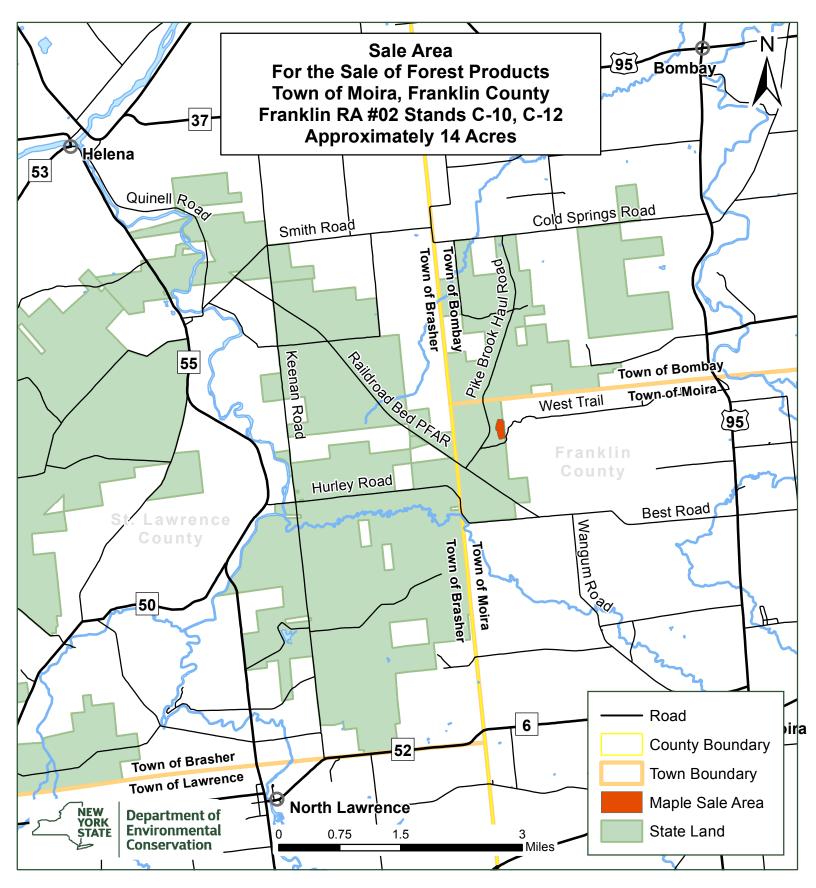
The Contractor shall assume full responsibility and liability that owners and operators of any registered motor vehicles entering State Land to conduct work under this contract carry the same Business Automobile Liability Insurance of the kinds and amounts listed above. DEC reserves the right to request proof of the same.

5. Umbrella and Excess Liability:

When the limits of the CGL, Auto, and/or Employers' Liability policies procured are insufficient to meet the limits specified, the Contractor shall procure and maintain Commercial Umbrella and/or Excess Liability policies with limits in excess of the primary; provided, however, that the total amount of insurance coverage is at least equal to the requirements set forth above. Such policies shall follow the same form as the primary.

