



Contract No. **X010334**  
**NOTICE OF SALE OF FOREST PRODUCTS**

Version 11-2016

Pursuant to Section 9-0505 of the Environmental Conservation Law, sealed bids for the following forest products located on **Chenango Reforestation Area #24 (Pharsalia Woods State Forest), Stands A-74, A-96, A-98, A-100 and C-8**, will be accepted at the NYS Department of Environmental Conservation, Bureau of Procurement and Expenditures, 625 Broadway, 10th Floor, Albany, New York 12233-5025, until 11:00 a.m., **January 26, 2017**.

SPECIES	DBH (Inches)	NO. OF TREES	ESTIMATED QUANTITY (MBF) (International 1/4" Log Rule)
<b>Sale Area A: Stand A-96</b>			
Red pine	9-18	1,153	1,343 tons, more or less
White ash	14-30	15	3.3 MBF, more or less
Black cherry	15-28	12	3.0 MBF, more or less
Firewood	9-16	128	40 cords, more or less
<b>Sale Area B: Stand C-8</b>			
Red pine	9-18	596	672 tons, more or less
Black cherry	16	14	3.6 MBF, more or less
White ash	18-23	4	0.7 MBF, more or less
Yellow birch	17-26	1	0.1 MBF, more or less
Firewood	9-15	94	22 cords, more or less
<b>Sale Area C: Stands A-74, 98, &amp; 100</b>			
Red pine	9-19	1,445	1,597 tons, more or less
Aspen	13-19	13	1.2 MBF, more or less
White ash	16	1	0.1 MBF, more or less
Firewood	9-14	215	33 cords, more or less
<b>TOTAL SALE</b>		<b>3,691</b>	<b>3,612 Tons, 12.0 MBF, 95 Cords more or less</b>

*These forest products are being sold from lands which have been third-party certified as being managed using responsible forestry practices and having met the requirements for Forest Certification according to the policies and principles of the Forest Stewardship Council (FSC) and the Sustainable Forestry Initiative® (SFI®). The stumpage advertised above may be considered **FSC 100%** under certificate number **SCS-FM/COC-00104N** and **SFI Certified Forest Content** under certificate number **NSF-SFIS-61741**.*

## **I. LOCATION AND MINIMUM BID**

This softwood/ hardwood stumpage is located in the **Town of Plymouth** in **Chenango County**, on approximately **38 acres**. It will be sold to the highest approved bidder. Minimum acceptable bid shall not be less than **\$58,000.00 U.S.**

## **II. CONTRACT TERM**

The Contractor will remove all material from State land and meet all the conditions of the sale contract by **September 30, 2019**. Contract extensions may be granted, at the discretion of the DEC upon written request of Contractor, when extenuating circumstances exist. No extension shall exceed 12 months in length, and the total length of all extensions shall not exceed 24 months. **Requests for extensions shall be made in writing at least 30 days prior to Contract termination date. Extension requests must be acknowledged on an acceptable form provided by the DEC. To request an acknowledgment form please contact your local DEC office or visit the DEC public web site: [http://www.dec.ny.gov/docs/lands\\_forests\\_pdf/acknowledgment13.pdf](http://www.dec.ny.gov/docs/lands_forests_pdf/acknowledgment13.pdf).**

## **III. NOTIFICATIONS**

The Contractor will notify the DEC no less than five (5) business days prior to Contractor's intent to commence harvesting operations and at least five (5) business days prior to starting final cleanup. The Contractor will be required to meet with the DEC prior to commencing these operations. Exception to these requirements may be made only by the DEC.

It will be the Contractor's responsibility to provide the workers actually performing the work with a copy of the NOTICE OF SALE OF FOREST PRODUCTS before work begins. The Contractor shall keep a copy of the NOTICE OF SALE OF FOREST PRODUCTS on site at all times while conducting work under the terms of this Contract.

Upon determination by the DEC that any of the terms in this contract are not being upheld, the Contractor may receive a Notice to Correct by certified mail, return receipt requested. If the required work is not completed within seven (7) calendar days following receipt of such Notice to Correct, unless a good faith effort to do so is demonstrated to the DEC's satisfaction, this contract may be terminated for cause, at the discretion of the DEC.

When active work in the sale area has stopped for 14 or more consecutive calendar days or upon the completion of a stand, payment area, or other portion of the work required in the Notice of Sale, the DEC may determine that work is needed to properly close and stabilize the job site. This may include leveling access trails and/or landing areas, installing best management practices (BMPs) including waterbars, earth berms, or other measures as required in the Notice of Sale. Upon determination by the DEC that such work is necessary, the Contractor will receive a Notice to Correct by certified mail, return receipt requested. If the required work is not completed within seven (7) calendar days following receipt of such Notice to Correct, unless a good faith effort to do so is demonstrated to the DEC's satisfaction, the contract may be terminated for cause, at the DEC's option.

This contract may be terminated or suspended by the DEC if the Contractor abandons the work under this contract; is in violation of any conditions of this contract and permit; fails or refuses to

conform with the requirements of this contract; or if at any time the DEC is of the opinion that the Contractor is willfully violating any of the conditions of the contract or executing same in bad faith; or that, the Contractor has failed to promote work in a diligent manner. Upon such default or termination, the DEC shall have the right to proceed to enforce the bond posted by the Contractor in connection with this contract.

**A. Firewood Notice**

Rules have been implemented regarding firewood transportation into and within New York State. **Effective immediately, the transportation of untreated firewood within New York State for commercial sale is limited to 50 miles or less from the source, as defined in the regulations.** These regulations have been implemented to help prevent the spread of forest insect pests. The full text of the regulations can be found online at: <http://www.dec.ny.gov/animals/28722.html>. There is also a web page with frequently asked questions about the regulations at: <http://www.dec.ny.gov/animals/44008.html>.

**B. Emerald Ash Borer Quarantine Notice**

This timber sale is within the Federal Emerald Ash Borer (EAB) quarantine. Under the quarantine regulations, all persons moving regulated articles - including any ash wood, ash logs, ash stumps, ash roots, ash branches, or wood chips - from inside the Federal EAB quarantine area, to any location outside of the Federal EAB quarantine area must obtain a Limited Permit or Compliance Agreement with the United States Department of Agriculture Animal Plant Health Inspection Service (USDA APHIS). Such movement may only be done during the non-flight season for EAB. Federal EAB quarantine regulations also prohibit movement of any untreated hardwood firewood out of their contiguous quarantine. (Many neighboring States – including PA - also have State regulations prohibiting the import of untreated firewood into their state, as New York does under our firewood regulation.)

For more information on the USDA APHIS' EAB quarantine regulations and Compliance Agreements for interstate movement, contact:

USDA APHIS  
State Plant Health Director  
500 New Karner Road, 2nd Floor  
Albany, NY 12205  
Phone: (518) 218-7510  
Fax: (518) 218-7518

This timber sale also may fall within a New York State EAB Restricted Zone and therefore be subject to New York State movement restrictions. For current information on the State EAB quarantine area and regulations, go to: <http://www.agriculture.ny.gov/PI/eab.html> or <http://www.dec.ny.gov/animals/47761.html>

For information on obtaining Limited Permits and Compliance Agreements contact:

Ethan Angell  
Senior Horticultural Inspector  
NYS DAM  
Division of Plant Industry

#### **IV. VOLUME ESTIMATION**

The red pine volume estimate is based on a 100% tree count and a 10% volume sample. Tonnage is an estimate of total volume and no distinction was made for sawtimber or pulpwood products. Hardwood sawtimber volume estimates were based upon a 100% tree sample using the International 1/4" Log Rule volume tables. Firewood volume was determined by a 10% tree sample using the US Forest Service composite cordwood. Firewood volume in the tops of hardwood sawtimber trees was not tallied. Cordwood estimates are taken from the US Forest Service composite cordwood table, which is based on the standard 4' x 4' x 8' cord. The Contractor is responsible for determining the most suitable product for the standing timber. Additionally, volume deductions were made for visible defects; however, the DEC does not guarantee the estimated quantity or quality of the stumpage advertised.

#### **V. MARKING**

The trees for sale are marked with **BLUE** or **ORANGE** paint at breast height and on the stump. Individual red pine sale trees within the harvest area are marked with a diagonal slash ( \ ). Hardwood trees tallied for sawtimber are marked with a horizontal stripe ( - ). Hardwood trees tallied for firewood within the harvest area are marked with a diagonal slash ( \ ). Trees within designated trails are marked with double diagonal stripes ( \ \ ) in **ORANGE** paint. Trees marked with two dots ( : ) or two horizontal stripes ( = ) in **ORANGE** paint indicate the edge of a patch cut or a sale boundary and are included in the sale. Trees marked with "B" are bump trees to remain standing until skidding and/or forwarding is completed in that section. Trees marked with a triangle ( Δ ) are retention trees excluded from the sale and **shall not** be harvested.

The Contractor shall fell all sale trees including **502 cull trees** marked with an "X" or a spot ( • ) of paint. Girdling cull trees or any other live trees as an alternative to felling will **not** be permitted.

The sale boundaries are shown on the attached Sale Maps. Boundaries are marked in two different ways. Near special management zones (SMZs), sale boundaries are marked in **ORANGE** paint with three horizontal stripes ( ≡ ) at breast height and these trees **shall not** be felled. **Absolutely no trees shall be felled across boundaries marked with three horizontal stripes and no equipment shall cross these boundaries.** Other sale boundaries are marked with two horizontal stripes or two dots in **ORANGE** paint. These double marked sale boundary trees may be harvested. Trees marked with yellow paint are State Forest boundary trees and shall not be cut.

Only those trees which have been marked or otherwise designated to be cut by the DEC shall be cut under the terms of this contract. All trees so marked or designated shall be cut by the Contractor and, in the event any are not cut, they nevertheless shall be paid for under the terms of this contract. Pursuant to §9-1501 and § 71-0703 (6) (b) of the ECL, should any unmarked or otherwise non-designated tree be cut, destroyed or injured, the Contractor may be liable for a penalty of \$250.00 per tree or treble damages or both.

#### **VI. LOG LANDINGS**

There are three primary log landings for this sale (see map). The log landings are marked in **ORANGE** paint. The Contractor may not change the location of a landing without prior approval by the DEC.

The landing for Area A is an old shale pit. To access the harvest area from the shale pit, the Contractor will need to excavate or construct a ramp up to the top of the bank of the pit, approximately 10 feet high.

The primary landing for Area C is on Nine Mile Truck Trail just west of Stand A-74. There is not a landing at each pine stand and no new landings shall be constructed for harvesting in Area C. However, there are multiple landings along the Truck Trail in the vicinity of Sale Area C and the Contractor may choose to pile wood at any existing landing upon approval of the DEC.

The Contractor is not required to improve any of the landings with shale or gravel. However, if the DEC determines that harvesting conditions necessitate the hardening of the log landing, all materials will be provided by the Contractor and any additional site work will be done at the Contractor's expense, to the satisfaction of the DEC.

Any additional site work to improve the landings to accommodate the specific needs of the Contractor will be done at the Contractor's expense and only with the approval of the DEC.

All brush, tree tops or other debris removed for landing construction will be deposited by the Contractor at a site not visible from the roadside as approved by the DEC.

Loading of log trucks may be done on the roadside. The Contractor shall ensure that the road remains open and safe for public travel at all times. The Contractor shall use warning signs or traffic cones, and/or a flag person while trucks are being loaded.

The DEC, at its discretion, may close the forest access road to trucking due to wet conditions. Rutting on the Nine Mile Truck Trail is not allowed. The Contractor shall immediately repair any damage to the forest access road from trucking or logging equipment. Determination of damage will be made by the DEC. Any unrepaired damage to the forest access road shall result in forfeiture of the Contractor's performance bond.

The Contractor shall not pile or process logs within the travelled way of the road as defined as the road area between the ditch lines. The Contractor shall maintain road ditches so that they are unobstructed from logs or logging debris.

The Contractor shall ensure that no water or mud shall flow from the log landing(s) onto the road.

Equipment with chains or tracks will not be allowed on any road.

The Contractor shall remove debris from all roads daily and immediately after loading trucks.

Landings will be kept in a neat condition at all times during the sale. The Contractor shall keep landings free of any garbage, oil cans, or debris. The Contractor shall not burn any garbage or debris on the landing. The Contractor shall remove or return to the harvest area unused wood brought to the landing from the harvest area. The Contractor shall prevent rutting on landings which may result in channelized sediment flow off the landing.

At the completion of the sale, the Contractor shall grade and stabilize the landings to the satisfaction of the DEC. If determined by the DEC that it is necessary, the Contractor shall block

skid trails leading into the woods from the landing area with earthen mounds or large logs at the completion of the sale to the specification of the DEC.

## **VII. ACCESS SYSTEM**

The main access trails have been located on the ground and on the attached map by the DEC. Trees within all main access trails are marked with **ORANGE** paint. The Contractor shall not make any additions or changes to these without prior approval of the DEC. The Contractor shall cut and open main access trails before beginning harvesting within the sale area.

In Sale Area C the Contractor shall forward logs to any of the existing log landings along Nine Mile Truck Trail or to the landings used for Sale Areas A and B on Blackman Road. At the end of each work day, the Contractor shall clear the road surface of any bark, branches, mud, soil, or other debris that results from forwarding on these roads. The Contractor shall **prevent** any rutting from occurring on Nine Mile Truck Trail or Blackman Road caused by forwarding or log trucks.

The Contractor shall use techniques such as ‘topping-in’ and corduroying to prevent excessive rutting from occurring on the main trails and to prevent any rutting within the harvesting area.

The Contractor shall prevent ruts greater than 18 inches in depth on the access system during active timber harvesting. If ruts approach 18 inches in depth the Contractor shall cease harvesting activities in that area and ameliorate the damaged road or trail. The Contractor shall resume harvesting activities only when conditions improve or actions are taken to prevent further rutting. The DEC reserves the right to modify this condition on a case-by-case basis. The Contractor shall level all ruts and secure haul roads to restrict erosion at the completion of the sale to the satisfaction of the DEC.

The Contractor shall not block access trails with tree tops or cull trees. The access trails shall remain unobstructed by debris at the completion of the sale except as approved by the DEC.

The Contractor shall secure all roads, trails and landings to restrict erosion at all times to the satisfaction of the DEC according to guidelines in the publication New York State Forestry Best Management Practices for Water Quality – BMP Field Guide (BMP Field Guide). The BMP Field Guide may be viewed on-line by going to: <http://www.dec.ny.gov/lands/37845.html>. The DEC reserves the right to require the Contractor to implement erosion and sedimentation controls at any time, which includes, but is not limited to, water bars, broad based dips, corduroy, culverts, temporary bridges, straw bales, seeding and mulching.

If soil erosion occurs during harvesting, the Contractor shall install and maintain water bars, broad based dips or other appropriate water control devices at locations determined by the DEC.

The Contractor shall prevent ruts on all roads and skid trails that may result in channelized sediment flow.

Equipment with chains or tracks will not be allowed on any road. The Contractor is responsible for repairing any road damage caused by logging equipment and log trucks.

## **VIII. HARVESTING**

### **A. Schedule**

This sale contains three payment areas. Sale Area A is all of stand A-96 accessed from the landing in the shale pit. Sale Area B is stand C-8. Sale Area C is stand A-74, 98, 100, and the area of stand A-96 along Nine Mile Truck Trail. See map for payment area boundaries.

The sale is not winter accessible and the area will be closed to harvesting during the snowmobile season.

Harvesting shall not begin in any sale area for a minimum of four weeks after the successful completion of the herbicide application and not until it is approved by the DEC and payment has been received.

The Contractor may begin harvesting in any area as long as it is in compliance with the payment schedule. Once harvesting has begun in an area, the Contractor must complete harvesting in that Area before beginning work in a new area. Exceptions must be approved by the DEC.

No logging will take place during periods of wet and/or muddy conditions throughout the contract period.

Active timber harvesting operations and new start-ups will be evaluated through October to determine if skidding and/or forwarding may begin or continue into the early winter season (November 1st to December 31st). Forwarding or skidding will generally not be allowed during November and December unless site conditions are favorable for the continuation of harvesting operations due to good drainage patterns or persistent freezing conditions. Exceptions may be granted by the DEC based upon specific site conditions.

Harvesting operations will be suspended during the month of April and between November 1<sup>st</sup> and December 15<sup>th</sup> due to the likelihood of wet conditions. This restriction may be altered by DEC depending on weather conditions. Exceptions must be approved by the DEC. DEC may partially or wholly restrict harvesting operations during periods of wet and/or muddy conditions throughout the contract period.

### **B. Harvesting of Timber**

The DEC reserves the right to restrict the size of the equipment used when, in the judgment of the DEC, said large equipment is causing unacceptable damage to the site and residual stand.

Harvesting of timber in Area C is restricted to forwarding only using equipment less than 10 feet wide. The Contractor shall limb and buck all trees to log length in the woods where they fall. The Contractor shall forward logs on Nine Mile Truck Trail to the nearest landing using equipment without tracks or chains. Exceptions must be approved by the DEC.

In Area C the Contractor shall cut off close to the stump any saplings two inches or greater in diameter prior to harvesting pine.

Additionally, in eastern section of stand A-100 and the western section of A-96 along Nine Mile Truck Trail, the Contractor shall hand fell all sale trees. No mechanized harvesting will be permitted in these areas. See sale map.

Where a mechanized harvester is used, the Contractor shall place tops in the path of the harvester. Forwarders may only travel in the harvester's path and on the main trails unless otherwise approved by the DEC.

The Contractor shall begin harvesting in the back of the sale areas with work progressing toward the front, cutting all designated trees as the job progresses. Exceptions must be approved by the DEC.

Forwarders with eight wheels shall utilize at least one set of tracks, except as excluded above, unless otherwise approved by the DEC

When skidding timber, individual hitches shall be no wider than the skidder.

The Contractor is expected to employ directional felling techniques to minimize damage to the residual stand and advanced regeneration.

The Contractor shall fell trees away from private land, roads, designated recreation trails, and water courses whenever possible. If trees accidentally fall over private property boundary lines, across designated recreation trails or into stream channels, the Contractor shall pull back all material immediately.

The Contractor shall leave stump heights no greater than the diameter of the stump, as measured from the uphill side, unless otherwise directed by the DEC.

The Contractor shall not leave trees or tree tops hung up in the sale area and must pull any hung trees or tree tops down immediately.

The Contractor shall begin harvesting in the back of the sale areas with work progressing toward the front, cutting all designated trees as the job progresses. Exceptions must be approved by the DEC.

The Contractor shall immediately pull back any tree tops falling within 25 feet of a recreational trail, any road edge, or property boundary.

Top lopping is not required except within 25 feet of any road, recreational trail, or property boundary. Where required, softwood tops shall be lopped to a 5 inch main stem diameter, so that no material greater than 2 inch diameter extends more than 4 feet above the ground.

The Contractor shall not lop hardwood tops except as needed for harvesting or as described above.

The Contractor shall cut off close to the stump any saplings two inches or greater in diameter at the stump damaged as a result of timber harvesting activities.

No more than 5% of the residual trees greater than 5 inches diameter at breast height within the harvest area may be damaged during the harvest operation, as determined by the DEC. A tree considered to be damaged may include but is not limited to:

1. Damage to the main stem of the residual tree which results in the loss of bark greater than 90 square inches.
2. Greater than 40% damage to the entire root system of the residual tree from soil compaction or disturbance within an area defined by a 20 foot radius from the tree's stem.
3. Greater than 25% damage to the live crown of the residual tree.

Whole tree harvesting is not permitted. The Contractor shall limb and top all trees in the woods.

There is no requirement to utilize the trees down to a specified diameter. However, utilization shall not be smaller than a 6 inch top diameter on hardwood trees and not smaller than a 4 inch top diameter on softwood trees. Cull trees may be utilized by the Contractor.

### **C. General Harvesting Requirements**

The Contractor shall meet with the DEC at the sale location prior to: the beginning of any operations, and any new start-ups following periods of inactivity, and again prior to final clean up. Exceptions to this requirement may be made only by the DEC when appropriate.

The Contractor shall prevent rutting and soil compaction in the general harvest area (excluding the access system) and take the necessary measures to prevent ruts and soil compaction when ground conditions may not support harvesting equipment. Measures may include but are not limited to corduroy, restricting equipment access, utilizing floatation tires or tracks, or depositing tops in front of harvesting equipment.

The Contractor shall only cross stone walls where designated by the DEC. The Contractor shall repair damage to stone walls other than at crossings. The Contractor shall remove any tree tops falling on stone walls and repair stone walls if damaged.

The Contractor shall keep the sale area free of litter including cans, papers and equipment parts at all times.

No harvesting operations in conjunction with this sale shall be allowed on adjacent private lands without permission of the owner. The Contractor shall remove immediately any logging debris falling on adjacent private lands. Any damage to adjacent private lands shall be promptly repaired or compensation paid to the owner at expense of Contractor.

### **IX. ADDITIONAL SALE RELATED WORK**

The following work shall be performed by the Contractor at its cost and expense:

#### **Herbicide Application Plan**

**Description:** Herbicide application to undesirable understory vegetation is required on +/- 29 acres of this sale. The target species for the application of herbicide are all honeysuckle, multiflora rose, Japanese barberry, beech trees, ironwood, musclewood, striped maple, and hay-scented and New York ferns.

The boundaries of the herbicide area are the same as the sale area except in Stand C-8 where only the first 100 feet of the stand along the roadside shall be treated. See the attached map for sale boundaries. The delineation of the herbicide areas shown on the map will be confirmed by the DEC forester upon meeting with the herbicide subcontractor. Application methods may include cut frill or stem injection (commonly known as hack and squirt), cut stump, and foliar spray. Herbicide application is required within the entire designated area.

**Required Herbicides:** The target tree species may be treated with **Rodeo** (EPA Registration No. 62719-324) for hack and squirt, foliar, and cut stump treatments during the growing season. **Arsenal** (EPA Registration No. 241-346) or comparable imazapyr product shall be used for hack and squirt or cut stump application to striped maple and may be used on other target species.

**Accord XRT II** (EPA Reg. No. 62719-517), or a comparable glyphosate product approved for forestry use as described below, may be used for foliar applications. Additionally, **Oust XP** (EPA Reg. No. 356-601) shall be mixed with the glyphosate product for foliar application to the fern. **Garlon 4** (EPA Reg. No. 62719-40) or comparable triclopyr product may be used for basal spray application for stems up to 6 inches, and for cut stump treatments during the dormant season, as described below.

**Application:** Prior to spraying, the Contractor shall make arrangements to meet the DEC to review the herbicide application area. The Contractor shall also notify the DEC after application is complete.

Accord XRT II shall be backpack or machine sprayed for foliar application to the target species and Oust XP shall be tank mixed with the Accord XRT II by the Contractor according to the product label and manufacturer's safety instructions for foliar application to the fern.

It is expected that foliar applications will be made to the fern, honeysuckle, multiflora rose, Japanese barberry, and to all target species less than 1 inch DBH and less than 10 feet in height. Target trees species, whether marked or unmarked, that cannot be effectively controlled with foliar application must receive a hack and squirt, cut-stump, or basal application of herbicide. Additionally, honeysuckle bushes that cannot be controlled with foliar spray shall also receive cut stump treatment.

Hack and squirt with Rodeo is the preferred method for controlling saplings 1 inch and larger, except as noted below. Rodeo shall be applied with a 50-100% concentrate solution. In the western-most section of stand A-74 where striped maple is the dominant species in the understory, the Contractor must utilize Arsenal to treat the striped maple saplings 1 inch dbh and larger. Hack and squirt, cut-stump, and basal applications of herbicide must include a suitable dye in the herbicide mixture to ensure that the treated areas are readily visible.

For applications of Garlon 4, the contractor shall mix the product with commercially available basal oils and not diesel, kerosene or fuel oils. Herbicides shall be applied uniformly on a spray-to-wet basis but not to the point of runoff.

All of the designated area must be treated uniformly and the herbicide will be applied with the goal of killing 80% or more of the target vegetation described above. The effectiveness of the herbicide application will be evaluated by the DEC during the following growing season using a sample of six foot radius plots. If the target goal is not obtained after the first treatment, additional follow-up herbicide application will be required and shall follow the same conditions described within this Notice. The Contractor will be required to file an extension to the sale if there is not sufficient time remaining in the original contract to properly evaluate the effectiveness of the application and to conduct any secondary herbicide application. Failure to do so may result in the forfeiture of all or part the performance bond.

The Contractor shall keep all felled trees at least 25 feet away from designated recreational trails and roads. All hung trees shall be felled down to the ground immediately.

**Timing of Application:** The herbicide application period varies depending on the target vegetation and the method of application. Hack and squirt or cut stump application of Rodeo and/or Arsenal must be between June 15 and September 20 or before fall color and leaf drop (senescence). Foliar application of beech, striped maple, ironwood, and hophornbeam must be

made between August 1 and September 20, or leaf senescence, whichever is first. Fern shall be treated after frond maturation, but no earlier than June 15 and no later than August 31. Basal spray with Garlon 4 may be applied from 10 weeks prior to bud break (approximately February 15<sup>th</sup>) until leaf out or June 15, except when the stem is wet or snow or water prevent spraying the stem to the ground. Dormant season treatment of stems greater than 2 inches with Garlon 4 may result in poor control, in which case, reapplication will be required. Application of herbicide outside of the time periods described above is not recommended; however, the DEC may consider exceptions on a case by case basis. Spraying outside of the above date ranges shall not occur without prior approval of the DEC. The Contractor shall assume all risk for working outside of the above date ranges. Other modifications to this control plan shall not be made without prior approval of the DEC.

**Treatment Conditions:** To ensure the effectiveness of foliar applications, herbicide spraying shall only take place when the foliage is dry. Herbicide spraying shall not take place when rainfall is expected within 12 hours after application or when winds are gusty or exceed 10 miles per hour. The herbicide spraying shall be done in a manner, such that drifted herbicide does not impact adjacent private land.

No herbicide application may take place when the Palmer Drought Index drops below negative two (-2). The Palmer Drought Index map is available on the internet at: [http://www.cpc.ncep.noaa.gov/products/analysis\\_monitoring/regional\\_monitoring/palmer.gif](http://www.cpc.ncep.noaa.gov/products/analysis_monitoring/regional_monitoring/palmer.gif) The DEC may allow or prohibit spraying if local conditions differ from the Palmer Drought Severity Index.

Herbicide application shall stay 25 feet away from any surface water or private property boundary if the Contractor cannot safely apply the herbicide without impact to these resources.

Equipment used in the application of herbicide or otherwise contaminated with herbicide shall not be used to draw water. Water mixed into herbicides will be brought to the site and will not be drawn from any water body adjacent to or located on State Forests.

The Contractor may utilize any method and herbicide listed above, except where specifically stated otherwise above. Application of the herbicides must be done according to the label and manufacturer's safety instructions and in compliance with State regulations. If the Contractor desires to subcontract the herbicide application, the Contractor shall act as the agent of the DEC and shall only subcontract to a registered pesticide business that is currently registered with the NYS DEC and employs a certified applicator in the appropriate category (ie: Category 2). If the Contractor desires to perform the herbicide application, then the Contractor needs to meet the same criteria as the subcontractor would. A pesticide applicator who is a holder of a N.Y.S. Commercial Applicator Permit (Category 2) must be present during the spraying operation.

#### **X. RARE, ENDANGERED, THREATENED OR SPECIAL CONCERN SPECIES**

The DEC reserves the right to implement temporary restrictions on harvesting activities to protect previously unknown occurrences of rare, endangered, threatened or special concern species found within or near the sale area.

#### **XI. OSHA and SAFETY RELATED TERMS**

OSHA regulations relating to safety and safety equipment must be followed at all times by the Contractor.

Unmarked dead or hazardous trees may be cut, pushed or pulled down when necessary to comply with OSHA regulations, but must be left on the site next to the stump and may not be utilized by the Contractor. In this situation, when the Contractor cuts an unmarked tree, the Contractor must notify the DEC within one business day. When the Contractor identifies a hazard tree which is too dangerous to cut or work around and will impact the completion of the contract, the Contractor must notify the DEC. If the hazardous situation cannot be resolved or mitigated, the DEC (upon consultation with the Contractor) will decide on an acceptable solution.

No person under the age of 18 shall be allowed within 100 feet of the sale area during harvesting operations unless they are part of a registered apprenticeship program, or enrolled in a recognized vocational training program or an approved on-the-job training program, or have completed such a program, and be at least 16 years old. Sale area shall be defined as the area identified on the sketch map developed for this sale and shall include the area marked for harvest, roads and trails accessing it, and the log landing.

## **XII. PERSONAL PROTECTIVE EQUIPMENT FOR CHAINSAW USERS**

Any person operating a chainsaw for any purpose while undertaking the activities authorized by this contract are required to wear Personal Protective Equipment (PPE), including at least the following: hard hat, eye protection, hearing protection, and cut-resistant chaps or pants. Additionally, the chainsaw must be equipped with properly functioning safety devices, including, at minimum, a properly functioning chain brake.

## **XIII. TRAINED LOGGER CERTIFICATION**

Any person who will perform any duties related to the felling, handling and removal of trees under this contract, hereunder referred to as "worker," regardless of whether they are an employee or subcontractor of the Contractor, shall be *Trained Logger Certified*<sup>®</sup> (TLC) through the New York Logger Training Program (NYLT). Duties related to the felling, handling and removal of trees shall include but are not limited to the use of chainsaws, mechanized felling and harvesting equipment, skidding and forwarding, slashing, chipping and stacking of forest products, or any other method of felling, handling or removing trees.

Prior to starting any timber sale tasks related to the felling, handling and removal of trees, the Contractor shall provide to the DEC a list of all worker names and TLC numbers or a copy of the worker's TLC certificate of any worker who will perform any duties related to the felling, handling and removal of trees.

Workers who are not TLC may perform duties related to the felling, handling and removal of trees as long as the Contractor, in good faith, assures the DEC that the non-TLC worker is actively pursuing TLC and will be supervised onsite at all times by a worker who is TLC. A TLC worker may only supervise one non-TLC worker (1:1 ratio) at any given period during the life of this contract. The Contractor shall make every effort to inform the DEC when the worker receives TLC and provide proof of certification upon request.

Truck drivers and loader operators are not required to be TLC if their only duties are the loading of forest products onto the truck and/or transportation of forest products from the harvesting site.

Workers who have certifications or licenses under equivalent programs in other states may receive approval from NYLT for TLC if it is determined the other state's certification or license meets the minimum standards for TLC. For information on the TLC program contact NYLT at [www.newyorkloggertraining.org](http://www.newyorkloggertraining.org) or by calling (518) 463-1297.

Non-TLC workers who can demonstrate to the DEC equivalent certification, licensing or training comparable to the minimum standards for TLC may be considered to have fulfilled the certification requirements. At minimum, equivalent certification, licensing or training must include current first aid and CPR training, chainsaw operation, safety and productivity training (Game of Logging® Level 1 or equivalent chainsaw training), and environmental concerns training (including forest ecology, silviculture and best management practices).

#### **XIV. FLUID LEAK CONTROL**

The Contractor will be responsible for the control and collection of fluids leaking from any equipment used on the site. The Contractor must have a spill containment and cleanup kit appropriate for the equipment being used. At a minimum, the kit will contain: plugs and clamps to control hydraulic line breaks, a container to collect leaking fluids, fluid absorbent pads and a shovel. Operating any equipment noticeably leaking fluids is prohibited. The Contractor must take action to collect and control fluids leaking from inactive equipment or equipment being maintained or repaired on-site. The collected fluids must then be reused or properly disposed of. For additional information regarding the handling of hazardous materials please refer to page 74 of the NY BMP Field Guide – 2011 Edition.

#### **XV. TERMS OF BIDDING**

Proposal blanks, envelopes for mailing bids and any other information relative to this proposed sale may be obtained from **Christopher Sprague**, Senior Forester, NYS DEC, 2715 State Hwy 80, Sherburne, NY 13460, telephone (607) 674-4017, ext. 609, or by email at [christopher.sprague@dec.ny.gov](mailto:christopher.sprague@dec.ny.gov) or on line at <http://www.dec.ny.gov/lands/69749.html> or by contacting the NYS DEC, Attn: State Timber Sales, 625 Broadway, 5<sup>th</sup> Floor, Albany, New York 12233-4255.

Bids must be submitted via mail, delivery service, or in person. All bids must be submitted on the original *Bid Proposal* (Proposal) form supplied by the DEC for this specific sale and included with this Notice. Alternate forms of bidding, including photocopies, will not be accepted. All blanks on the Proposal form must be completely filled in. Amounts must be written out and also stated in figures. Alteration of the Proposal by which the terms are changed may cause rejection of the bid. *Please note that the bid deadline is for receipt of the bid at the Department's Bureau of Procurement and Expenditures, Albany, New York, not for mailing or entrusting to a delivery service. The Department is not responsible for lost or late mailings. Late bids will be returned unopened. No electronic submissions will be accepted.*

The original *Bid Proposal* must be accompanied with a completed and signed original *Bidders/Proposers Certification*. The original *Bid Proposal*, *Bidders/Proposers Certification*, and bid deposit for this sale must be submitted in a bid envelope supplied by the DEC or in an envelope addressed to "**NYS DEC, 625 Broadway, 10<sup>th</sup> Floor, Albany, NY 12233-5025,**" and clearly showing only the following information:

*Bidders Name Here*  
*Return Address Here*

**SEALED BID ENCLOSED**  
**DO NOT OPEN**

*Postage Here*

**NYS DEC**  
**625 Broadway, 10<sup>th</sup> Floor**  
**Albany, NY 12233-5025**

**PROPOSAL: X010334, Chenango 24**

**Bid Opening: 11:00 AM, Thursday, January 26, 2017**

**Each proposal must be accompanied by a deposit of 5% of the amount bid in the form of check or money order drawn to the order of the "NYS Department of Environmental Conservation."** The DEC reserves the right to waive any technicalities concerning bids and also to reject any and all bids if the best interests of the State will be promoted thereby. Award to the successful bidder will be made within ten days after opening of bids. Bidders are asked to completely and legibly fill out the return address portion of the bid envelope. In the unlikely event that bids must be returned unopened, this will greatly facilitate their being sent back to bidders.

**IMPORTANT NOTICE**

***The attached "BIDDER'S/PROPOSER'S CERTIFICATION" form must be completed, signed and returned along with your bid proposal. Failure to include this form may result in rejection of the bid.***

In the event that two or more high bids are submitted in exactly the same amount, the bid that was received first by the DEC will be considered the apparent high bidder.

Notice of Sale documents, bid opening dates and bid results can be found on-line on the New York State Department of Environmental Conservation public web site address: <http://www.dec.ny.gov/lands/69749.html> and clicking on the NYS DEC Region where the timber sale is being offered. Bid results will be posted for 30 days beyond the bid opening date.

**XVI. TERMS OF SALE**

**A. General**

All monetary references are in U.S. dollars. All material will be paid for by the Contractor prior to the commencement of harvesting operations. The Contractor will execute and return the sales contract to "NYS DEC, Attn: State Timber Sales, 625 Broadway, 5<sup>th</sup> Floor, Albany, NY 12233-4255," along with all other required documentation, within 30 calendar days of Notice of Award.

The successful bidder will not commence operations hereunder until payment according to the payment schedule is made, and a fully executed copy of the sales contract is received by him/her with authorization to proceed with removal of products.

The Contractor may subcontract for the performance of work pursuant to this Contract only with the prior written approval of the DEC. If the Contractor enters into subcontracts for the performance of work pursuant to this Contract, the Contractor remains liable for compliance with

all the terms of this Contract. The Contractor shall take full responsibility for the acts and omissions of its subcontractors.

### **B. Vendor Responsibility**

*State procurement laws require that state agencies award contracts only to responsible Contractors. To fulfill this requirement, potential Contractors may be required to complete a Vendor Responsibility Questionnaire or otherwise provide additional information to assist the DEC in assessing responsibility.*

- **General Responsibility:** The Contractor shall at all times during the Contract term remain responsible. The Contractor agrees, if requested by the Commissioner or his or her designee, to present evidence of its continuing legal authority to do business in New York State, integrity, experience, ability, prior performance, and organizational and financial capacity.
- **Suspension of Work (for Non-Responsibility):** The Commissioner or his or her designee, in his or her sole discretion, reserves the right to suspend any or all activities under this Contract, at any time, when he or she discovers information that calls into question the responsibility of the Contractor. In the event of such suspension, the Contractor will be given written notice outlining the particulars of such suspension. Upon issuance of such notice, the Contractor must comply with the terms of the suspension order. Contract activity may resume at such time as the Commissioner or his or her designee issues a written notice authorizing a resumption of performance under the Contract.
- **Termination for Non-Responsibility:** Upon written notice to the Contractor, and a reasonable opportunity to be heard with appropriate DEC officials or staff, the Contract may be terminated by the Commissioner or his or her designee at the Contractor's expense where the Contractor is determined by the Commissioner or his or her designee to be non-responsible. In such event, the Commissioner or his or her designee may complete the contractual requirements in any manner he or she may deem advisable and pursue available legal or equitable remedies for breach.
- **Vendor Responsibility Questionnaire:** The DEC recommends that vendors file a required Vendor Responsibility Questionnaire online via the New York State VendRep System. To enroll in and use the New York State VendRep System, see the VendRep System Instructions available at [http://www.osc.state.ny.us/vendrep/vendor\\_index.htm](http://www.osc.state.ny.us/vendrep/vendor_index.htm) or go directly to the VendRep System online at <https://portal.osc.state.ny.us>.

Vendors must provide their New York State Identification Number when enrolling. To request assignment of a Vendor ID or for VendRep System assistance, contact the Office of the State Comptroller's Help Desk at 866-370-4672 or 518-408-4672 or by email at [ciohelpdesk@osc.state.ny.us](mailto:ciohelpdesk@osc.state.ny.us). Vendors opting to complete and submit a paper questionnaire can obtain the appropriate questionnaire from the VendRep website [www.osc.state.ny.us/vendrep](http://www.osc.state.ny.us/vendrep) or may contact the Department of the Office of the State Comptroller's Help Desk for a copy of the paper form.

### **C. Payment Schedule**

The Contractor will make payments according to the following schedule unless adjusted by the DEC:

- 1<sup>st</sup> Payment = 5% of purchase price previously paid as bid deposit.
- 2<sup>nd</sup> Payment = 20% of purchase price within 30 days of the date of the Notice of Approval.
- 3<sup>rd</sup> Payment = 20% of purchase price prior to the commencement of harvesting operations in Sale Area A. This payment must be made before **August 15, 2017**.
- 4<sup>th</sup> Payment = 20% of purchase price prior to the commencement of harvesting operations in Sale Area B. This payment must be made before **June 15, 2018**.
- 5<sup>th</sup> Payment = 35% of purchase price prior to the commencement of harvesting operations in Sale Area C. This payment must be made before **September 15, 2018**.

No payment other than the 5% bid deposit will be accepted until the Comptroller has approved the sales contract. Failure to execute and return the sales Contract to the *NYS DEC, Attn: State Timber Sales, 625 Broadway, 5th Floor, Albany, NY 12233-4255*, along with all other required documentation, within 30 calendar days of Notice of Award may result in forfeiture of the bid deposit and the awarding of this sale to the next highest bidder. Payments shall be in the form of check or money order made payable to the “**NYS Department of Environmental Conservation**” and submitted to *NYS DEC, Attn: State Timber Sales, 625 Broadway, 5th Floor, Albany, NY 12233-4255* before the date stipulated in the Payment Schedule.

#### **D. Performance Bond**

The executed contract must be accompanied by one of the following:

- a Surety bond
- a Bank/Certified check
- a Certificate of Deposit assigned to the Department of Environmental Conservation
- a Letter of Credit from a Federally chartered or State licensed financial institution

in the amount of **\$5,000.00**, for the faithful performance of the sales contract.

#### **E. Notarizations**

The following rules apply for any individual designated as “**Notary**” on all Department contracts:

- Any stockholder, director, officer, or employee of a corporation that is a party to the contract either as an individual OR as representative of the corporation may not take an acknowledgment /notarize.
- If the employee has an ownership interest in the company and a DIRECT monetary interest in the contract (their pay depends upon it and it alone) they may not take the acknowledgement /notarize. **An employee with no ownership interest in the company may notarize contract signatures.**

#### **F. Insurance**

The Contractor agrees to procure and maintain at its own expense and without expense to the DEC insurance of the kinds and amounts hereinafter provided by insurance companies licensed to do business in the State of New York, covering all operations under this Contract.

The Contractor shall furnish to the DEC a certificate or certificates with the appropriate endorsements showing that it has complied with this Article. The insurance documentation shall provide that:

- Liability and protective liability insurance policies shall provide primary and non-contributory coverage to the DEC for any claims arising from the Contractor’s Work under this contract, or as a result of the Contractor’s activities. Insurance policies will not be accepted that:

- remove or restrict blanket contractual liability located in the “insured contract” definition (as stated in Section V, Number 9, Item f in the ISO CGL policy) so as to limit coverage against claims that arise out of work; or
  - remove or modify the “insured contract” exception to the employers liability exclusion; or
  - do not cover the additional insured for claims involving injury to employees of the named insured or subcontractors.
- **The State of New York, NYS Department of Environmental Conservation, its officers, agents and employees**, with the address: **NYS DEC, Attn: State Timber Sales, 625 Broadway, 5th Floor, Albany, NY 12233-4255**, shall be listed as Certificate Holder on all liability insurance certificate(s), as “Additional Insureds” on endorsement(s) and on additional supporting documentation.
  - In the “Description of Operation/Locations...” section, the **Contract Number and State Forest (X010334, Chenango 24) must** be referenced.
  - The policies shall include a **Waiver of Subrogation** endorsement in favor of the DEC as an additional insured. The endorsement shall be on ISO Form number CG 24 04 or a similar form with same modification to the policy.
  - Policies shall not be changed or canceled until thirty (30) days prior written notice has been given to the DEC; as evidenced by an endorsement or declarations page.
  - Insurance documentation shall disclose any deductible, self-insured retention, aggregate limit or any exclusion to the policy that materially changes the coverage required by the Contract.
  - Endorsements in writing must be added to and made part of the insurance contract for the purpose of changing the original terms to reflect the revisions and additions as described. A copy of these endorsements must be provided to the DEC.
  - Applicable insurance policy number(s) referenced on the ACORD form must be referenced in the supporting documentation requested by the DEC and supplied by the insurance company (e.g. endorsement page, declarations page, etc.).
  - When coverage is provided by a non-admitted carrier, a copy of the declarations page along with the ELANY stamped certification wording affixed to the certificate of insurance must be provided to ensure that the excess line insurance has met all of the requirements for a valid excess line transaction in accordance with Article 21 of the New York State Insurance Law.
  - Worker’s Compensation and Disability Benefits certificates shall name the **New York State Department of Environmental Conservation, with the address: NYS DEC, Attn: State Timber Sales, 625 Broadway, 5th Floor, Albany, NY 12233-4255**, as entity requesting proof of coverage.
  - This Contract shall be void and of no effect unless the Contractor procures the required insurance policies and maintains them until acceptance or completion of the work, whichever event is later. If at any time during the term of this contract the coverage provisions and limits of the policies required herein do not meet the provisions and limits set forth in the Contract or proof thereof is not provided to the DEC, the Contractor shall immediately cease Work on the Project. The Contractor shall not resume Work on the Project until authorized to do so by the DEC.
  - Should the Contractor engage a subcontractor, the Contractor shall impose the

insurance requirements of this document on the subcontractor. Contractor shall determine the required insurance types and limits, commensurate with the work of the Subcontractor. The Contractor will maintain the certificate or certificates and endorsements for all subcontractors hired as part of the Contractor's records.

The following types and amounts of insurance are required for this Contract:

**1. Workers' Compensation:**

For work to be performed in New York State, the Contractor shall provide and maintain full New York State coverage during the life of this contract for the benefit of such employees as are required to be covered by the New York State Workers' Compensation Law.

Evidence of Workers' Compensation and Employers Liability coverage must be provided on one of the following forms specified by the Chairman of the New York State Workers' Compensation Board:

<b>FORM #</b>	<b>FORM TITLE</b>
C-105.2	Certificate of Workers' Compensation Insurance (September 2007, or most current version)
U-26.3	State Insurance Fund Version of the C-105.2 form
SI-12/ GSI-105.2	Certificate of Workers' Compensation Self-Insurance
CE-200	Certificate of Attestation of Exemption (when Contractor meets the requirements.)

All forms are valid for one year from the date the form is signed/stamped, or until policy expiration, whichever is earlier.

***Please note that ACORD forms are NOT acceptable proof of New York State Workers' Compensation Insurance coverage.***

Additional information can be obtained at the Workers' Compensation website:

<http://www.wcb.ny.gov/content/main/Employers/Employers.jsp>

**2. Disability Benefits:**

For work to be performed in New York State, the Contractor shall provide and maintain coverage during the life of this contract for the benefit of such employees as are required to be covered by the New York State Disability Benefits Law. Any waiver of this requirement must be approved by the DEC and will only be granted in unique or unusual circumstances.

Evidence of Disability Benefits coverage must be provided on one of the following forms specified by the Chairman of the New York State Workers' Compensation Board:

<b>FORM #</b>	<b>FORM TITLE</b>
DB-120.1	Certificate of Insurance Coverage under the New York State Disability Benefits Law
DB-155	Certificate of Disability Self-Insurance
CE-200	Certificate of Attestation of Exemption (when Contractor meets the requirements.)

All forms are valid for one year from the date the form is signed/stamped, or until policy expiration, whichever is earlier.

***Please note that ACORD forms are NOT acceptable proof of New York State Disability Benefits Insurance coverage.***

Additional information can be obtained at the Workers' Compensation website:

<http://www.wcb.ny.gov/content/main/Employers/Employers.jsp>

**3. Commercial General Liability Insurance:**

Contractor shall provide and maintain Commercial General Liability Insurance (CGL) covering the liability of the Contractor for bodily injury, property damage, and personal/advertising injury arising from all work and operations under this contract.

The limits under such policy shall not be less than the following:

- Each Occurrence limit – \$1,000,000
- General Aggregate – \$2,000,000

Coverage shall include, but not be limited to, the following:

- premises liability;
- independent contractors;
- blanket contractual liability, including tort liability of another assumed in a contract;
- defense and/or indemnification obligations, including obligations assumed under this contract
- cross liability for additional insureds;
- products/completed operations for a term of no less than 3 years, commencing upon acceptance of the work, as required by the contract;
- explosion, collapse, and underground hazards;
- contractor means and methods; and
- Liability resulting from Section 240 or Section 241 of the New York State Labor Law.

Limits may be provided through a combination of primary and umbrella/excess liability policies.

For contracts valued at less than \$10,001.00. the Contractor may choose to arrange with the DEC to purchase an insurance permit that covers general liability insurance that meets the Commercial General Liability Insurance requirement. An annual \$5.00 premium shall be required on or before April 1 of each year the contract is in effect.

**4. Business Automobile Liability:**

Contractor shall provide and maintain Business Automobile Liability insurance covering liability arising out of the use of any registered motor vehicle in connection with the contract, including owned, leased, hired and non-owned vehicles. Such policy shall have a combined single limit for Bodily Injury and Property Damage of at least \$1,000,000.

If the Contractor does not own, lease or hire any registered motor vehicles or will not be using any vehicles on State Land proof of Business Automobile Liability Insurance shall not be

required for this Contract. However, Contractor is required to execute "*Business Automobile Liability Insurance Attestation.*"

The Contractor shall assume full responsibility and liability that owners and operators of any registered motor vehicles entering State Land to conduct work under this contract carry the same Business Automobile Liability Insurance of the kinds and amounts listed above. DEC reserves the right to request proof of the same.

**5. Umbrella and Excess Liability:**

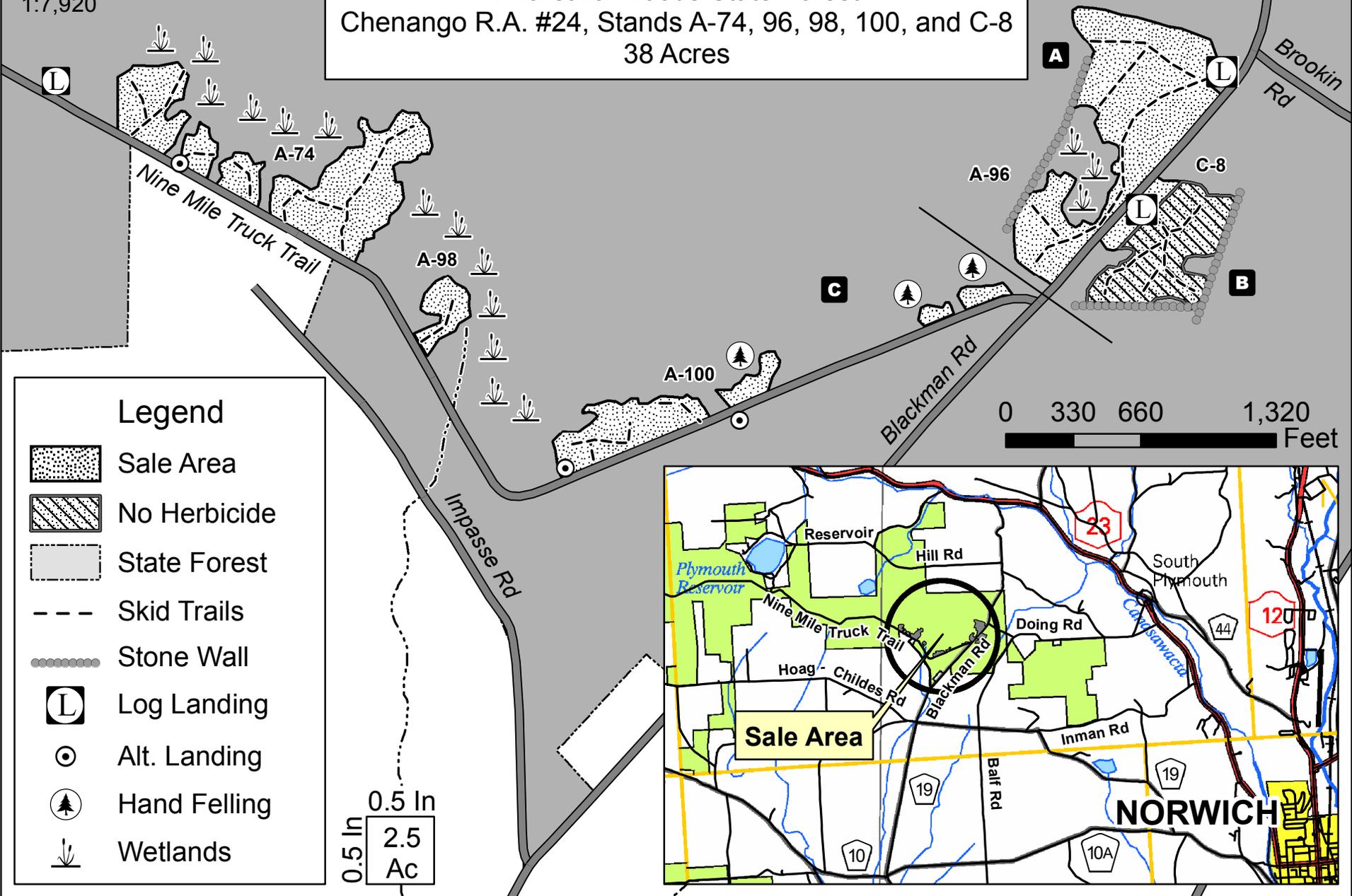
When the limits of the CGL, Auto, and/or Employers' Liability policies procured are insufficient to meet the limits specified, the Contractor shall procure and maintain Commercial Umbrella and/or Excess Liability policies with limits in excess of the primary; provided, however, that the total amount of insurance coverage is at least equal to the requirements set forth above. Such policies shall follow the same form as the primary.

The Contractor shall require that any subcontractors hired, carry insurance with the same limits and provisions as provided herein.

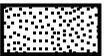
Basil Seggos  
Commissioner

**A Mudder Only A Mother Could Only Love**  
Red Pine Timber Sale  
Pharsalia Woods State Forest  
Chenango R.A. #24, Stands A-74, 96, 98, 100, and C-8  
38 Acres

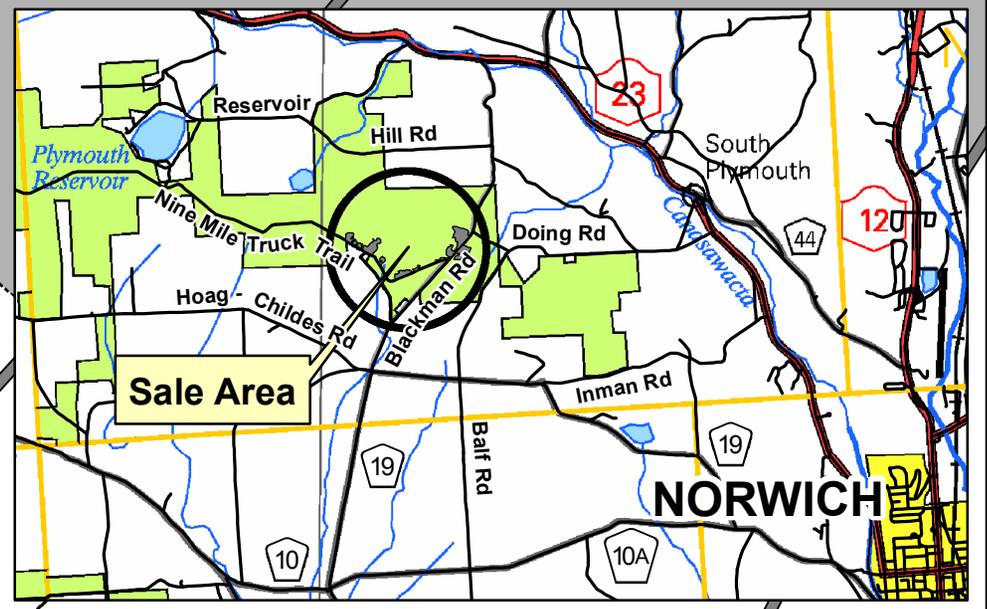
  
1:7,920



**Legend**

-  Sale Area
-  No Herbicide
-  State Forest
-  Skid Trails
-  Stone Wall
-  Log Landing
-  Alt. Landing
-  Hand Felling
-  Wetlands

0.5 In 0.5 In 2.5 Ac







STATE OF NEW YORK DEPARTMENT OF ENVIRONMENTAL CONSERVATION SALE OF FOREST PRODUCTS PROPOSAL

Dear Sir:

I have examined the forest products offered for Public sale by the State of New York located on

Chenango Reforestation Area #24, Pharsalia Woods State Forest; Stands: A-74, A-96, A-98, A-100 & C-8

and hereby bid the following price in accordance with the terms of the advertisement, Notice of Sale and Contract:

\_\_\_\_\_ dollars and \_\_\_\_\_ cents \$ \_\_\_\_\_

(Minimum Acceptable Net Bid \$58,000.00 U.S.)

Enclosed is a 5% bid deposit of \$ \_\_\_\_\_

I understand that the forest products being offered for sale will be awarded to the highest responsible responsive bidder. If I am the successful bidder, I agree to abide by the terms of the Contract; to execute the Contract within 30 days of the notification of award, to pay the bid price in accordance with the payment schedule as specified in the advertisement, Notice of Sale and Contract, and to remove from State land by September 30, 2019 all timber therein designated.

\*\* ALL ENTRIES MUST BE IN INK AND CLEARLY WRITTEN\*\*

IMPORTANT NOTICE

The attached "Bidder's/Proposer's Certification" form must be completed, signed and returned along with your Bid Proposal. Failure to include this form will result in disapproval of the bid.

CERTIFICATION

If you, or your firm, have been certified for and principles of the Forest Stewardship Council or the Sustainable Forestry Initiative, Please enter your certificate number here.

Certification No. \_\_\_\_\_ Date \_\_\_\_\_ Phone \_\_\_\_\_ E-Mail Address \_\_\_\_\_

Legal Business Name \_\_\_\_\_ If you use a DBA, please list DBA here \_\_\_\_\_ By \_\_\_\_\_ Title \_\_\_\_\_ Address \_\_\_\_\_ Signature \_\_\_\_\_

Company / Owner Federal Identification No. Social Security Numbers should be used only if you do not have a Federal Identification Number



**BIDDER'S/PROPOSER'S CERTIFICATION**

NON-COLLUSIVE BIDDING  
AND  
NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND  
MACBRIDE FAIR EMPLOYMENT PRINCIPLES  
AND  
STATE ETHICS LAW PROVISION

**BY SUBMISSION OF THIS BID AND BY SIGNING HEREUNDER THE BIDDER/PROPOSER, AND EACH PERSON SIGNING ON BEHALF OF SUCH PARTY CERTIFIES, AND IN THE CASE OF A JOINT BID/PROPOSAL, EACH PARTY THERETO CERTIFIES AS TO ITS OWN ORGANIZATION, UNDER PENALTY OF PERJURY, THAT TO THE BEST OF HIS/HER KNOWLEDGE AND BELIEF:**

**A. NON-COLLUSION State Finance Law §139-d**

- 1. The prices in this bid have been arrived at independently without collusion, consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor;
- 2. Unless otherwise required by law, the prices which have been quoted in this bid have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and
- 3. No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.

**B. MACBRIDE FAIR EMPLOYMENT PRINCIPLES State Finance Law §165(5)**

- 1. it or any individual or legal entity in which the bidder/proposer holds a 10% or greater ownership interest, or any individual or legal entity that holds a 10% or greater ownership in the bidder/proposer, either: (answer yes or no to one or both of the following, as applicable).
- 2. has business operations in Northern Ireland;  
Yes \_\_\_\_\_ or No \_\_\_\_\_ (check answer) **IF YES, COMPLETE #3**
- 3. shall take lawful steps in good faith to conduct any business operations that it has in Northern Ireland in accordance with the MacBride Fair Employment Principles relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of their compliance with such Principles.  
Yes \_\_\_\_\_ or No \_\_\_\_\_ (check answer)

**C. STATE ETHICS LAW PROVISION**

By submittal of this bid/proposal, the undersigned hereby certifies, for and on behalf of the bidder/proposer, that he is familiar with the following provisions of the State Ethics Law provisions applicable to post employment restrictions affecting former state employees: POL '73(8)(a)(i) the two year bar, and '73(8)(a)(ii), the life-time bar, and that submittal of this bid/proposal is not in violation of either provision, and that no violation will occur by entering into a contract or in performance of the contractual services, and further that the bidder/proposer recognizes that the Department may rely upon this certification.

Except as follows: (attach information if needed)

(Proposer is to make full disclosure of any circumstances which could affect its ability to perform in complete compliance with the cited laws. Any questions as to the applicability of these provisions should be addressed to the New York State Ethics Commission, 39 Columbia Street, Albany, N.Y. 12207: telephone #1-800-87-ETHICS.)

**NOTE: All references to "bid" "bidder" shall be deemed to include "proposer" "proposal".**

Date: \_\_\_\_\_

\_\_\_\_\_  
Print Name and Title

\_\_\_\_\_  
Signature