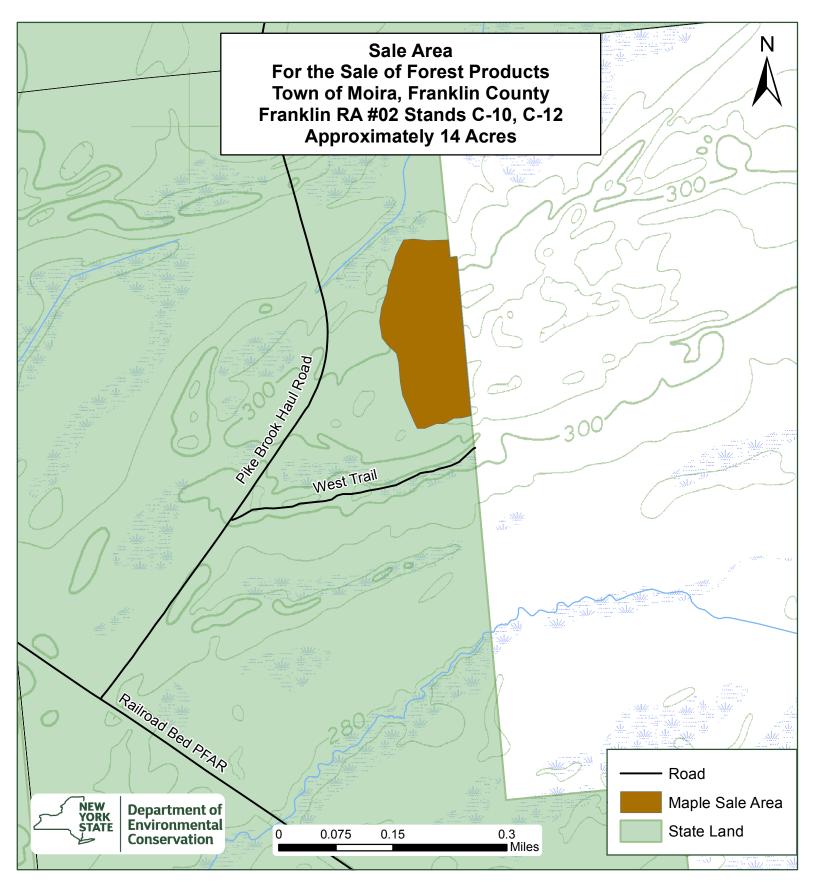
The Contractor shall require that any subcontractors hired, carry insurance with the same limits and provisions as provided herein.

Basil Seggos Commissioner



Bombay State Forest, Town of Moira, Stands C-10, C-12
14 Acres, +/- 465 Maple Taps
Maple Tap Trees = Orange Paint indicating the number of taps
Sale Boundaries = 3 stripe Orange

New York State Department of Environmental Conservation Division of Lands and Forests, Region 6 190 Outer Main St., Potsdam, NY 13676



Bombay State Forest, Town of Moira, Stands C-10, C-12 14 Acres, +/- 465 Maple Taps Maple Tap Trees = Orange Paint indicating the number of taps Sale Boundaries = 3 stripe Orange

New York State Department of Environmental Conservation Division of Lands and Forests, Region 6 190 Outer Main St., Potsdam, NY 13676



STATE OF NEW YORK

DEPARTMENT OF ENVIRONMENTAL CONSERVATION SALE OF FOREST PRODUCTS PROPOSAL

I have examined the forest products offered for Public sale by the State of New York located on

	dollars and
	cents
Φ.	
\$ <u> </u>	
(Minimum Acceptable Ne	et Bid \$1,162.50 U.S.)
Enclosed is a 10	% bid deposit of \$
I understand that the forest products being offered for sale was lf I am the successful bidder, I agree to abide by the terms of notification of award, to pay the bid price in accordance with Notice of Sale and Contract, and to remove from State land	of the Contract; to execute the Contract within 30 days of the the payment schedule as specified in the advertisement,
** ALL ENTRIES MUST BE IN I	NK AND CLEARLY WRITTEN**
IMPORTANT NOTICE The attached "Bidder's/Proposer's Certification" form must be completed, signed, and returned along with your Bid Proposal. Failure to	Legal Business Name
include this form will result in disapproval of the bid.	If you use a DBA, please list DBA here
CERTIFICATION If you, or your firm, have been certified for	
and principles of the Forest Stewardship Council or the Sustainable Forestry Initiative, Please enter your certificate number here.	Ву
•	Title
Certification No.	Address
Date	
Phone	
	Signature
E-Mail Address	Company / Owner Federal Identification No.

Company / Owner Federal Identification No.
Social Security Numbers should be used <u>only</u> if you do not have a Federal Identification Number

Contract #: TX11811

Sexual Harassment Prevention Certification Form

By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that the bidder has and has implemented a written policy addressing sexual harassment prevention in the workplace and provides annual sexual harassment prevention training to all of its employees. Such policy shall, at a minimum, meet the requirements of section two hundred one-g of the labor law.

Company Name:
Signature:
Print Name and Title:
Date:
If the above certification cannot be made, the bidder must submit a signed statement below detailing the reasons why the certification cannot be made.
Company Name:
Signature:
Print Name and Title:
Date: